

# Lipid lowering nutraceuticals in clinical practice: position paper from an International Lipid Expert Panel

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## Lipid lowering nutraceuticals in clinical practice: position paper from an International Lipid Expert Panel<sup>#</sup>

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Hungarian Atherosclerosis Society

Italian Society of Nutraceuticals (SINut)

Kosovo Society of Cardiology

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Polish Lipid Association (PoLA)

Romanian Society of Cardiology

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## 1. Introduction

### 1.1. Cardiovascular disease and dyslipidemia: prevalence and global economic impact

Cardiovascular diseases (CVDs) are the leading cause of mortality worldwide, reaching 31% of deaths in 2012 [1]. In particular, atherosclerosis and ischemic heart disease (IHD) are the main causes of premature death in Europe and are responsible for 42% of deaths in women and 38% in men under 75 years old [2]. The global economic impact of CVD is estimated to have been US \$906 billion in 2015 and is expected to rise by 22% by 2030 [3]. Cardiovascular diseases also represent the major cause of disability in developed countries. It has been estimated that their growing burden could lead to a global increase in loss of disability-adjusted life years (DALYs), from a loss of 85 million DALYs in 1990 to a loss of ~150 million DALYs in 2020, becoming a major non-psychological cause of lost productivity [4].

Several risk factors contribute to the etiology and development of CVD; they are divided into those modifiable through lifestyle changes or by taking a pharmacologic treatment (e.g. for hypertension, smoking, diabetes mellitus, hypercholesterolemia) and those that are not modifiable (age, male gender, and family history) [5]. Elevated total cholesterol (TC) and low-density lipoprotein cholesterol (LDL-C) blood concentrations are the major modifiable risk factors for coronary heart disease (CHD), whereas high concentrations of plasma high-density lipoprotein cholesterol (HDL-C) in certain conditions are considered protective [6]. Moreover, LDL-C remains a fundamental CV risk factor (and a main target of therapy) even when statins are largely used in the general population [7].

An examination of the data of 18 053 participants aged  $\geq 20$  years who participated in the National Health and Nutrition Examination Surveys (NHANES) from 1999 to 2006 showed that the unadjusted prevalence of hypercholesterolemia ranged from 53.2% to 56.1% in United States adults [8]. Differences related to gender and race or ethnicity were observed; in particular, a lower rate of control was found among women than men and lower rates of having a cholesterol check and being told about hypercholesterolemia were reported by African Americans and Mexican Americans than whites [8].

A recent report from the American Heart Association confirmed that in the US only 75.7% of children and 46.6% of adults present targeted TC levels (TC  $< 170$  mg/dl for children and  $< 200$  mg/dl for adults, in untreated individuals) [9]. The pattern is similar in other Western countries [10, 11].

### 1.2. The importance of treating dyslipidemia to reduce cardiovascular risk

Many available clinical trials and meta-analyses have shown a relationship between a decrease in the levels of LDL-C and a reduction in relative risk of CVD [12]. In particular, a meta-analysis of the Cholesterol Treatment Trialists' (CTT) Collaboration, based on data from 14 randomized controlled trials (RCTs), which involved 90 056 individuals, demonstrated a greater reduction in coronary and vascular events, which was related to a greater decrease in absolute levels of LDL-C [10]. Furthermore, in a report from the CTT Collaboration on more than 170 000 subjects, it was stated that with the cholesterol-lowering drug therapy, each further reduction of LDL-C by 1 mmol/l (~40 mg/dl) decreased by about one-fifth the risk of revascularization, coronary artery disease and ischemic stroke, highlighting that a reduction of LDL-C of 3.2 mmol/l (125 mg/dl) could lead to a decrease in risk of about 40–50%, in the absence of an increased risk of cancer or non-CV-related death [13]. One mmol/l is a reduction that is achievable through lifestyle improvements associated with lipid-lowering nutraceuticals. Moreover, it has been estimated that every 1% reduction in LDL-C level corresponds to a reduction of the relative risk for CV events greater than about 1% [14, 15].

### 1.3. Lipid targets

In all adults a fasting or non-fasting lipoprotein profile should be obtained at least every 5 years [16]. Levels of LDL-C  $< 100$  mg/dl (~2.5 mmol/l) are associated with a low risk for CHD and are considered optimal in the absence of CVD or other risk factors [17]. Consequently, current guidelines recommend achieving of LDL-C levels of  $< 115$  mg/dl (~3.0 mmol/l) in patients at low and moderate risk for CHD. The LDL-C treatment target is  $< 100$  mg/dl (~2.6 mmol/l) for patients at high risk and  $< 70$  mg/dl (~1.8 mmol/l) for patients at very high risk [18].

High-risk subjects include those patients with prior atherosclerotic cardiovascular disease (ASCVD) events, including prior coronary events, transient ischemic attack, ischemic stroke, atherosclerotic peripheral artery disease (PAD) (e.g. ankle/brachial index  $< 0.90$ ) and other atherosclerotic diseases (e.g. renal atherosclerosis, atherosclerotic aortic aneurysm and carotid plaque  $\geq 50\%$  stenosed). Other patient populations considered at high risk include patients with diabetes mellitus (DM), chronic kidney disease (CKD; stage  $\geq 3B$ ), and those with very high levels of individual risk factors, such as familial hypercholesterolemia (FH) [16].

Elevated triglycerides (TGs) are also an independent risk factor for CVD, and their levels should be optimized in order to reduce residual CVD risk [19].

#### 1.4. Lifestyle improvement

Currently, according to the severity of dyslipidemia and the level of CV risk, treatment is based on lifestyle changes that include dietary habits and physical activity, or pharmacological therapy [20]. In fact, it is important to emphasize that non-pharmacological management should always accompany the lipid-lowering therapy [21]. Lifestyle treatment for hypercholesterolemia includes a diet low in saturated fat (< 7% of total energy), moderate or higher intensity physical activity ( $\geq$  150 min/week) and weight loss (5–10% of body weight) for those who are overweight or obese. Exposure to active or passive tobacco smoking must be avoided as well [22, 23].

The American Heart Association (AHA) recommends a diet with a high content of fruits, vegetables, whole grains, low-fat dairy products, poultry, fish and nuts [24]. It also recommends limiting consumption of red meat and sugary foods and beverages. Many diets fit that pattern, including the “Dietary Approaches to Stop Hypertension” (DASH) and the “Mediterranean Diet” [25]. LDL-C (and non-HDL-C) reductions with lifestyle improvements are most often in the range of 5% to 15%, an amount that, if maintained over a long period, may result in meaningful CVD risk reduction [5, 26, 27].

For patients with hypertriglyceridemia, lifestyle interventions are key to reducing TGs; including weight loss if overweight or obese (initially targeting loss of 5–10% of body weight), physical activity ( $\geq$  150 min/week of moderate or higher intensity activity), and restriction of alcohol, simple sugars, and refined carbohydrate intake [28].

If sufficient progress is not made towards achieving atherogenic cholesterol goals, consideration may be given to the use of lipid-lowering nutraceuticals, alone or in combination with pharmacological therapy, which is indicated for patients with borderline lipid values (out-of-target) or intolerant to drugs [29]. It is however important to strongly emphasize that nutraceuticals cannot replace indicated lipid-lowering therapy in patients at CVD risk.

#### 1.5. Indication of lipid-lowering nutraceuticals in clinical practice

In recent years, nutraceuticals and functional foods have shown their role as possible supporting therapies for lowering plasma TC, LDL-C and TG, especially for hypercholesterolemic subjects, whose

blood cholesterol level is moderately elevated (for instance, TC = 200–240 mg/dl or 5.2–6.2 mmol/l, LDL-C = 130–160 mg/dl or 3.4–4.1 mmol/l, TG = 150–200 mg/dl or 1.7–2.3 mmol/l in subjects in primary prevention for CVD) but not high enough to require pharmacological treatment [30, 31]. Based on current knowledge, nutraceuticals could exert significant lipid-lowering activity, and their intake has many advantages. First of all, the lipid-lowering effect of most nutraceuticals occurs through multiple mechanisms: the possibility of acting simultaneously on multiple stages of lipid-induced vascular damage makes them potential candidates for improving the lipid-lowering effects when used in combination with diet, drugs or other nutraceuticals [32]. Moreover, they can have a large number of positive pleiotropic effects, including improvement of endothelial dysfunction and arterial stiffness, as well as anti-inflammatory and anti-oxidative properties [33]. Furthermore, a relatively large amount of epidemiological and clinical data supports the tolerability and safety of many nutraceuticals with demonstrated lipid-lowering action, including in patients intolerant to statins [34]. According to the European Atherosclerosis Society (EAS) consensus document, in the last 10 years a number of observational studies have reported unfavorable side effects related to statins, in particular musculoskeletal disorders (statin-associated muscle symptoms (SAMS)), gastrointestinal disorders and fatigue [35]. This is particularly relevant since it is estimated that the range of people intolerant to conventional statin treatment is between 45 000 and 290 000 individuals/year [36] (complete statin intolerance is estimated at less than 5%) and that statin intolerance represents one of the main reasons for statin discontinuation and non-adherence and consequently failure of lipid-lowering treatment [37–39].

Another group of patients who might benefit from such nutraceuticals consists of very old patients (especially those aged over 75) or patients with sarcopenia [40]. A further category of patients who could benefit from nutraceuticals refers to those already treated with statins and/or ezetimibe, and who have not reached the targeted LDL-C level although not being too far from it. The development of new powerful (and very expensive) lipid-lowering drugs will be able to fill the gap (and in consequence reduce the residual CV risk), but their use seems to be cost-effective only for a limited number of patients [41]. Therefore, nutraceuticals might cost-effectively fill these gaps. The recent 2016 European guidelines for dyslipidemia management consider the possibility to use some lipid-lowering nutraceuticals, not considering fully a number of prospective and observational studies as well as a positive meta-analysis of randomized clinical trials (RCTs) supporting the



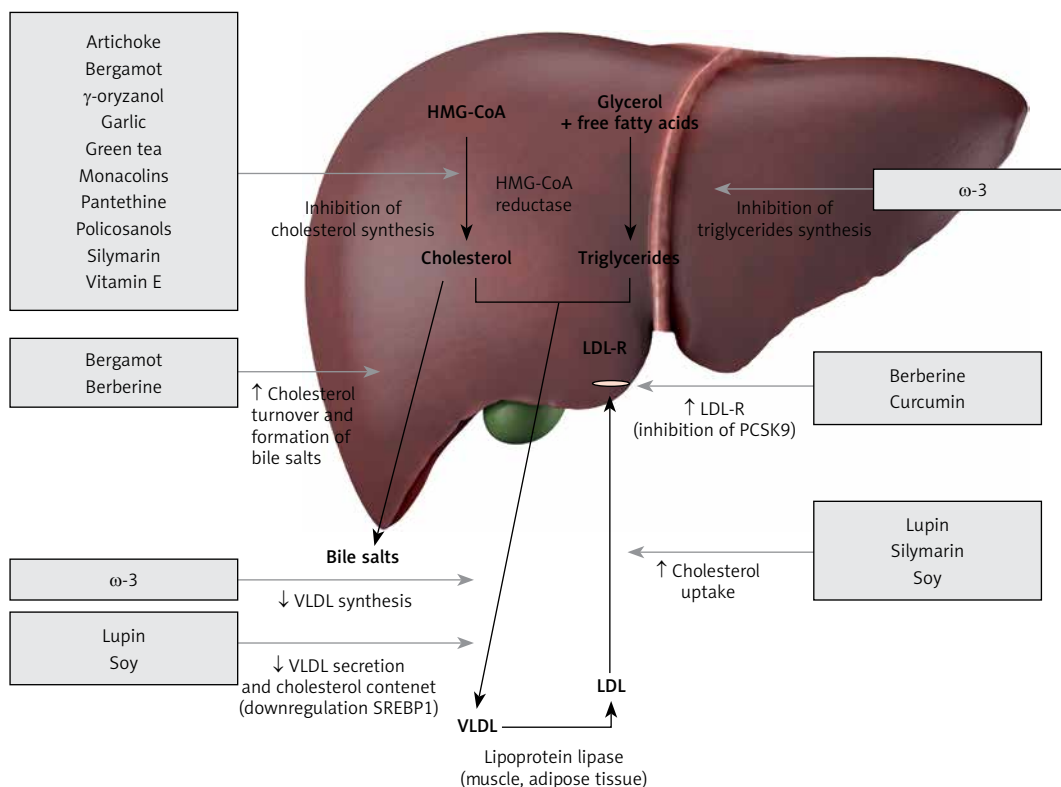
possible use of a relatively large number of natural compounds [18]. Therefore the present position paper provides an up-to-date summary of the findings on the lipid-lowering effects of the most important nutraceuticals and functional foods.

### 1.6. Clinical evidence on individual lipid-lowering nutraceuticals

Nutraceuticals with lipid-lowering effects can be divided into 3 categories according to their mechanisms of action: natural inhibitors of intestinal cholesterol absorption, inhibitors of hepatic cholesterol synthesis, and enhancers of the excretion of LDL-C (Figures 1–3). Nevertheless, there are many functional food/nutritional supplements with multiple or unclear mechanisms of action. Nutraceuticals described in the literature are numerous and show different levels of effectiveness and evidence of their lipid-lowering effect: the objective of this consensus is to clarify which are the major nutraceuticals with the greatest evidence and clinical efficacy. For each nutraceutical, we will briefly describe the main mechanism of action, active principles, effective dosages, clinical evidence of effects on lipid profile, extra-lipid-lowering properties (e.g. endothelial function and arterial stiffness), and safety profile (if such data are available).

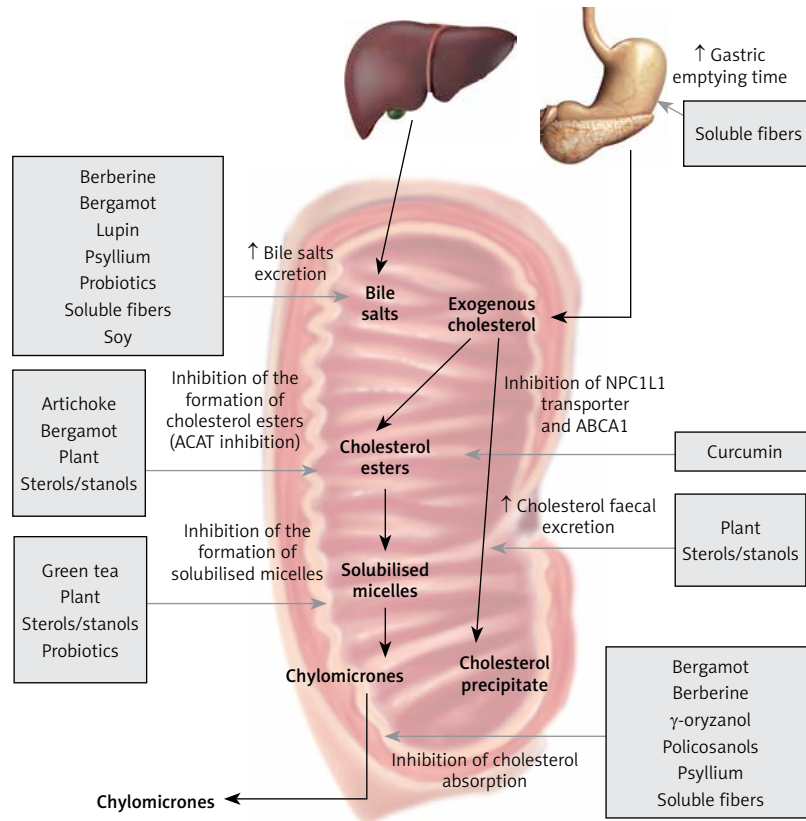
The level of evidence and the strength of recommendation of particular lipid-lowering treatment options have been weighed and graded according to predefined scales, as outlined in Tables I and II. The experts of the writing and reviewing panels completed *Declaration of interest* forms where real or potential sources of conflicts of interest might be perceived (at the end of the paper).

Following the final approval of the contents of the position paper, the final version of the document is scheduled for parallel publication in *Archives of Medical Science* (full-scope journal), and *Nutrition Reviews* (specialized journal) in order to maximally increase the number of readers of these important recommendations. Physicians and medical professionals of other specialties treating patients with lipid disorders are encouraged to consider the position paper in the process of evaluating the clinical status of their patients, and determining and implementing medical strategies for the prevention, diagnosis and treatment of dyslipidemias. However, the position paper does not override in any way the individual responsibility of physicians to make appropriate and accurate decisions taking into account the condition of a given patient, in consultation with that patient, and, where necessary, with the patient's guardian or carer. It is also the responsibility of health professionals to verify the rules and regu-



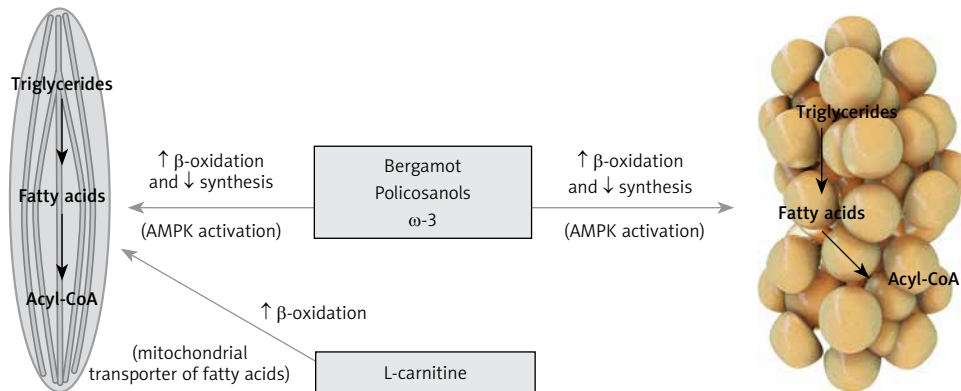
**Figure 1.** Nutraceuticals acting as inhibitors of liver cholesterol synthesis

HMG-CoA – 3-hydroxy-3-methylglutaryl-coenzyme A, LDL-R – low-density lipoprotein receptor, PCSK9 – proprotein convertase subtilisin/kexin type 9, SREBP1 – sterol regulatory element-binding protein 1, VLDL – very-low-density lipoprotein.



**Figure 2.** Nutraceuticals acting as inhibitors of intestinal cholesterol absorption and enhancers of cholesterol excretion

ABCA1 – ATP-binding cassette transporter, NPC1L1 – Niemann-Pick C1-Like 1.



**Figure 3.** Nutraceuticals acting on fatty acids

AMPK – AMP-activated protein kinase.

lations applicable to drugs and devices at the time of their prescription/use.

## 2. Inhibitors of intestinal cholesterol absorption

### 2.1. Plant sterols and stanols

*Mechanism of action:* Plant sterols, present in almost all plant sources (in particular in vegetable oils, nuts, seeds, legumes and fat spreads), are

structurally similar to cholesterol, differing in the side chain at C24 that presents a methyl or ethyl group (campesterol and B-sitosterol, respectively) or an extra double bond in C22 (stigmasterol) [42]. Plant sources also contain plant stanols, such as β-sitostanol, campestanol and stigmastanol, that are saturated derivatives of sterols [43]. Plant sterols + stanols (PS) average daily intake in the common diet is low: between 150 and 450 mg/day; however, some populations, such



**Table I.** Classes of recommendation

Class of recommendation	Definition	Suggested wording
Class I	Evidence and/or general agreement that a given treatment or procedure is beneficial, useful, effective	Is recommended/Is indicated
Class II	Conflicting evidence and/or a divergence of opinion about the usefulness/efficacy of the given treatment or procedure	
Class IIa	Weight of evidence/ opinion is in favor of usefulness/efficacy	Should be considered
Class IIb	Usefulness/efficacy is less well established by evidence/opinion	May be considered
Class III	Evidence or general agreement that the given treatment or procedure is not useful/effective and in some cases may be harmful	Is not recommended (no efficacy on lipid profile)

**Table II.** Level of evidence

Level of evidence	Definition
Level A	Data derived from multiple randomized clinical trials or their meta-analysis
Level B	Data derived from single randomized clinical trial or large non-randomized studies
Level C	Consensus or opinion of experts and/or small studies, retrospective studies, registries

as the Japanese or vegetarians, consume at least twice as much [43].

**Efficacy:** PS reduce LDL-C by decreasing intestinal absorption of exogenous cholesterol, competing with it in the formation of solubilized micelles [44, 45]. The micelles interact with the brush border membrane and are substrate of Niemann-Pick C1-Like 1 (NPC1L1) transporter that facilitates the transport of sterols from the intestinal lumen. However, the ATP-binding cassette protein family (ABCG5 and ABCG8) shuttles and blows out again unesterified cholesterol and the majority of sterols and stanols in the intestinal lumen [44, 45]. As a result, PS have extremely low bioavailability (0.5–2% rapidly excreted by the liver vs. 55–60% of exogenous cholesterol); in general, plant sterols have higher bioavailability than stanols, probably due to a different arrangement in the intestinal micelles [44, 45]. This determines an increase in the intestinal lumen of the precipitate and excreted cholesterol via feces. PS also intensify the expression of ABCA1 transporter and inhibit the acyl-CoA:cholesterol O-acyltransferase (ACAT) enzyme, reducing the amount of cholesterol absorbed from 30% to 50%. It is important to emphasize that for better therapeutic efficacy, an excellent vehicle for the PS are spreadable fats that improve their solubil-

ity, promoting dispersion and incorporation into micelles [44, 45].

The lipid-lowering effects of PS have been highlighted in several meta-analyses of RCTs. The meta-analysis of Ras *et al.* included 41 clinical trials with 2084 individuals [44]. The mean dose of phytosterols provided was 1.6 g/day (0.3 to 3.2 g/day) administered through different sources (yoghurt, milk, dressing, mayonnaise, and bread), and the median duration of studies was 28 days (21–315 days). The meta-analysis showed not only the significant reduction of LDL-C of 0.33 mmol/l (12.8 mg/dl) (–8.5%), but also an average increase in levels of sitosterol 2.24 μmol/l (+31%) and campesterol 5.00 μmol/l (+37%) compared to controls [44]. The increase of plasma levels of sitosterol and campesterol showed a dose-dependent trend: in fact, in the subgroup analysis a “high dose” of PS (2.0 to 3.2 g/day) showed the highest levels of sitosterol and campesterol (on average 3.56 and 7.64 μmol/l, respectively). However, total PS level remained below 1% of total sterols and stanols circulating in the blood [44]. PS could also have some impact on TG but only in patients with high TG levels at baseline [46]. Moreover, PS supplementation has a mild but significant improving effect on high-sensitivity C-reactive protein (hs-CRP) [47].

The lipid-lowering effect of PS is dose dependent and proportional up to the attainment of a plateau (reached at approximately 3 g/day of PS achieving an average effect on LDL-C of –12%) due to saturation of the uptake of cholesterol and transport process. At doses up to 3 g/day there were no differences in efficacy on cholesterolemia, between stanols and sterols [48]. Another meta-analysis of RCTs showed that two of the best fat carriers for PS are rapeseed or canola because of their high content of monounsaturated fatty acids and ω-3 that enhances the functionality of PS [45]. The effect of PS on endothelial function has

been recently investigated in two large RCTs that furnished conflicting results [49, 50].

**Safety:** In conclusion, PS produce a mean reduction of LDL-C by 8–12% in subjects with hypercholesterolemia. PS have also shown a high safety profile in the middle-term; however, data for treatment longer than 2 years are still not available [44, 50].

Class	Level	Active daily doses	Expected effects on LDL-C	Effects on other CV risk biomarkers	Direct vascular effects
Ila	A	400–3000 mg	–8% to –12%	↓ hs-CRP	Not demonstrated

## 2.2. Soluble fibers

Dietary fibers is a term commonly used for a variety of substances of vegetable origin resistant to enzymatic digestion in the gastrointestinal tract. Generally, they are divided according to their solubility into soluble and insoluble fibers [51]. For the last several years, some studies have focused on the lipid-lowering properties of soluble fibers, including pectin, guar gum, mucilage, oats and psyllium, showing a reduction in TC and LDL-C [51, 52]. The lipid-lowering mechanisms of action of soluble fibers are different, including prolonged gastric emptying time, an increase of satiety, the inhibition of hepatic cholesterol synthesis and an increase of fecal excretion of cholesterol and bile salts [52]. The reduction of cholesterol obtained by soluble fibers described in the literature is variable and dependent on the type of fiber, doses, subjects treated, study size and different diets: the effective range of the reduction of TC varies from 0 to 18% for oat-based fibers, 3–17% for psyllium, 5–16% for pectin, and 4–17% for guar gum [51].

### 2.2.1. $\beta$ -glucan

**Mechanism of action:**  $\beta$ -glucan is a soluble fiber derived from the walls of different plant cells, bacterial, algae, fungi and yeasts.  $\beta$ -glucan has high viscosity, which confers lipid-lowering action [32].

**Efficacy:** A meta-analysis of 17 RCTs with 916 patients showed that  $\beta$ -glucan consumption in a hypercholesterolemic population significantly reduced LDL-C (–0.21 mmol/l (8.1 mg/dl) (95% CI: 0.27; –0.14)  $p < 0.00001$ ). However, there were no significant differences in HDL-C and TG. No adverse effects were reported among the eligible trials [53]. In 2010 the European Food Safety Authority (EFSA) confirmed that oat  $\beta$ -glucan is able to reduce plasmatic cholesterol levels; however, at least 3 g/day of  $\beta$ -glucan is necessary [54]. According to the American and European guidelines for the management of dyslipidemia, the

consumption of 5–15 g/day (European guidelines) or 10–25 g/day (US guidelines) of soluble fibers derived from oat rich in  $\beta$ -glucan can essentially reduce the levels of cholesterol in the blood [55, 56]. Finally, the study of Tabesh *et al.* showed that the consumption of  $\beta$ -glucan for 4 weeks in 60 patients with hypercholesterolemia increases the serum levels of nitric oxide (NO) ( $p = 0.017$ ) but has no effect on flow-mediated dilatation (FMD) [57].

**Safety:** Due to the lack of enough data it seems to be important to evaluate the efficacy and safety of administration of  $\beta$ -glucan in the long-term follow-up as well as to clarify whether there is a dose-response relationship and whether it can decrease the CV risk.

### 2.2.2. Psyllium

**Mechanism of action:** Psyllium is a natural source of concentrated fibers derived from the husks of blonde psyllium seed. The mechanisms of action of psyllium are similar to those of other fibers discussed, including increased excretion of bile acids (stimulating  $7\alpha$ -hydroxylase), reduced absorption of intestinal cholesterol and a reduction of hepatic cholesterol synthesis (via the short-chain fatty acid byproducts of fiber fermentation) [58].

**Efficacy:** A meta-analysis of 21 studies, which enrolled a total of 1030 and 687 subjects receiving psyllium or placebo, respectively, concluded that compared with placebo, consumption of psyllium lowered serum LDL-C by 0.278 mmol/l (10.8 mg/dl) (95% CI: 0.213; 0.312 mmol/l). With random-effect meta-regression, a significant dose-response relationship was found between doses (3–20.4 g/day) and total cholesterol or LDL-C changes. Following an average intake of psyllium of 10 g/day, an average reduction of LDL-C of 7% was observed [59]. Moreover, psyllium showed good efficacy also in children and adolescents, with the percentage of LDL-C reduction similar to the one in adults [60]. The reduction of cholesterol was more pronounced in American subjects with hypercholesterolemia, who consumed a high-fat diet (LDL-C –8/20%) [61]. Psyllium supplementation might also have a positive effect on glucose metabolism related parameters [62]. However, psyllium has shown no significant effects on vascular function [63].

**Safety:** All available clinical trials and meta-analyses confirm the good safety profile of psyllium (at doses up to 20 g/day) that is documented by the Food and Drug Administration (FDA), the Select Committee on Generally Recognized Safe Substances and the Expert Panel from the Life Sciences Research Office. However, in some cases minor gastrointestinal side effects were reported (especially with micronized fibers) that might slightly reduce the adherence to this nutraceutical [64].

### 2.2.3. Glucomannan

**Mechanism of action:** Glucomannan is a particular soluble fiber, widely used in the Orient for over a thousand years, derived from *Amorphophallus konjac*, commonly referred as konjac root, available as a nutraceutical in different forms such as capsules, tablets and sachets. Structurally glucomannan is a polysaccharide constituted by glucose and mannose (ratio 1 : 1.6) bound through  $\beta$ -1,4-glycosidic bonds [32]. Unlike other fibers, glucomannan does not act by binding bile acids, but it seems to reduce the absorption of cholesterol in the jejunum and the absorption of bile acids in the ileum, yielding improvements in apolipoprotein B (ApoB) and plasma LDL-C levels. It also increases the activity of 7 $\alpha$ -hydroxylase, an enzyme that converts cholesterol into bile acids [65].

**Efficacy:** A recent meta-analysis including 14 RCTs with 531 patients concluded that the use of glucomannan (at doses ranging between 1.24 and 15.1 g/day) significantly reduces LDL-C and TG respectively by  $-0.41$  mmol/l (15.9 mg/dl) and  $-0.13$  mmol/l (11.5 mg/dl) ( $p < 0.05$  for both) compared to placebo. The reduction of serum triglycerides is a peculiarity of glucomannan, probably due to its high viscosity and its ability to interact with the hepatic cholesterol and lipoprotein metabolism, but not of other soluble fibers, which have only a very modest action on triglyceride levels [66]. Data on weight reduction are controversial; in general it can be said that glucomannan is able to promote the maintenance of weight and in some cases (with at least 5.2 weeks of treatment) it can cause a small reduction of weight (according to some meta-analyses significant, according to others not), although only less than 1 kg [67]. Treatment with glucomannan has also given positive results in children with primary hyperlipidemia. Guardamagna *et al.* conducted a study with 36 dyslipidemic children (6–15 years old) treated with glucomannan twice daily for 8 weeks. The results showed a significant reduction in LDL-C ( $-7.3\%$ ,  $p = 0.008$ ) and non-HDL-C ( $-7.2\%$ ,  $p = 0.002$ ) compared to placebo. These effects had a more pronounced trend in females than in males [68]. The same correlation was also found in the study of Martino *et al.*, where 40 children were treated with 2–3 g/day of glucomannan and benefited by a reduction of LDL-C of 30% in females and 9% in males ( $p = 0.046$ ). This gender-dependent effect was also observed with other soluble fibers, and it would seem to be caused by the interaction between sex hormones and lipid metabolism; nevertheless, further corroboration is necessary [69]. The abovementioned results have been confirmed in a further study by the same authors on a larger sample of 120 hypercholesterolemic children [70].

**Safety:** The intake of glucomannan may interfere with the absorption of certain drugs, in particular lipophilic drugs/nutraceuticals: for example, glucomannan could reduce the absorption of vitamin E, calcium and other minerals, whereas it does not hinder the absorption of water-soluble vitamins. Therefore it is recommended to take the medication 1 h before or at least four hours after taking glucomannan [32]. In general, the consumption of glucomannan does not cause serious side effects; most of them concern gastrointestinal such as diarrhea, flatulence and abdominal discomfort.

Class	Level	Active daily doses	Expected effects on LDL-C	Effects on other CV risk biomarkers	Direct vascular effects
IIa	A	5–15 g	–5% to –15%	↓ TG, glycaemia, HOMA index, body weight	↓ CVD risk (epidemiological data on fiber-rich foods)

### 2.3. Chitosan

**Mechanism of action:** Chitosan is a non-fiber lipid-lowering agent isolated from shellfish and sea crustaceans that inhibits cholesterol absorption in the bowel.

**Efficacy:** A meta-analysis of 6 RCTs including 416 patients with hypercholesterolemia concluded that it has a significant effect on TC ( $-0.3$  mmol/l (11.6 mg/dl),  $p = 0.002$ ), but not on LDL-C, HDL-C or TG [71]. Since other trials have yielded conflicting results with a significant reduction of all lipid parameters [72], further studies are necessary to have clear data on efficacy both in the short and long term of consumption. However, chitosan supplementation is associated with mild weight loss [73] and an improvement in insulin-resistance related parameters [74].

**Safety:** Transient side effects such as abdominal pain, diarrhea, vomiting, and constipation occur in rare cases at doses ranging between 1 and 6 g/day (indicative daily doses) [75].

Class	Level	Active daily doses	Expected effects on LDL-C	Effects on other CV risk biomarkers	Direct vascular effects
IIb	A	1–6 g	–5%	↓ Body weight, glucose, HOMA index	Not demonstrated

### 2.4. Probiotics

**Mechanism of action:** Probiotics are defined as vital microorganisms which confer health benefits

to the host when taken in adequate amounts. The consumption of probiotics is considered safe and free of serious side effects [76]. In recent years, some clinical studies have supported the hypothesis of a possible clinical use of certain strains of microorganisms as cholesterol-lowering agents. Nevertheless, it is still very difficult to draw firm conclusions due to the great heterogeneity of the studies in duration, type of probiotic strains used, dosage, clinical characteristics of the participants and dosage form/vehicle.

The mechanisms of action whereby probiotics reduce cholesterolemia are still unclear. Among the proposed mechanisms, it is possible that probiotics interact with the intestinal cholesterol, binding or incorporating it into the cell membrane [77]. *Lactobacillus acidophilus* and *L. bulgaricus* contain some enzymes (cholesterol dehydrogenase/isomerase) able to catalyze the transformation of cholesterol into cholest-4-en-3-one, an intermediate cofactor in the conversion of cholesterol to coprosterol or coprostanol, which are directly excreted in the feces [78]. Other probiotics reduce the enterohepatic circulation of bile salts through activity of bile salt hydrolase (BSH) enzymes; there is a mechanism based on the ability of some lactobacilli and bifidobacteria to deconjugate bile acids enzymatically, increasing their excretion rates and attracting greater mobilization of systemic cholesterol to the liver for de novo synthesis of bile salts [79]. Finally, some probiotics may alter bowel pH, the formation of micelles, the transport pathways of cholesterol and/or lipoprotein (such as NPC1L1 gene expression) and cholesteryl esters [80]. These mechanisms are hypothetical, so further well-designed studies are required to elucidate which of these have a greater influence on cholesterol reduction.

**Efficacy:** A recent meta-analysis included 30 RCTs to investigate the effect of probiotics on TC, HDL-C and TG and 27 RCTs on LDL-C. The most studied probiotic strains were *L. acidophilus*, *L. acidophilus* + *Bifidobacterium lactis* and *L. plantarum*. The mean duration of the studies was 7 weeks involving normo- (TC < 200 mg/dl) or hypercholesterolemic subjects. The pooled mean net change in LDL-C was  $-0.19$  mmol/l (7.35 mg/dl) ( $p < 0.01$ ) compared to controls. TG and HDL-C did not change significantly compared to the control groups. In a subgroup analysis, the maximum reduction in LDL-C was obtained in studies that included hypercholesterolemic subjects, with higher levels of cholesterol at baseline [81].

Based on available data it seems that the best results were obtained with *Lactobacillus* strains. One possible explanation might be the adaptation of *Lactobacillus* species (in particular *L. acidophilus* and *L. plantarum*) that can survive in acid and bile environment and easily colonize the gastro-

intestinal tract [82]. A stronger cholesterol-lowering effect of *Lactobacillus* was confirmed in the meta-analysis of RCTs by Shimizu *et al.*, where the most promising results were obtained after a treatment period of more than 4 weeks, but they could not prove any significant improvements in either HDL-C or TG [83]. More studies are needed to strictly define the types of subjects who would benefit most, the probiotic strains, the dosage forms or the administration vehicles of the strains (researching any interference or pleiotropic action due to the vehicle), the duration of the treatment, the dosages and the cholesterol-lowering mechanisms. To date, the clinical findings are still not sufficient to recommend probiotics as a nonpharmacologic alternative to improve the lipid profile.

**Safety:** Probiotics are considered to be generally very safe, and side effects are rare. The report released by the Agency for Healthcare Research and Quality (2011) concluded that, although the existing probiotic clinical trials reveal no evidence of increased risk, there are not enough data to answer questions on the safety of probiotics in intervention studies with confidence [84].

Class	Level	Active daily doses	Expected effects on LDL-C	Effects on other CV risk biomarkers	Direct vascular effects
IIb	B	Strain-dependent	-5% (strain-dependent)	None (at least none with a lipid-lowering effect)	Not demonstrated

### 3. Inhibitors of liver cholesterol synthesis

#### 3.1. Red yeast rice extract

**Mechanism of action:** Red yeast rice (RYR) is a nutraceutical obtained by the fermentation of a particular yeast (in general *Monascus purpureus*, *M. pilosus*, *M. floricidus* or *M. ruber*) in rice (*Oryza sativa*) that gives the typical red coloration to the rice for the presence of pigments produced by the secondary fermentative metabolism. Red yeast rice contains sugars (25–73%, in particular starch), proteins (14–31%), water (2–7%), fatty acids (1–5%), pigments (such as rubropunctamine, monascorubramine, rubropunctatin, monascorubin, monascin, ankaflavin), sterols, isoflavones and polyketides [85]. The yeast during the fermentation process enriches the rice of a complex of substances with important lipid-lowering activities including polyketides such as monacolins. Usually the food supplements derived from red yeast rice contain a concentration of monacolins of up to 1.9% [86]. Based on the conditions



of fermentation and the yeast strain used, today several types of monacolins have been identified (compactin, monacolins M, L, J, X) including the subtype monacolin K (MonK), structurally identical to lovastatin. The main cholesterol-lowering putative mechanism of action of red yeast rice is due by a reversible inhibitory action on 3-hydroxy-3-methyl-glutaryl-CoA (HMG-CoA) reductase (the key enzyme in endogenous cholesterol synthesis).

Despite having the same structure, MonK and lovastatin pharmacokinetic profiles and bioavailability can be different: in fact, if on one hand lovastatin is administered in conventional pharmaceutical form as a single active ingredient (31% of bioavailability in humans), MonK is only one of the components of the red yeast rice that can interact to change the typical pharmacokinetic profile of lovastatin. Another important aspect that emphasizes the possible pharmacokinetics and clinical efficacy differences regards the chemical structure of MonK: the ratio of lactone to acid strongly varies, the acid being the active one and much better absorbed. The acid form can range from 5% to 100% of the total MonK (difficult to estimate), greatly influencing the bioavailability of the molecule. The lactone ring opening can occur following metabolism in alkaline conditions or enzymatically by the small intestine and liver cytochrome P450 (CYP) 3A family [87, 88].

**Efficacy:** The lipid-lowering efficacy of RYR has been confirmed by some meta-analyses of RCTs; the most recent one included 20 trials evaluating the efficacy and safety profile of this nutraceutical. The results showed that (after 2–24 months) RYR reduced LDL-C on average by 1.02 mmol/l (–1.20; –0.83) (39.4 mg/dl) compared to placebo, which was not different from moderate-intensity statins (pravastatin 40 mg, simvastatin 10 mg, lovastatin 20 mg) (0.003 mmol/l; –0.36; 0.41) (0.12 mg/dl). A small increase of HDL-C (0.007 mmol/l; 0.03; 0.11) (0.3 mg/dl) and decrease of TG (–0.26 mmol/l; –0.35; –0.17) (23 mg/dl) compared to placebo was observed. The doses of RYR used were different and varied from 1200 mg to 4800 mg/day containing from 4.8 mg to 24 mg of MonK. Concerning the safety profile, the incidence of cases of liver abnormalities and kidney injury was between 0 and 5% in both groups (RYR and control). In addition, the incidence of developing muscular symptoms was lower in RYR groups (0 to 23.8%) compared to control groups (0–36%). There were no cases of rhabdomyolysis or myopathy with CK levels increased more than 10 times the upper limit [89]. In fact it has been clearly shown that the safety profile of RYR is similar to that of low-dose statins [90]. These data consolidate the results obtained in a previous Chinese meta-analysis including 93 trials with a total of 9625 participants included [91].

The reason why the reduction of serum cholesterol is comparable between “RYR” and “statin” groups (at doses many times higher than the corresponding MonK) is still unclear. It is possible that “non-statin components” of RYR (such as polyunsaturated fatty acids) exert pleiotropic actions on reducing cholesterol, thus reaching the values as the groups treated with single-component statins [85]. Furthermore, the tolerance of RYR is usually greater than statin treatment. The reason is again not clear, but it could be explained by the fact that the daily dose of MonK in dietary supplements is usually much lower than that of statins.

RYR also improves endothelial function in humans. In a clinical trial involving 50 patients with CHD, treated with 1200 mg/day of RYR or placebo for a period of 6 weeks and following a meal with high fat intake (50 g), the levels of hs-CRP and FMD (at 0 and 4 h) and the lipid parameters were monitored. The results showed that the group treated with RYR at the end of the 6-week follow-up obtained a reduction of hs-CRP and the area under the curve (AUC) of triglyceride (TG-AUC) ( $p < 0.001$  for each), in addition to an improvement of post-prandial and pre-prandial FMD ( $p < 0.001$ ). There were no significant changes in serum lipids and FMD in the placebo group [92].

RYR use is a rare example of a nutraceutical studied to evaluate its effects on CV outcomes. RYR supplementation has shown relevant efficacy in reducing CVD risk in adult and elderly patients in secondary prevention [92]. In a large trial involving 66 hospitals in China, 1445 patients (aged between 65 and 75 years) with a history of myocardial infarction (MI) were randomized to two groups (placebo vs. RYR) and followed for a mean of 4 years. RYR supplementation showed a reduction in the risk of CHD (31.0%,  $p = 0.04$ ), all-cause mortality (31.9%,  $p = 0.01$ ), stroke (44.1%,  $p = 0.04$ ), the need for coronary artery bypass graft (CABG) or a percutaneous coronary intervention (PCI) (48.6%,  $p = 0.07$ ) and malignancies (51.4%,  $p = 0.03$ ). It was also estimated that following RYR treatment for 4 years, the number needed to treat (NNT) to prevent one coronary event, one coronary death and one mortality due to all causes in elderly patients were respectively 18, 33 and 23. In adults, however, these numbers were 23, 82 and 51. Side effects were not significantly different between the groups [93].

**Safety:** Inhibitors or inducers of CYP450 may cause alterations of plasma concentrations of MonK. In fact, the concomitant use of some nutraceuticals (such as grapefruit juice) [94], food or drugs (cyclosporine, niacin, fibrates, coumarin, verapamil, antifungals, macrolides, nefazodone, HIV protease inhibitors [93]), which are CYP450 inhibitors may increase the risk of myotoxic side effects and in some rare cases cause rhabdomy-

olysis [95]. While the chronic administration of monacolins could be responsible for mild to moderately severe side effects, it is usually well tolerated. However, serious attention must be paid to citrinin, a mycotoxin metabolite derived from the fermentation of *Monascus* [96]. The chronic ingestion of citrinin is nephrotoxic in various animal species, gradually leading to hyperplasia of the renal tubular epithelium, renal adenomas and in some cases to renal tumors (at a dose of 50 mg/kg body weight (b.w.) causing tumors in 100% of the animals tested). Moreover, citrinin induces reproductive toxicity, malformations and proven embryo toxicity *in vitro* and *in vivo* [97–99]. The EFSA has established as 0.2 µg/kg b.w. per day the highest quantity of citrinin which can be taken by humans with no nephrotoxic effects [100]. However, at these doses genotoxic and carcinogenic effects are not excluded. In the market RYR supplements were detected with levels of citrinin exceeding 114 µg/capsule and for 4 capsules/day (recommended dosage) the mean was 456 µg/day of citrinin, which is well above the level of 20 µg/kg b.w. per day suggested by the EFSA [86].

In summary, the administration of RYR can be recommended because of its effects on LDL-C in patients with moderately elevated cholesterol, especially in primary prevention. On the basis of the available evidence, the EFSA has expressed a scientific opinion on the substantiation of health claims about the relationship between administration of RYR and the maintenance of plasma LDL-C levels – the relationship is possible using a dose of RYR which contains 10 mg of MonK (maximum daily dose in Europe as dietary supplement) [101]. However, some National Regulatory Agencies in Europe have recently suggested using lower dosages of MonK for safety purposes. Moreover, specific attention has to be given when full dosed RYR is administered in previously statin-intolerant subjects.

Class	Level	Active daily doses	Expected effects on LDL-C	Effects on other CV risk biomarkers	Direct vascular effects
I	A	3–10 mg (monacolin K)	–15% to –25%	↓ ApoB, hs-CRP, MMP-2, MMP-9	↑ FMD, ↓ PWV ↓ CV events in secondary prevention

### 3.2. Garlic (*Allium sativum*)

**Mechanism of action:** Garlic (*Allium sativum*) is a nutraceutical known for its multiple health properties. One of the most important molecules

present in garlic is allicin (diallyl thiosulfinate) produced from the non-proteinogenic amino acid alliin (S-allyl cysteine sulfoxide) in a reaction catalyzed by alliinase [102]. Allicin could be one of the chemical entities responsible for the garlic lipid-lowering mechanism of action. In fact, it seems to be an inhibitor of HMG-CoA reductase, squalene-monooxygenase and acetyl-CoA synthetase enzymes. Allicin contains a thiol group, so it is also possible that it reacts with non-acetylated-CoA directly, reducing acetyl-CoA available for endogenous synthesis of cholesterol [103]. Other suggested mechanisms of action of garlic are blocking the absorption of dietary cholesterol and fatty acids and increased excretion of bile acids. However, further studies are necessary to finally confirm these effects and the molecules responsible for these possible lipid-lowering activities [104].

**Efficacy:** In a meta-analysis of 39 RCTs enrolling 2298 mild-to-moderate hypercholesterolemic subjects the consumption of garlic extracts for at least 2 months showed a reduction of LDL-C (–0.23 mmol/l (9 mg/dl), more evident in individuals with TC < 5.17 mmol/l (200 mg/dl) at baseline) [103]. The same author highlighted the beneficial effect on blood pressure of garlic [105], while Jung *et al.* [106] underlined that it is able to reduce apoB and increase the LDL/Apo B ratio. According to a recent meta-analysis of RCTs, garlic seems not to have any effect on lipoprotein (a) (Lp(a)) level [107]. Finally, garlic might exert a significant antiplatelet activity in humans [108]. In conclusion, garlic at a dose of 6 g/day (depending on the percentage of allicin) could be useful in the management of mild cholesterolemia, probably more for the parallel anti-hypertensive and anti-platelet effect than for the lipid-lowering one. The high doses required and the common aftertaste could limit the long-term compliance with treatment.

**Safety:** Side effects are usually minimal (mostly gastrointestinal) and the extracts are well tolerated [104].

Class	Level	Active daily doses	Expected effects on LDL-C	Effects on other CV risk biomarkers	Direct vascular effects
IIa	A	5–6 g (extract)	–5% to –10%	↓ Blood pressure, platelet aggregation	Not demonstrated

### 3.3. Pantethine

**Mechanism of action:** Pantethine, a dimeric form of pantotheine, produced from pantothenic acid (vitamin B<sub>5</sub>) by the addition of cysteamine, has been widely tested for lipid-lowering activ-



ity. *In vitro* it inhibits fatty acid synthesis and HMG-CoA reductase [109, 110]. Several human trials have confirmed these effects in humans [111, 112].

**Efficacy:** Recently a 16-week triple-blinded RCT carried out on 120 subjects with low to moderate CVD risk was published [113]. The authors showed that following an adequate diet in comparison with placebo, pantethine 600–900 mg/day demonstrated significant ( $p < 0.005$ ) and sustained reductions (from baseline to week 16) in TC (3% – 0.16 mmol/l (6 mg/dl)), LDL-C (4% – 0.10 mmol/l (4 mg/dl)) and apoB (5% – 0.0001 mmol/l (4 mg/dl)). The data suggest that pantethine supplementation for 16 weeks (600 mg/day for weeks 1–8 then 900 mg/day for weeks 9–16) is safe and significantly lowers TC and LDL-C (although with relatively limited clinical relevance) over and above the effect of therapeutic lifestyle changes (TLC) or diet alone [114]. In a further 16-week triple-blinded RCT carried out on mildly hypercholesterolemic subjects pantethine 600–900 mg/day reduced LDL-C level by 11% [115].

**Safety:** The tolerability of pantethine is overall high, with acceptable safety confirmed also in children [114] and patients in hemodialysis [116].

Class	Level	Active daily doses	Expected effects on LDL-C	Effects on other CV risk biomarkers	Direct vascular effects
Ila	A	600–900 mg	Not applicable	Not demonstrated	Not demonstrated

### 3.3. Bergamot (*Citrus bergamia*)

**Mechanism of action:** Bergamot is the common name of the fruit *Citrus bergamia* Risso and differs from other *Citrus* fruits in its composition, particularly rich in flavonoids (as neoeriocitrin, neohesperidin, naringin, rutin, neodesmin, rhoifolin, poncirin) [117]. In particular, the 3-hydroxy-3-methyl-glutaryl flavanone enriched fraction (HMGF: brutieridin, melitidin and HMG-neoeriocitrin) has been extracted from the bergamot peel; they act as statins by inhibiting HMG-CoA reductase and ACAT, reducing the formation of cholesterol esters and limiting the transport of cholesterol in the blood. Bergamot also contains naringin: like neoeriocitrin, melitidin and rutin it inhibits the oxidation of LDL-C, initiates adenosine-monophosphate-kinase (AMPK) and has shown scavenging activity, suggesting a possible preventive antiatherosclerotic mechanism. It is also possible that bergamot increases the fecal excretion of cholesterol, reducing the intestinal absorption and increasing the turnover and excretion of bile acids [118, 119].

**Efficacy:** Clinical studies on the lipid-lowering properties of bergamot are still very few. The study

by Gliozzi *et al.*, a prospective, open-label, parallel group, placebo-controlled trial, involved 77 patients with mixed dyslipidemia divided into 5 groups: placebo ( $n = 15$ ), rosuvastatin 10 mg ( $n = 16$ ), rosuvastatin 20 mg ( $n = 16$ ), bergamot 1000 mg (bergamot derived polyphenolic fraction, BPF) ( $n = 15$ ), and bergamot 1000 mg + rosuvastatin 10 mg ( $n = 15$ ). After 4 weeks of treatment, LDL-C decreased from a baseline value of 4.94 mmol/l (191 ± 3 mg/dl) to a value of 2.97 mmol/l (115 ± 4 mg/dl) (level of reduction: –1.96 mmol/l/75.8 mg/dl) after rosuvastatin 10 mg, to 2.26 mmol/l (87.3 mg/dl) (–2.69 mmol/l/104 mg/dl) after rosuvastatin 20 mg, 2.92 mmol/l (113 ± 4 mg/dl) (–2.02 mmol/l/78.1 mg/dl) after BPF 1000 mg, and 2.33 mmol/l (90 ± 3 mg/dl) (–2.61 mmol/l/100.9 mg/dl) after rosuvastatin 10 mg + BPF 1000 mg/day. Moreover, the groups treated with BPF (either alone or in combination) experienced a reduction of some biomarkers of vascular oxidative damage including malonyldialdehyde (MDA; one of the major aldehydes formed during lipid peroxidation) and oxidized LDLs [120]. The same author evaluated the effects of bergamot (1300 mg/day) in 107 patients (divided into two groups) with metabolic syndrome (MetS) and non-alcoholic fatty liver disease (NAFLD) during 120 consecutive days. They found that the treated group experienced a marked reduction of small dense LDL (sdLDL) and TG, and increased HDL-C levels compared to placebo. Moreover, this was accompanied by a significant reduction of serum glucose, transaminases,  $\gamma$ -glutamyl-transferase, and inflammatory biomarkers such as hs-CRP and tumor necrosis factor- $\alpha$  (TNF- $\alpha$ ) [121]. Moreover, the amount of atherogenic sdLDL significantly decreased (–35%), while large buoyant LDLs increased (+38%; both  $p < 0.05$ ) compared to baseline levels. These data are important, and emphasize the potential use of bergamot for reducing CVD risk, knowing that elevated levels of sdLDL-C associated with NAFLD are associated with an increased CV risk [122]. A further study involved 237 patients divided into four groups: A ( $n = 104$ ) – subjects with hypercholesterolemia (LDL-C > 3.36 mmol/l (130 mg/dl)) treated with bergamot (500 mg/day for 30 days); B ( $n = 42$ ) – patients with hyperlipidemia (hypercholesterolemia and hypertriglyceridemia) treated with bergamot (1000 mg/day for 30 days); C ( $n = 59$ ) – patients with MetS treated with placebo; and D ( $n = 32$ ) – hyperlipidemic patients who stopped treatment with simvastatin because of side effects (cramps and increased serum levels of creatine kinase (CK)), treated with bergamot (1500 mg/day for 30 days) after a 60-day wash-out period. The results showed dose-dependent lipid-lowering action of bergamot (groups A and B respectively LDL-C: –24.1% and –30.6%, TG: –28.2% and –37.9%, HDL-C: +22.3% and +40.1%,  $p < 0.001$  for all) compared to baseline. Group C (placebo) did not show significant reductions

in serum cholesterol. Group D showed a reduction of LDL-C and TG (–25.0% and –27.6%, respectively;  $p < 0.001$  for all), without any side effects [123]. In summary, the evidence indicates that bergamot has lipid-lowering effects, both quantitative and qualitative, especially by reducing the levels of sdLDL and TG and significantly increasing HDL-C levels. This suggests a potential use of bergamot in hypercholesterolemic and/or hypertriglyceridemic patients, intolerant to statins, with NAFLD and MetS. The main limitation of the evidence on bergamot efficacy is related to the fact that the greater part of the clinical literature has been provided by a single research unit and not confirmed elsewhere. Moreover, data on vascular parameters such as endothelial function and arterial stiffness are not available yet.

**Safety:** Clinical studies conducted to date with dosages between 500 and 1500 mg/day showed a good safety profile, with no side effects detected.

Class	Level	Active daily doses	Expected effects on LDL-C	Effects on other CV risk biomarkers	Direct vascular effects
IIa	B	500–1000 mg (BPF)	–15% to –40%	↓ sdLDL, hs-CRP, TNF- $\alpha$	Not demonstrated

### 3.4. Policosanols

**Mechanism of action:** Policosanols are aliphatic primary alcohols mainly extracted from sugarcane (*Saccharum officinarum* L) wax. The interest in policosanols has increased based on early Cuban studies, indicating the lipid-lowering and antiplatelet effects of this nutraceutical [124]. Policosanols have demonstrated inhibitory action on HMG-CoA reductase and on bile acid absorption, in addition to an activating effect on AMPK (increase of fatty acid  $\beta$ -oxidation) [125].

**Efficacy:** In recent years several clinical studies have suggested a reducing action of this nutraceutical on lipid profile, but the results are often non-significant. The study by Berthold *et al.* showed that the administration of policosanols in hypercholesterolemic patients does not statistically improve the levels of TC, TG, HDL-C and LDL-C. This trial included 143 subjects divided into five groups each treated with 10, 20, 40, 80 mg of policosanols or placebo. Nobody in any group at the end of the study (12 weeks) showed a reduction of more than 10% in LDL-C [126]. These data were confirmed by the study of Backes *et al.*, where policosanols did not significantly change the lipid profile of hypercholesterolemic subjects in either of the two treatment groups (treated with policosanol or policosanol in addition to statin therapy) or placebo compared to the control [127]. Neither policosanols from rice nor wheat germ showed any

significant effect on LDL-C, HDL-C, TG, oxLDL, apoB, Lp(a), homocysteine, CRP, fibrinogen or blood coagulation factors [128, 129]. There are several clinical studies demonstrating the efficacy of combinations containing policosanols also in association with other nutraceuticals (such as fermented red rice, berberine), but the role of the lipid-lowering effect of policosanols in these combinations is not clear. Based on all these data, policosanols should not be recommended in clinical practice until new well-designed studies are performed that definitively clarify its lipid-lowering potential effect.

**Safety:** The tolerability of policosanols is usually very good.

Class	Level	Active daily doses	Expected effects on LDL-C	Effects on other CV risk biomarkers	Direct vascular effects
III	A	10–80 mg	Non-significant	None	Not demonstrated

## 4. Inducer of LDL-cholesterol excretion

### 4.1. Berberine

**Mechanism of action:** Berberine (BBR) is a quaternary benzyloisoquinoline alkaloid present in the root, rhizome, stem, fruit and bark of various species of plants including *Coptis* (*Coptis chinensis*, *Coptis japonica*), *Hydrastis* (*Hydrastis canadensis*) and *Berberis* (*Berberis aristata*, *Berberis vulgaris*, *Berberis croatica*) [130]. The findings of the lipid-lowering effect of berberine are relatively recent. The mechanisms by which BBR regulates plasma cholesterol levels are essentially two: first, it is an inhibitor of proprotein convertase subtilisin/kexin type 9 (PCSK9) through the ubiquitination and degradation of hepatocyte nuclear factor 1 $\alpha$  (HNF-1 $\alpha$ ), causing increased levels and limited degradation of the hepatic LDL receptor (LDLR). Second, BBR acts directly on the expression of LDLR via two identified mechanisms, causing up-regulation of the receptors through a post-transcriptional mechanism that stabilizes their mRNA (activation of extracellular signal regulated kinases (ERK) and Jun amino-terminal kinase (JNK)-dependent pathways) [131, 132]. BBR also has some secondary mechanisms of action; recent studies have emphasized that it reduces the intestinal absorption of cholesterol, increasing the fecal excretion and promoting the hepatic cholesterol turnover and the formation of bile acids [133]. Moreover, BBR is an activator of AMPK, which determines an increase of fatty acid oxidation and a reduction of the expression of lipogenic genes. Finally, it is an effective inhibitor of nicotinamide adenine dinucleotide phosphate (NADPH) oxidase-mediated oxidative stress [134, 135]. It is important to

emphasize that BBR is just one of the alkaloids present in the plants; it is therefore possible that some pleiotropic activities (such as antioxidant, anti-inflammatory, insulin sensitizer) might be due to some other substances and not only BBR. In *Berberis vulgaris* alone, in addition to berberine, the following compounds have been identified: alkaloids – acanthine, bargustanine, berbamine, berberrubine, beriambine, bervuleine, columbamine, jatrorrhizine, lambertine, magnoflorine, palmatine, thaliemidine; vitamins – ascorbic acid, vitamin K, β-carotene; and tannins, flavonoids and flavanols, triterpenes, and coumarins [136]. The bioavailability of BBR is lower than 1%. This is due to the poor intestinal absorption (56%), caused by a self particulate aggregation which reduces the solubility in the gastrointestinal tract, to the low permeability of the molecule (Biopharmaceutical Classification System (BCS) class III) and to the intestinal and liver first-pass metabolism (43.5% and 0.14%, respectively) [137]. The effect of the intestinal first pass is still unclear, but it is probably of enzymatic origin including CYP2D6 and CYP3A4 in liver metabolism. Finally, BBR is also the substrate of the efflux pump P-glycoprotein (P-gp). Therefore in recent years alternative approaches have been studied to increase the bioavailability of BBR, using permeability enhancers (sodium caprate, sodium deoxycholate, chitosan), P-gp inhibitors (silymarin), or modified release dosage forms (nanoemulsions, micelles, liposomes, nanoparticles), with quite satisfactory results.

**Efficacy:** The lipid-lowering efficacy of BBR has been confirmed by a recent meta-analysis that included 27 clinical studies with 2569 participants. The effects of berberine on lipids were: LDL-C: -0.65 mmol/l (95% CI: -0.75; -0.56,  $p = 0.00001$ ) (25.14 mg/dl); TG: -0.39 mmol/l (95% CI: -0.59; -0.19,  $p = 0.0001$ ) (34.5 mg/dl); HDL-C: 0.07 mmol/l (95% CI: 0.04; 0.10,  $p = 0.00001$ ) (2.71 mg/dl). These effects seem to be additive to those of statins and associated with a positive impact on glucose metabolism and blood pressure as well [138]. A recent study enrolled 130 patients undergoing PCI, randomized to two groups, and treated with BBR 600 mg/day or placebo in addition to standard therapies. The BBR group showed a marked reduction in TG (26% BBR vs. 13% control; the difference did not reach statistical significance due to large inter-individual variations) and LDL-C (24% vs. 17% BBR control:  $p < 0.001$ ) compared to the control group. In addition, both groups showed a reduction in the levels of interleukin 6 (IL-6) and monocyte chemoattractant protein-1 (MCP-1) ( $p < 0.05$  for each), as well as hs-CRP, intercellular adhesion molecule-1 (ICAM-1), vascular cell adhesion molecule-1 (VCAM-1), and matrix metalloproteinase 9 (MMP-9) ( $p < 0.001$  for all) compared to baseline. The levels of MMP-9, ICAM-1

and VCAM-1, after 1 month, were significantly reduced to a greater extent in the BBR group compared to the control and baseline ( $p < 0.05$ ) [137]. In summary, the use of BBR at doses ranging between 500 and 1500 mg has proved to be effective in lipid lowering and relatively safe both in primary and secondary prevention. Compared to nutraceuticals with a statin-similar mechanism of action, BBR has a greater effect in the reduction of triglyceridemia, partly related to its positive effect on insulin-resistance [139]. Its use can therefore be recommended, especially in patients intolerant to statins, with mild hypercholesterolemia and in patients with MetS.

**Safety:** Based on the abovementioned data, side effects are mild to moderate, mostly gastrointestinal (diarrhea, constipation, abdominal distension) and comparable to the control groups [139]. No significant differences were detected in the levels of aspartate transaminase (AST), alanine transaminase (ALT), and creatinine in comparison to the control group [140].

Class	Level	Active daily doses	Expected effects on LDL-C	Effects on other CV risk biomarkers	Direct vascular effects
I	A	500–1500 mg	-15% to -20%	↓ ApoB, TG, hs-CRP, IL-6, MCP-1, ICAM-1, VCAM-1, MMP-9, glucose, HOMA index, blood pressure	Not demonstrated

#### 4.2. Green tea extracts

**Mechanism of action:** Some available RCTs on green tea suggest that its consumption could be protective against CHD and CVD [140]. Green tea is particularly rich in antioxidants such as polyphenols (up to 35% of dried weight) that are well-known cardioprotective compounds. The major fraction of polyphenols in green tea is catechins, structurally flavan-3-ols. The most important one is the epigallocatechin-3-gallate (EGCG), known for its antioxidant and cardioprotective properties. It is possible that beyond the antioxidant effects derived from polyphenols and the reduction of lipid peroxidation, green tea interferes with micellar solubilization and absorption of cholesterol. Green tea is an activator of AMPK (stimulating lipogenesis) and an HMG-CoA reductase inhibitor. Tea catechins have been reported to have an inhibitory effect on the ileal apical sodium-dependent bile acid transporter

(reducing reabsorption of bile acids) to enhance the hepatic LDL-R expression and the biliary excretion of cholesterol [141, 142].

**Efficacy:** A meta-analysis of 20 RCTs and 1536 participants showed a reduction of LDL-C (mean difference (MD):  $-0.19$  mmol/l (7.35 mg/dl); 95% CI:  $-0.3$ ;  $-0.09$ ,  $p = 0.0004$ ). The lipid-lowering effects of green tea were found to be greater in RCTs with longer duration. Moreover, green tea extract exerts a mild but significant antihypertensive effect. The tested daily doses ranged from 250 to 1200 mg of green tea extract or from 170 to 850 mg of EGCG [143]. Moreover, green tea is associated with an improvement in FMD [144] and pulse wave velocity (PWV) [145], despite no apparent effect on hs-CRP levels [146]. Therefore, overall the consumption of green tea might be associated with a decreased risk of CVD morbidity and mortality [147]. There are also no data on its influence on TG and HDL-C.

**Safety:** Usually the consumption of green tea is well tolerated; however, in some cases rash, transient elevation of blood pressure and mild gastrointestinal disorders may occur. Moreover, high doses of green tea can cause a deficiency of iron and folate due to its capacity to bind and reduce their intestinal absorption. Therefore, particular attention should be given to green tea consumption during pregnancy [143].

Class	Level	Active daily doses	Expected effects on LDL-C	Effects on other CV risk biomarkers	Direct vascular effects
Ila	A	25–100 g	–5%	↓ Blood pressure	↑ FMD, ↓ PWV (tea)

### 4.3. Soy and lupin proteins

**Mechanism of action:** Preclinical and clinical evidence supports the positive effects of soy and lupin proteins on lipid profile. Generally, it is believed that bioactive peptides present in soy and lupin (such as conglutin- $\gamma$ ) may be responsible for the lipid-lowering effect of these legumes [148]. However, the isoflavones could contribute to this effect as well [149]. The cholesterol-lowering mechanisms proposed for soy and lupin seem to be numerous but are still unclear, including the down-regulation of the expression of the hepatic transcription factor of sterol regulatory element binding protein (SREBP-1) (via PI3K/Akt/GSK3 $\beta$  pathways, with decreased hepatic lipoprotein secretion and cholesterol content), the regulation of SREBP-2 (with increased clearance of cholesterol from the blood), the reduction of cholesterol synthesis, the increase of apoB receptor activity or the increase of the fecal excretion of bile salts [150–153].

**Efficacy:** Several meta-analyses of RCTs have underlined the cholesterol-lowering properties of soy. In particular, a recent one including 35 RCTs and 2670 subjects concluded that soy proteins (in particular B-conglycinin globulin) have a cholesterol-lowering effect with a mean reduction in LDL-C of 3% ( $-0.12$  mmol/l/4.6 mg/dl), TC of 2% ( $-0.14$  mmol/l/5.4 mg/dl) and TG of 4% ( $-0.06$  mmol/l/5.3 mg/dl) and is able to increase HDL-C by 3% ( $+0.04$  mmol/l/1.6 mg/dl), the effect being proportional to the baseline LDL-C level [154]. The mean tested dose was 30 g/day.

If isoflavones seem not to add significantly to the lipid-lowering effect of soy proteins, they seem to have direct positive effects on endothelial function [155] and arterial stiffness [156].

Yellow lupine (*Lupinus luteus*) is composed of proteins (30–35%), fibers (30%), carbohydrates (3–10%) and fat (6%, of which 81% is unsaturated); in addition, there are both macro-elements (including phosphorus, calcium and magnesium) and microelements (including zinc, copper, chromium and cobalt) [157]. One aspect that differentiates lupin from other legumes is the absence of phytoestrogens, low sodium content and low glycemic index. In a randomized cross-over study, 33 hypercholesterolaemic subjects (TC > 6.6 mmol/l/255 mg/dl) were included and treated for 8 weeks with 25 g/day of lupin protein isolate (LPI) followed by 4 weeks of washout and 8 weeks of treatment with milk protein isolate (MPI). Compared to baseline, a significant reduction of LDL-C was already observed in both groups after 4 weeks ( $-12\%$ ,  $p < 0.008$ ). In the LPI group, the levels of HDL-C increased significantly ( $p < 0.036$ ) and the LDL/HDL ratio decreased ( $p = 0.003$ ), compared to the MPI group [158]. These results were confirmed by the same author in the next randomized, controlled, double-blind three-phase crossover trial, including 72 patients with hypercholesterolemia treated for 28 days with LPI, MP (milk protein) or MPA (milk protein 1.6 g/day of arginine). In addition to reducing LDL-C levels (in MPA and LPI groups), the LPI group showed an improvement in the levels of homocysteine (compared to the MPI and MPA groups), uric acid and TG [159]. The cholesterol-lowering properties of lupin were also highlighted in a third RCT proving a reduction of LDL-C ( $-12\%$ ) comparable to the studies described previously [160]. It is also worth mentioning that exposure to isoflavone-containing soy products modestly, but significantly, improved endothelial function, as demonstrated in a meta-analysis of 17 RCTs [155].

In conclusion, the intake of soy and lupine represents a potential, relatively weak adjuvant therapy in the treatment of hypercholesterolemia, especially in individuals with moderate cholesterol levels.



**Safety:** The chronic use of a high quantity of soy products containing isoflavones could interfere with thyroid function and fertility. Furthermore, soybean and its derivatives contain high amounts of phytic acid that reduces the absorption of minerals such as calcium, magnesium, copper, iron and zinc. Lupine has shown a good safety profile, causing no severe side effects, and those which occurred were mostly gastrointestinal. The large amount of vegetable proteins which have to be taken in order to obtain a significant LDL-C reduction could decrease patient compliance in the long term and should be accompanied by an attentive balance of the other dietary sources of proteins.

Class	Level	Active daily doses	Expected effects on LDL-C	Effects on other CV risk biomarkers	Direct vascular effects
IIb	A	25–100 g	–3% to –10%	Not demonstrated in humans	↑ FMD (soy with isoflavones)

## 5. Other nutraceuticals with mixed mechanisms of action

### 5.1. Polyunsaturated $\omega$ -3 fatty acids

Omega-3 ( $\omega$ -3) fatty acids are polyunsaturated fatty acids (PUFAs) which contain a double bond in position 3 at the end of the carbon chain. Natural sources of  $\omega$ -3 are present both in animal (fish, krill, egg, squid) and plant (algae, flaxseed, walnut, edible seeds, clary sage, seed) sources [161]. In recent years the EFSA, the AHA and the Food Standards of Australia and New Zealand (FSANZ) organizations have recognized  $\omega$ -3 fatty acids as preventive nutraceuticals for CVD [27]. EFSA established a claim in 2010 indicating that the intake of at least 2 g/day of docosahexaenoic acid (DHA) and eicosapentaenoic acid (EPA) has the ability to maintain normal blood TG levels [162, 163]. The AHA has indicated doses from 2 to 4 g/day of EPA/DHA to reduce TG levels by 25–30% [164]. All these guidelines agree about the high safety of PUFAs, despite the relatively frequent fishy aftertaste and occasional abdominal discomfort.

**Mechanism of action:** The mechanisms through which  $\omega$ -3 reduce TG are: the reduction of synthesis of hepatic VLDL, the reduction of available substrate for the synthesis of new TG ( $\omega$ -3 are false substrates), the reduction of the activity of TG-synthesizing enzymes (diacylglycerol acyltransferase or phosphatidic acid phosphohydrolase), the increase of  $\beta$ -oxidation of fatty acids, the reduction of the endogenous syn-

thesis of fatty acids and the increase of synthesis of phospholipids [165].

**Efficacy:** EFSA health claims and the statement of the AHA are supported by a large number of RCTs. The meta-analysis of Eslick *et al.* included 47 RCTs with 16 511 participants with hypercholesterolemia to assess the effects of the average daily dose of 3.25 g of EPA/DHA for 24 weeks. The results showed a significant reduction in TG of 14% ( $-0.34$  mmol/l (30.12 mg/dl), 95% CI:  $-0.41$ ;  $-0.27$ ). In addition, there was a small insignificant reduction of LDL-C of 0.06 mmol/l (2.3 mg/dl), but no differences in HDL-C [166]. These results were confirmed in normolipidemic and borderline subjects in the meta-analysis by Leslie *et al.* that included 2270 individuals with optimal lipid or sub-optimal TG profiles (TG < 2 mmol/l (177 mg/dl)). In studies that used more than 4 g/day of  $\omega$ -3 TG were reduced by 9–26%, while a reduction of 4–51% was found with doses from 1 to 5 g/day of  $\omega$ -3 [167]. A dose-dependent effect of  $\omega$ -3 was also observed by Di Stasi *et al.* with additional benefits obtainable for lipid profile when supplementation of  $\omega$ -3 was raised as high as 4.9 g/day [168]. It was also observed that the administration of EPA or DHA individually gave comparable effects in the reduction of TG, but not other lipid parameters; in fact, DHA showed a very modest reduction of LDL-C by 5% and slightly increased HDL-C, while EPA produced no significant changes [169, 170]. PUFAs might also be associated with an improvement of FMD and PWV, and, with larger dosages, with positive effects on inflammatory diseases and mood [171]. Moreover, in the large long-term Gruppo Italiano per lo Studio della Sopravvivenza nell' Infarto miocardico (GISSI) Prevenzione trial that involved 11 324 patients surviving recent MI, supplementation with 1 g of EPA/DHA significantly reduced the risk of CV death [172].

A rich source of  $\omega$ -3 PUFAs is krill (*Euphausia superba*), a small crustacean that lives in the Antarctic Ocean, containing many types of long-chain PUFAs. The  $\omega$ -3 present in krill oil (EPA + DHA) appears to be better absorbed in the gastrointestinal tract than that found in fish oil: this is possible because of phosphatidylcholine (the main phospholipid present in krill (40%), which binds EPA and DHA), which confers greater stability to fatty acids. In addition, krill oil is rich in antioxidants, including vitamin E and astaxanthin [173]. Therefore, at the same dose, krill oil appears to be more effective than fish oil in the adjustment of lipid profile. Ulven *et al.* reported that the effects on the reduction of TG of a dose of 543 mg of DHA and EPA contained in krill oil are comparable to doses of 2.66 g of EPA and DHA present in fish oil [174]. Similar data were obtained by Cicero *et al.*

[175]. In the most recent meta-analysis of 7 RCTs with 662 participants Ursoniu *et al.* found a significant reduction in plasma concentrations of LDL-C (−15.52 mg/dl (0.4 mmol/l); 95% CI: −28.43 to −2.61;  $p = 0.018$ ), and TG (−14.03 mg/dl (0.16 mmol/l); 95% CI: −21.38 to −6.67;  $p < 0.001$ ), and significant elevation in plasma concentrations of HDL-C (6.65 mg/dl (0.17 mmol/l); 95% CI: 2.30 to 10.99;  $p = 0.003$ ) following supplementation with krill oil [176].

Controversial results were obtained with  $\alpha$ -linolenic acid (ALA), an  $\omega$ -3 fatty acid found in many vegetable oils (such as olive and flaxseed oil). Nevertheless, a rich source of ALA, the flaxseed (*Linum usitatissimum*, ALA = 50–62% of flaxseed oil or 22% of whole flaxseed), an oilseed crop grown on all continents, showed lipid-lowering activity regarding LDL-C (−0.08 mmol/l). This effect may be explained by the other components of flaxseed including lignans (0.2–13.3 mg/g flaxseed) and soluble fibers (25% of total weight) that could enhance the reduction of total cholesterol. The cholesterol-lowering effects were more significant in females (in particular in postmenopausal women) and in individuals with high cholesterol levels at baseline [177]. Flaxseed seems also to exert a mild but significant antihypertensive effect [178]. The available data suggest that the consumption of flaxseed is safe and well tolerated. Another vegetable source of essential fatty acids is sesame. A recent meta-analysis of RCTs showed that sesame fractions intake is associated with a significant TG reduction (−0.24 mmol/l (21.3 mg/dl); 95% CI: −0.32; −0.15,  $p < 0.001$ ), while no changes occurred with LDL-C or HDL-C levels [179].

In conclusion, omega-3 EPA and DHA represent a valid nutraceutical to reduce TG in the blood (by 18–25%) while their effects on LDL-C and HDL-C are clinically insignificant. However, it has to be stressed that CVD outcome studies with  $\omega$ -3 have produced inconsistent results and their clinical efficacy appears to be related to non-lipid effects. Especially, it needs be noted that smaller doses than 2–4 g/day such as a low dose supplementation of a margarine with n-3 PUFAs (400 mg/day EPA + DHA) or  $\alpha$ -linolenic acid (2 g/day) do not significantly reduce TG levels, as was confirmed in an RCT involving 4837 post-MI patients. Such supplementation also did not reduce the rate of major CVD events [180]. A relatively recent meta-analysis including data from 63 030 patients from 20 clinical trials showed that treatment with  $\omega$ -3 did not have an impact on a composite CVD endpoint or total mortality but was associated with a significantly decreased rate of vascular death [181].

**Safety:** No serious side effects have been reported; most of them have been defined as mild gastrointestinal [169].

Class	Level	Active daily doses	Expected effects on LDL-C	Effects on other CV risk biomarkers	Direct vascular effects
I	A	1–4 g	Not applicable	↓ sdLDL, TG, hs-CRP, TNF- $\alpha$ , ↓ adhesion molecules, ↓ blood pressure	↑ FMD, ↓ PWV, ↓ post-myocardial infarction sudden death risk

## 5.2. $\gamma$ -oryzanol

**Mechanism of action:** Another nutraceutical with a potential effect on lipid profile is gamma-oryzanol ( $\gamma$ -oryzanol) from rice brain oil [182].  $\gamma$ -oryzanol is a mix of triterpene alcohol and sterol ferulates with lipid-lowering actions by the inhibition of HMG-CoA reductase and the reduction of intestinal cholesterol absorption [183]. To date in Korea at least 16 varieties of rice bran oil, containing different percentages of  $\gamma$ -oryzanol (26.7 to 61.6 mg/100 g), have been identified [184].

**Efficacy:** The lipid-lowering effects of this nutraceutical have been shown in *in vitro* and *in vivo* studies (in animals and humans); however, it remains unclear what are the dosages to be used in clinical practice, and what is the impact of  $\gamma$ -oryzanol on vascular health [182]. A recent meta-analysis of 11 RCTs showed that rice bran oil consumption resulted in a significant decrease in concentrations of LDL-C (−0.18 mmol/l (7 mg/dl), 95% CI: −0.26; −0.09,  $p < 0.001$ ). The increase in HDL-C levels was considerable only in men (0.17 mmol/l (6.6 mg/dl); 95% CI: 0.06; 0.28,  $p = 0.002$ ) [185].

**Safety:** Overall, no side effects have been registered with rice bran oil consumption [186].

Class	Level	Active daily doses	Expected effects on LDL-C	Effects on other CV risk biomarkers	Direct vascular effects
IIb	B	300 mg ( $\gamma$ -oryzanol)	−5% to −10%	↓ ApoB, ↑ HDL-C	Not demonstrated

## 5.3. Spirulina

**Mechanism of action:** Spirulina (*Arthrospira platensis*) is a filamentous microalga with known lipid-lowering effects, but with an unclear mechanism of action [186]. Spirulina contains high amounts of antioxidants such as  $\beta$ -carotene, phycocyanin, microelements (K, Na, Ca, Mg, Fe, Zn), vitamins (tocopherols), amino acids, and PUFAs. C-phycocyanin, a particular



essential pigment of Spirulina, contains a phycocyanobilin, which can activate atheroprotective heme oxygenase-1 (HMOX-1), a key enzyme in the heme catabolic pathway in endothelial cells. Moreover, phycocyanin has proven antioxidant, anti-inflammatory and radical scavenging properties [187].

**Efficacy:** According to experimental studies in alloxan-injured mice, phycocyanin decreases TC and TG levels in serum, increases the hepatic glycogen level and maintains glucokinase (GK) expression in the liver. A recent meta-analysis that included 7 clinical trials to assess the effect of spirulina supplementation on plasma lipid concentrations showed the lipid-lowering efficacy of spirulina, with a reduction of LDL-C by  $-1.07$  mmol/l (41.32 mg/dl) (95% CI:  $-1.57$ ;  $-0.57$ ,  $p < 0.001$ ), TC by  $1.21$  mmol/l (46.76 mg/dl) (95% CI:  $-1.74$ ;  $-0.68$ ,  $p < 0.001$ ) and TG by  $-0.5$  mmol/l (44.23 mg/dl) (95% CI:  $-0.57$ ;  $-0.43$ ,  $p < 0.001$ ) and an increase of HDL-C of  $+0.16$  mmol/l (6.06 mg/dl) (95% CI:  $0.06$ ;  $0.25$ ,  $p = 0.001$ ) [188].

**Safety:** Spirulina is considered to be one of the most healing and prophylactic ingredients of nutrition in the 21<sup>st</sup> century due to its nutrient profile, lack of toxicity and therapeutic effects. According to the available data it seems to be very well tolerated.

Class	Level	Active daily doses	Expected effects on LDL-C	Effects on other CV risk biomarkers	Direct vascular effects
Ila	B	400–800 UI	–5%	↓ TG, ↑ HDL-C	Not demonstrated

#### 5.4. Curcumin

**Mechanism of action:** Curcumin is the major phenolic compound present in the spice turmeric (in the rhizome of *Curcuma longa*, curcuminoids constitute approximately 5% of the weight), associated with different properties including lowering plasma cholesterol, antioxidant and anti-inflammatory effects [188]. Other polyphenolic molecules belonging to the class of curcuminoids are demethoxycurcumin and bisdemethoxycurcumin. As reported in recent meta-analyses of RCTs, curcuminoids showed an increase in serum activities of superoxide dismutase ( $p = 0.0007$ ) and catalase ( $p = 0.005$ ) and glutathione concentrations ( $p = 0.01$ ) and a reduction in serum lipid peroxides ( $p = 0.008$ ) [189] and of TNF- $\alpha$  [190]. The lipid-lowering mechanisms of action of curcuminoids are unclear; nevertheless, it seems that curcumin inhibits the expression of the NPC1L1 transporter via the SREBP2 transcription factor [191], and that it increases the efflux

of cholesterol via expression of ABCA1 and activating AMPK-SIRT1-LXR $\alpha$  signaling in THP-macrophage-derived foam cells [192]. Furthermore, curcumin enhances the number of LDL-R and promotes LDL particle uptake through downregulation of the expression of PCSK9 [193]. In addition, epigenetic modulators such as microRNAs (miRs) have emerged as novel targets of curcumin [194].

**Efficacy:** Nevertheless, the results of RCTs regarding the effects of curcumin on lipid profile are still inconsistent; a meta-analysis by Sahebkar *et al.* showed no significant effects on LDL-C, HDL-C or TG when considering heterogeneous populations [195]. However, recently some available trials have provided more positive data. In a study including MetS patients, treatment with 1 g/day of curcuminoids as an add-on to the standard therapy resulted in a significant reduction of LDL-C ( $-0.78$  mmol/l (30 mg/dl),  $p < 0.001$ ), TG ( $-0.2$  mmol/l (17.7 mg/dl),  $p = 0.006$ ), and Lp(a) ( $-0.286$   $\mu$ mol/l (8 mg/dl),  $p < 0.001$ ) and an elevation of HDL-C ( $+0.18$  mmol/l (7 mg/dl),  $p = 0.003$ ) [196]. These data have since been confirmed in a further trial carried out on 100 MetS patients [197] and in another one with 80 NAFLD patients [198]. In recent RCTs, supplementation with curcumin (1 g/day) was associated with a reduction of serum uric acid ( $p < 0.001$ ) [199], increase of adiponectin ( $+76.78\%$ ,  $p = 0.033$ ) levels and reduction of leptin ( $-26.49\%$ ,  $p = 0.238$ ) [189]. All these effects seem to be related to the main metabolic effect of curcumin, which is an improvement of insulin resistance [200]. Finally curcumin consumption is also associated with an improvement in FMD [201] and PWV [202].

**Safety:** Curcumin's safety profile is good and well documented. Nevertheless, a major problem is its oral bioavailability: curcumin has low solubility in water and it is a substrate of rapid metabolism. New strategies of release have been studied and tested such as phytosomal complexation with phosphatidylcholine, coadministration of piperine, using turmeric oleoresin, reducing particle size, and changing the formulation (nanoemulsion, solid lipid nanoparticle, microencapsulation); however, *in vivo* data of these new formulations are lacking [203].

Class	Level	Active daily doses	Expected effects on LDL-C	Effects on other CV risk biomarkers	Direct vascular effects
Ila	B	1–3 g	–5%	↓ TG, Lp(a), glucose, HbA <sub>1c</sub> , HOMA index, hs-CRP, TNF- $\alpha$ , IL-6, ↑ adiponectin, HDL-C	↑ FMD, ↓ PWV

### 5.5. L-carnitine

**Mechanism of action:** Carnitine is a hydrophilic quaternary amine that plays a number of essential roles in metabolism with the main function being the transport of long-chain fatty acids from the cytosol to the mitochondrial matrix for  $\beta$ -oxidation [204].

**Efficacy:** L-carnitine has no effect on LDL-C levels, but a meta-analysis of 7 RCTs including data from 375 patients showed a significant reduction of Lp(a) levels following L-carnitine supplementation ( $-0.31 \mu\text{mol/l}$  (8.82 mg/dl), 95% CI:  $-0.36$ ;  $-0.27$ ,  $p < 0.001$ ) [205]. Moreover, L-carnitine seems to have a positive impact on body weight management [206]. In the most recent meta-analysis of 10 RCTs with 925 patients Mazidi *et al.* showed significant reduction of serum CRP and TNF- $\alpha$  concentrations following L-carnitine administration ( $-0.60 \text{ mg/l}$  (5.71 nmol/l), 95% CI:  $-0.87$  to  $-0.32$ , and  $-0.36 \text{ pg/dl}$ , 95% CI:  $-0.56$  to  $-0.15$ , respectively) [207].

**Safety:** No safety concerns have been raised, but reliable safety data are still lacking.

Class	Level	Active daily doses	Expected effects on LDL-C	Effects on other CV risk biomarkers	Direct vascular effects
IIb	B	1–2 g	Not applicable	↓ hs-CRP, ↓ Lp(a), ↓ body weight	Not demonstrated

### 5.6. Artichoke (*Cynara scolymus*, *Cynara cardunculus*)

**Mechanism of action:** According to some pre-clinical and clinical investigations, artichoke leaf extract (ALE) has potential hypolipidemic and hepatoprotective effects. The beneficial effects of artichoke can be attributed to its antioxidant action. The main substances are mono- and dicaffeoylquinic acid (cynarin and chlorogenic acid), caffeic acid (1%), volatile sesquiterpene and flavonoids (1%), which include the glycosides luteolin-7 $\beta$ -rutinoside (scolymoside), luteolin-7 $\beta$ -D-glucoside and luteolin-4 $\beta$ -D-glucoside [208, 209]. The lipid-lowering mechanisms of artichoke seem to be essentially two: the interaction of luteolin with the HMG-CoA reductase enzyme and the pathways of regulation in the liver of sterol regulatory element-binding proteins (SREBPs) (paradoxically elevated in patients with non-alcoholic steatohepatitis (NASH)) and acetyl-CoA C-acetyltransferase (ACAT) [210].

**Efficacy:** An RCT (75 patients treated for 12 weeks with 1280 mg/day of artichoke leaf extract or placebo) showed a mean reduction of TC by 4.2% compared to baseline and an increasing

trend of TC levels in the placebo group. The total difference between the two groups was statistically significant ( $p = 0.0025$ ). No significant difference between groups was observed for LDL-C, HDL-C or TG levels [211]. A second RCT (143 patients treated with 1800 mg/day of ALE for 6 weeks) showed a mean reduction of TC of 18.5% compared to 8.6% in the placebo group – the difference between the two groups was statistically significant ( $p < 0.00001$ ). Moreover, the levels of LDL-C were also significantly decreased in the artichoke leaf extract group (mean:  $-1.26 \text{ mmol/l}$  (48.7 mg/dl)) compared to the placebo group (mean:  $-0.33 \text{ mmol/l}$  (12.8 mg/dl),  $p < 0.00001$ ). No differences were observed for other lipid parameters [212]. The third study (44 patients treated with 1920 mg/day of ALE for 12 weeks) showed no significant differences in the lipid profile at the end of the treatment. However, according to a subgroup analysis, patients treated with artichoke leaf extract who had at baseline TC levels greater than 230 mg/dl showed a significant reduction of TC when compared with those treated with placebo ( $p = 0.0015$ ) [213]. In a recent RCT, 60 patients moderately hyperlipidemic and hypercholesterolemic with NASH were treated with 2700 mg/day of artichoke extract (6 tablets) or placebo for 2 consecutive months. The results showed a significant reduction of LDL-C by 11.5% ( $p = 0.039$ ) and TG by 20.1% ( $p = 0.011$ ) compared to baseline. The most recent meta-analysis of 9 RCTs with 702 subjects suggested a significant decrease in plasma concentrations of TC (weighted mean difference (WMD):  $-17.6 \text{ mg/dl}$  (0.46 mmol/l), 95% CI:  $-22.0$ ,  $-13.3$ ,  $p < 0.001$ ), LDL-C ( $-14.9 \text{ mg/dl}$  (0.39 mmol/l), 95% CI:  $-20.4$ ,  $-9.5$ ,  $p = 0.011$ ) and triglycerides (WMD:  $-9.2 \text{ mg/dl}$  (0.1 mmol/l), 95% CI:  $-16.2$ ,  $-2.1$ ,  $p = 0.011$ ) [214]. In addition, artichoke extract showed pleiotropic activities in the improvement of certain liver enzymes such as AST and ALT ( $p < 0.001$ ), blood sugar ( $p = 0.029$ ) and systolic blood pressure ( $p = 0.004$ ) [210].

**Safety:** In all studies reported to date no serious side effects have been detected, confirming the good tolerability and safety of artichoke leaf extract in the short-medium term. What is more, ALE exerts a hepatoprotective effect, and might be useful in statin-intolerant patients with elevated ALT levels. In some cases, there have been minor and transient gastrointestinal effects. Long-term safety studies are not available yet. In conclusion, ALE could represent an adjuvant in the regulation of lipid profile and liver levels of AST and ALT; however, the available clinical data are still preliminary. Studies on vascular outcomes such as arterial stiffness and endothelial function are also needed.

Class	Level	Active daily doses	Expected effects on LDL-C	Effects on other CV risk biomarkers	Direct vascular effects
Ila	B	1–3 g	–5% to –15%	↓ TG ↓ AST, ALT, glucose	Not demonstrated

### 5.7. Vitamin E

**Mechanism of action:** Vitamin E includes eight distinct chemical entities:  $\alpha$ -,  $\beta$ -,  $\gamma$ -, and  $\delta$ -tocopherol and  $\alpha$ -,  $\beta$ -,  $\gamma$ -, and  $\delta$ -tocotrienol. The most studied is  $\alpha$ -tocopherol, while other forms are poorly understood. There are several suggested mechanisms of lipid-lowering action of vitamin E: peroxisome proliferator-activated receptor (PPAR- $\alpha$ , PPAR- $\beta$ , and PPAR- $\gamma$ ) activation, HMG-CoA reductase inhibition and radical scavenger [215].

**Efficacy:** Data from clinical trials are however disappointing, showing minimal effects of vitamin E on lipid pattern [216]. On the other hand, vitamin E has shown a preventive action on CV risk. At doses between 50 and 200 mg it showed an improvement of endothelial function (reducing the serum levels of hs-CRP, advanced glycation end products, metalloproteinases and cell adhesion molecules) and arterial stiffness (improving PWV, pulse volume (PV) and the augmentation index (AI)) [217, 218]. Clinical studies are needed to finally clarify the conflicting results in the literature, the dosages (100 mg tocotrienols are different to 100 mg of vitamin E and 100 mg of tocopherols) and vitamin E components, which have greater lipid-lowering efficacy. Even if vitamin E seems not to be an effective lipid-lowering treatment, its supplementation seems to be associated with reduced risk of fatal myocardial infarction [219].

**Safety:** In humans, doses of tocotrienols up to 1000 mg/day have been reported as safe [220].

Class	Level	Active daily doses	Expected effects on LDL-C	Effects on other CV risk biomarkers	Direct vascular effects
Ilb	B	400–800 UI	≤ –5%	↓ ApoB, ↑ HDL-C	↑ FMD, ↓ PWV, ↓ risk of myocardial infarction

### 5.8. Anthocyanins

**Mechanism of action:** Anthocyanins are flavonoid pigments found in leaves, stems, roots, flowers, fruits and vegetables with dark color as blueberries, black rice, purple cabbage, raspberries, purple grapes and cherries. Among the biological

activities exerted by anthocyanins, clinical trials have demonstrated particular antioxidant, anti-inflammatory and lipid-lowering effects [221].

**Efficacy:** In 58 diabetic patients, 320 mg/day of anthocyanins for 24 weeks significantly decreased LDL-C (–7.9%,  $p < 0.05$ ), TG (–23%,  $p < 0.01$ ), apoB48 (–16.5%,  $p < 0.05$ ), and apoCIII (–11%,  $p < 0.01$ ) and increased HDL-C (+19.4%,  $p < 0.05$ ) compared to placebo. Moreover, the anthocyanin group showed an improvement of fasting plasma glucose (–8.5%,  $p < 0.05$ ), of homeostasis model assessment (HOMA) for insulin resistance index ( $p < 0.05$ ) and of adiponectin concentrations (+23.4%,  $p < 0.01$ ) [222]. In addition to lipid-lowering effects, anthocyanins are potent antioxidants, reducing the oxidative stress and inflammation; in humans maqui berry extract significantly decreased lipid peroxidation, urinary F2-isoprostanes and inflammatory mediators [223]. Epidemiological data suggest that higher dietary intakes of anthocyanins are associated with lower carotid-femoral PWV and carotid intima-media thickness [224].

**Safety:** Anthocyanins are well tolerated without side effects at dosages ≤ 640 mg/day. Further studies are needed to assess the clinical efficacy of anthocyanins in different kinds of populations and to evaluate their vascular effects. Moreover, it will also be important to investigate the bioavailability of these compounds and the efficacy and safety of their metabolites. In fact it is well known that the bioavailability of anthocyanins is low and they are rapidly biotransformed into derivatives of phenolic acid [225].

Class	Level	Active daily doses	Expected effects on LDL-C	Effects on other CV risk biomarkers	Direct vascular effects
Ilb	B	100–450 mg	–5% to 10%	↓ oxLDL, TG, glucose, HbA <sub>1c</sub> , HOMA index, ↑ adiponectin, HDL-C	Not demonstrated

### 5.9. Silymarin (*Silybum marianum*)

**Mechanism of action:** Silymarin is a complex of flavonolignans of the fruit *Silybum marianum* containing silybin, isosilybin, silydianin and silychristin, four polyphenolic molecules with poor water solubility. It is known for its antioxidant and hepatoprotective properties and in recent years as an adjuvant in hyperlipoproteinemias [226]. Silymarin reduces lipid peroxidation of LDL by acting as chain breaking antioxidant by scavenging free radicals and being associated with enzyme complexes such as superoxide dismutase and glutathione.

**Efficacy:** There are preliminary studies suggesting a potential role of silymarin as lipid-lowering agent; studies *in vitro* have shown an inhibitory effect of silybin on HMG-CoA reductase and *in vivo* an improved uptake of LDL by the liver and a reduction of hepatic cholesterol synthesis [227]. Specific data on hypercholesterolemic patients are not available. In diabetic patients, silymarin improves fasting plasma glucose (FPG) and HbA<sub>1c</sub>, but not lipid parameters [227].

**Safety:** Silymarin has low bioavailability. Further investigations and combination studies are needed to improve the intestinal absorption of this molecule; e.g. in complex with phosphatidylcholine, silybin increases its bioavailability 10-fold. Safety data are still lacking.

Class	Level	Active daily doses	Expected effects on LDL-C	Effects on other CV risk biomarkers	Direct vascular effects
III	C	NA	-0%	↓ oxLDL, AST, ALT, γGT, glucose, HbA <sub>1c</sub>	Not demonstrated

### 5.10. Conjugated linoleic acid

**Mechanism of action:** Conjugated linoleic acids (CLAs) are isomeric forms of linoleic acid, derived prevalently from the fatty tissues and milk of ruminant animals. CLA is both a *trans* fatty acid and a *cis* fatty acid [228].

**Efficacy:** In prospective observational studies, dietary linoleic acid (LA) intake is inversely associated with CHD risk in a dose-response manner [229]. In a recent systematic review and meta-analysis, CLA supplementation was associated with a significant decrease in LDL-C (MD: -0.22 mmol/l (8.5 mg/dl); 95% CI: -0.36; -0.08, *p* = 0.002), while non-significant results were obtained for HDL-C and TG [230]. The results of a small RCT suggest that CLA supplementation could impair endothelial function [231].

**Safety:** In 2008, FDA categorized CLA as generally recognized as safe.

Class	Level	Active daily doses	Expected effects on LDL-C	Effects on other CV risk biomarkers	Direct vascular effects
III	C	1-6 g	-5%	Not demonstrated	↓ FMD (Worsened)

### 5.11. Other nutraceuticals

Other nutraceuticals commonly cited as improving cholesterol metabolism are resveratrol

and *Hibiscus sabdariffa* L. (sour tea), but their lipid-lowering effects are not substantiated by available data [232, 233]. However, sour tea could have a significant blood pressure decreasing effect [234]. Astaxanthin, a powerful antioxidant carotenoid, is often cited as having a lipid-lowering effect, but this effect is not supported by clinical evidence, while its supplementation is associated with a mild but significant improvement in fasting plasma glucose [235].

## 6. Nutraceutical combinations

Rational combinations of nutraceuticals with different lipid-lowering activities, particularly when associated with an appropriate lifestyle, should provide an alternative to drug treatment in patients in primary CVD prevention with mildly elevated LDL-C (especially for those who are not on target) and in some statin-intolerant patients [236]. There are many nutraceuticals with significant lipid-lowering properties. Most of them are used in combination with a low dosage of other nutraceuticals, statins and other lipid-lowering drugs, because that allows the risk of side effects to be reduced and the efficacy to be improved (reducing the residual CV risk) [237]. Moreover, natural products with different mechanisms of action can be associated to achieve a potential synergistic effect, acting on the absorption of lipids from the bowel and/or increasing their excretion (soluble fibers, glucomannan, plant sterols, probiotics), enhancing the hepatic uptake of cholesterol (berberine, soybean proteins), inducing LDL-C excretion (berberine, soy proteins, chlorogenic acid), inhibiting HMG-CoA reductase enzyme and limiting the hepatic synthesis of cholesterol (monacolins, policosanols, allicin, soybean proteins, bergamot), reducing the oxidation of LDL and increasing thermogenesis and lipid metabolism (chlorogenic acid) [238].

For the below combination therapies no *mechanism of action* is described, as it has been presented in detail in the previous sections.

### 6.1. Red yeast rice and policosanols

**Efficacy:** The association of red yeast rice extract (340 mg containing 5 mg of MonK) and octacosanols (10 mg) was tested in 111 patients with moderate hypercholesterolemia, normal/border-line triglyceridemia and low CVD risk (< 20% according to the Framingham Risk Score (FRS)). The reduction of LDL-C was 20% and it was similar to that obtained with pravastatin at the dose 20 mg/day, without any serious safety concerns [239]. The efficacy of the combination of red yeast rice extract (200 mg, corresponding to 3 mg of MonK) with linear aliphatic alcohols (10 mg) was also



evaluated in the treatment of primary-moderate hypercholesterolemia with a placebo-controlled clinical trial on 240 patients with overall coronary risk < 20% (FRS); after 4 months a significant reduction in LDL-C (-29%) and non-HDL-C (-26%) ( $p < 0.001$ ) was achieved [240]. The combination of red yeast rice extract (200 mg, corresponding to 3 mg of Monacolin K) with policosanols (10 mg) was also tested in a large single-blind, randomized, multicenter study in 411 centers, comparing the effect of the nutraceutical association plus diet versus diet alone with a follow-up of 16 weeks. In 2408 eligible subjects (1665 adults and 743 elderly), LDL-C was reduced by 21%, while HDL-C improved by 13%, without significant changes in TG levels [241]. Beneficial results were also obtained in 40 children with heterozygous familial hypercholesterolemia (HeFH) and familial combined hyperlipidemia (FCH), who received a dietary supplement containing red yeast rice extract (200 mg, corresponding to 3 mg of monacolins) and policosanols (10 mg) for 8 weeks; the treatment was effective, safe and well tolerated [242].

**Safety:** Based on the data from all above-mentioned studies, no serious safety concerns have been raised.

Class	Level	Active daily doses	Expected effects on LDL-C	Effects on other CV risk biomarkers	Direct vascular effects
I	A	Monacolin K 3–5 mg, policosanols 10 mg	-15% to -21%	Not demonstrated	Not demonstrated

### 6.2. Red yeast rice, policosanols and berberine

**Efficacy:** The association of the lipid-lowering properties of red yeast rice (3 mg MonK), policosanols (10 mg) and berberine (500 mg) is the most studied in RCTs and the only one for which meta-analyses of RCTs are available. A recent meta-analysis of 14 RCTs including data from 3159 subjects showed that the red yeast rice-policosanols-berberine association improved the plasma level of LDL-C by -0.61 mmol/l (23.6 mg/dl) ( $p < 0.001$ ), HDL-C by 0.07 mmol/l (2.71 mg/dl) ( $p < 0.001$ ), TG by -0.16 mmol/l (14.2 mg/dl) ( $p < 0.001$ ) and glucose by -0.14 mmol/l (2.52 mg/dl) ( $p = 0.010$ ). Moreover, the improvement in both lipid and glucose profile appeared to be maintained in the long-term observation [243]. Then, the improvement of lipid profile was not dependent on duration of supplementation or baseline lipid levels, while a greater glucose-lowering effect was found with higher baseline glucose levels

and longer durations of supplementation [244]. Moreover, the efficacy of this nutraceutical combination was investigated in a recent clinical trial that involved 100 subjects with low-grade systemic inflammation (hs-CRP levels > 2 mg/l) and normal-borderline cholesterol levels (LDL-C = 100–160 mg/dl (2.5–4.1 mmol/l)). After 3 months of treatment a reduction of LDL-C (-23%) ( $p < 0.001$ ) was reported; hs-CRP and endothelial microparticle levels were also significantly decreased (-41% and -16%, respectively). It was highlighted that LDL-C change was positively associated with hs-CRP ( $p = 0.04$ ) and endothelial microparticle changes ( $p < 0.001$ ); hs-CRP and endothelial microparticle changes were also related to each other ( $p = 0.005$ ). Therefore, in addition to improving cholesterol profile, there is potential for this combination of nutraceuticals to attenuate the degree of systemic inflammation and endothelial injury in subjects with low-grade systemic inflammation [244]. This combination has also been tested in adult and elderly patients previously intolerant to statins [245, 246], being well tolerated in 80% of cases. The genetic variants of LDLR and PCSK9 that could be related to variations in response to lipid-lowering effects of this supplement have also been investigated. Three polymorphisms in the 3' UTR region of LDLR and two in the 5' UTR region of PCSK9 were associated with response to the treatment. These results could explain the variability observed in the response among patients with moderate hypercholesterolemia, and they may be useful in identifying subjects who could potentially benefit the most from this supplementation [247]. It was also observed that endothelial function and PWV were improved by the combination of red yeast rice and berberine in dyslipidaemic patients [248, 249].

**Safety:** Based on the available data, no serious safety concerns have been raised.

Class	Level	Active daily doses	Expected effects on LDL-C	Effects on other CV risk biomarkers	Direct vascular effects
I	A	Monacolin K 3 mg, policosanols 10 mg, berberine 500 mg	-20% to -25%	↓ hs-CRP, TG, glucose	↑ FMD, ↓ PWV

### 6.3. Red yeast rice and plant sterols

**Efficacy:** The association of plant sterols/stanols with certain lipid-lowering ingredients was demonstrated to strengthen cholesterol-lowering efficacy and add TG-lowering effects [250].

A small cohort of hypercholesterolemic patients (18 subjects) was assigned to the intake of a nutraceutical product containing red yeast rice 1200 mg (titration in monacolin K not reported) and phytosterols 1250 mg per daily dose. The results showed a reduction of LDL-C of 33% (1.37 mmol/l (53 mg/dl)) after 6 weeks of treatment ( $p < 0.05$ ) [251]. These results have been recently confirmed in a double-blind, placebo-controlled, randomized clinical trial evaluating the effect of phytosterols 800 mg, red yeast rice (monacolin K 5 mg) and their association in 90 hypercholesterolemic subjects. The group treated with the nutraceutical association experienced a LDL-C reduction by  $-27.0\%$  and apoB decrease by  $-19.0\%$  (both,  $p < 0.001$ ) [252].

**Safety:** In the available studies none of the participants reported any muscle pains and no abnormal liver function tests were observed while taking this supplement. However, further studies with longer follow-up are necessary to conclusively confirm this.

Class	Level	Active daily doses	Expected effects on LDL-C	Effects on other CV risk biomarkers	Direct vascular effects
Ila	B	Monacolin K 5–10 mg, phytosterols 800–1250 mg	$-25\%$ to $-30\%$	↓ ApoB	Not demonstrated

#### 6.4. Red yeast rice and artichoke

**Efficacy:** Red yeast rice and artichoke have been combined with other nutraceuticals in order to test their activity on lipid parameters and inflammation. Some available trials have been carried out on an association of red yeast rice (166.67 mg, 0.4% monacolin K), sugar cane-derived policosanols (3.70 mg, 90% policosanols–octacosanol 60%), and artichoke leaf extracts (200 mg, 5–6% chlorogenic acid) to be taken as 3 tablets per day. In a double-blind, randomized, parallel controlled study on 39 subjects with moderate hypercholesterolemia, after 16 weeks of treatment, LDL-C was reduced by 21.4% (95% CI:  $-13.3$ ;  $-24.9\%$ ,  $p < 0.001$ ), while TG decreased by 12.2% (95% CI:  $-24.4$ ;  $-0.1\%$ ,  $p < 0.05$ ) [253]. In a 16-week, randomized, double-blind, placebo-controlled trial carried out on 100 subjects the authors observed a reduction of LDL-C by 14.3% ( $-0.57$  mmol/l (22 mg/dl), 95% CI:  $-0.8$ ;  $-0.31$ ), as well as TC, apoB100 and apoB100/apoA-I ratio, without modifying safety parameters [254]. Doubling the daily dose seemed not to add additional benefits, while there were no safety concerns also

with the higher dosage [255]. The association of red yeast rice (200 mg, containing monacolin K 10 mg), artichoke extract (500 mg), and banaba extract (50 mg) was evaluated in a double-blind, placebo-controlled, cross-over designed trial in 30 adults with suboptimal LDL-C levels, in primary prevention of CVD. Patients followed a period of 6 weeks of treatment with a nutraceutical or placebo, then 2 weeks of washout and finally 6 weeks in crossover. After the active treatment, there was observed a significant improvement in LDL-C ( $-18.2\%$ ), non-HDL-C ( $-15\%$ ), glutamic oxaloacetic transaminase ( $-10\%$ ), glutamate-pyruvate transaminase ( $-30.9\%$ ), and hs-CRP ( $-18.2\%$ ) vs. placebo. No changes were observed in the other investigated parameters in both groups [256].

**Safety:** Based on the available data, no serious safety concerns have been raised, but further studies with longer follow-up are necessary to conclusively confirm this.

Class	Level	Active daily doses	Expected effects on LDL-C	Effects on other CV risk biomarkers	Direct vascular effects
Ila	B	Monacolin K 2–10 mg, artichoke extract 500–600 mg	$-14\%$ to $-21\%$	↓ hs-CRP, TG	Not demonstrated

#### 6.5. Red yeast rice, policosanols, and silymarin

**Efficacy:** A double-blind, placebo-controlled trial evaluated the effects of a combination of red yeast rice (334 mg containing monacolin 10 mg), policosanols (30 mg), and silymarin (150 mg) compared to placebo on lipid profile, endothelial and inflammatory parameters in 134 low-risk dyslipidemic patients. After 3 months of treatment, the nutraceutical supplement decreased LDL-C compared to baseline ( $p = 0.041$ ) and to placebo ( $p = 0.037$ , respectively). Triglycerides were reduced by the active product ( $p = 0.039$ ), but not by the placebo, even though, in the group-to-group comparison, no significant difference was recorded ( $p = 0.061$ ). All tested inflammatory parameters (soluble intercellular adhesion molecule-1, soluble vascular cell adhesion molecule-1, soluble E-selectin, MMP-2 and -9, hs-CRP, IL-6 and TNF- $\alpha$ ) were reduced by the nutraceutical combination [257]. Another research group tested the same combination in a group of 80 hypercholesterolemic subjects in an 8-week randomized clinical trial. When compared to placebo, nutraceutical combination treated patients experienced a more favorable percentage change



in LDL-C (−23.3%), hs-CRP (−2.4%), and endothelial function (pulse volume displacement vs. baseline: +17%). No significant difference was observed with respect to effects on TG, HDL-C and safety parameters [258].

**Safety:** Further studies with a long follow-up are necessary to evaluate the safety issues for this combination.

Class	Level	Active daily doses	Expected effects on LDL-C	Effects on other CV risk biomarkers	Direct vascular effects
IIb	B	Monacolin K 10 mg, policosanol 30 mg, silymarin 150 mg	−14% to −23%	↓ inflammatory biomarkers	↑ FMD

### 6.6. Red yeast rice and antioxidants

**Efficacy:** A nutraceutical supplement composed of red yeast rice (10 mg MonK) and coenzyme Q10 (CoQ10) (30 mg) was tested in a double-blind, placebo-controlled, crossover, randomized clinical trial carried out on 25 healthy, mildly hypercholesterolemic subjects. The treatment duration was 4 weeks. When compared to the placebo group, monacolins/CoQ10-treated patients experienced a more favorable percent change in LDL-C (−21.99%, 95% CI: −26.63; −17.36), non-HDL-C (−14.67%, 95% CI: −19.22; −10.11), MMP-2 (−28.05%, 95% CI: −35.18; −20.93), MMP-9 (−27.19%, 95% CI: −36.21; −18.15), and hs-CRP (−23.77%, 95% CI: −30.54; −17.01). No significant differences were observed with respect to TGs, HDL-C, and safety parameters [259]. The same combination was then evaluated in 40 moderately hypercholesterolemic subjects with a double-blind, placebo-controlled, randomized clinical trial. After 6 months of active treatment, the results showed a more favourable percentage change in LDL-C (−26.3%;  $p < 0.05$ ), endothelial reactivity (PV displacement: +6.0%;  $p < 0.05$ ) and arterial stiffness (PWV: −4.7%;  $p < 0.05$ ) [260]. Red yeast rice (10 mg monacolins) has also been associated with a pool of antioxidants (green tea dry extract, 100 mg; CoQ10, 20 mg; astaxanthin, 2 mg; resveratrol, 20 mg; and quercetin, 50 mg) and has been tested in a crossover, double-blind, placebo-controlled randomized clinical trial on 25 moderately hypercholesterolemic, pharmacologically untreated subjects, as primary prevention for CVD. After 4 weeks of the active treatment patients experienced an improvement of cholesterol levels (LDL-C −22.36%; non-HDL-C −22.83%), hs-CRP

−2.33% and endothelial function (PV displacement after monacolin treatment, 18.59%) [261].

**Safety:** Based on the available data, no serious safety concerns have been raised.

Class	Level	Active daily doses	Expected effects on LDL-C	Effects on other CV risk biomarkers	Direct vascular effects
IIa	B	Monacolin K 10 mg, CoQ10 or other antioxidants	−20% to −26%	↓ hs-CRP	↑ FMD, ↓ PWV

### 6.7. Berberine combined with bioactive lipid-lowering agents other than red yeast rice

**Efficacy:** *Berberis aristata* extract (588 mg, containing 500 mg berberine) was combined with chlorogenic acid (67 mg) and tocotrienols (143 mg) in a double-blind, cross-over designed trial versus placebo, in 40 overweight subjects with mixed hyperlipidemia. After 8 weeks of treatment, there was observed a significant improvement in LDL-C (−24%), TG (−19%), non-HDL-C (−22%), fasting insulin (−5%) and HOMA index (−10%) [262]. Another double-blind, placebo-controlled, crossover RCT tested the efficacy of the administration of *Berberis aristata* (588 mg extract containing 500 mg berberine) with *Silybum marianum* (105 mg extract) twice a day in 102 dyslipidemic patients. The results showed a reduction of LDL-C and TG, and an increase of HDL-C after the first 3 months of treatment; the lipid profile worsened after a 2-month washout period and improved again when the nutraceutical combination was reintroduced for a further 3 months [263]. Given the low lipid-lowering activity of components different from berberine, it is not clear whether the observed effects are related to berberine per se or not.

**Safety:** Based on the available data, no serious safety concerns have been raised, but further studies with a long follow-up are still necessary to confirm this.

Class	Level	Active daily doses	Expected effects on LDL-C	Effects on other CV risk biomarkers	Direct vascular effects
IIb	B	Berberine 500–1000 mg, CoQ10 or other antioxidants	−16% to −24%	↓ TG, HOMA index	Not demonstrated

## 6.8. Soy proteins and plant sterols

**Efficacy:** Low dosed soy proteins and plant sterols have been demonstrated to have mild but additive cholesterol-lowering effects in humans [264]. The intake of soy proteins with plant sterols in patients with MetS and LDL-C  $\geq$  160 mg/dl (4.1 mmol/l) showed a significant ( $p < 0.05$ ) improvement in LDL-C, non-HDL-C, cholesterol/HDL-C and TG/HDL-C ratios, apoB, apoB/apoA-1 ratio, homocysteine, total LDL particle number and large HDL particle number, in particular after 12 weeks of treatment [265]. Soy proteins (16.2 g/1000 kcal) and plant sterols (1.2 g/1000 kcal) were also combined with viscous fibers (8.3 g/1000 kcal) and almonds (16.6 g/1000 kcal) in the treatment of a small cohort of hypercholesterolemic subjects: after their intake for 4 weeks, there was reported a reduction of LDL-C of  $35.0 \pm 3.1\%$  ( $p < 0.001$ ), and the ratio of LDL-C to HDL-C ( $30.0 \pm 3.5\%$ ,  $p < 0.001$ ) [266].

**Safety:** Based on the available data, no safety concerns have been raised, but further studies with a long follow-up are still necessary to confirm this.

Class	Level	Active daily doses	Expected effects on LDL-C	Effects on other CV risk biomarkers	Direct vascular effects
IIa	B	Soy protein 8–25 g, phytosterols 800–2000 mg	–16% to –30%	↓ ApoB	Not demonstrated

## 6.9. Different nutraceutical combinations supported by single RCTs

For these combined products, due to lack of enough data, is not possible, at this moment, to suggest a final classification. The level of evidence might have been limited to “B” or “C” – expert opinion with the class of suggestion “IIb” waiting for confirmative trials.

### 6.9.1. Red yeast rice and omega-3 polyunsaturated fatty acids

**Efficacy:** The efficacy of a combined nutraceutical with red yeast rice (5 mg monacolin K) and PUFAs (610 mg: 183 mg EPA, 122 mg DHA) was evaluated in a clinical trial on 107 pharmacologically untreated subjects, affected by primary polygenic hypercholesterolemia and MetS, in primary prevention for CVD. After 8 weeks of treatment, the results showed a significant decrease in LDL-C ( $-0.97 \pm 0.35$  mmol/l (37.5 mg/dl);  $-22 \pm 3\%$ ), TG ( $-0.22 \pm 0.28$  mmol/l (19.5 mg/dl);  $-9 \pm 5\%$ ) and

non-HDL-C ( $-21 \pm 3\%$ ) and a significant increase in HDL-C ( $+1.5 \pm 0.5\%$ ) ( $p < 0.001$  for all), without the modification of safety parameters. In particular, 75% of subjects reached an LDL-C target of less than 160 mg/dl and 25% of less than 130 mg/dl. Moreover, the study highlighted a greater decrease in TG levels only in patients with baseline TG  $> 500$  mg/dl, who showed a 11% reduction ( $p < 0.001$  vs. subjects with baseline TG  $< 150$  mg/dl) [267].

**Safety:** No safety concerns. Very limited data; further studies are necessary.

### 6.9.2. Red yeast rice, bitter gourd chlorella, soy protein, and licorice

**Efficacy:** Extracts from red yeast rice, bitter gourd, chlorella, soy protein and licorice were combined to evaluate their effects in subjects with MetS in a 12-week, randomized, placebo-controlled clinical trial (106 participants). Each tablet was composed 0.37 g of red yeast rice, 40 g of fresh bitter gourd, 1.5 g of chlorella, 1.1 g of soybean and 2.2 g of licorice. The active group was treated with three tablets/day. LDL-C decreased significantly after the treatment ( $3.4 \pm 0.7$  (131 mg/dl) to  $2.7 \pm 0.5$  mmol/l (104 mg/dl),  $p < 0.001$ ). In addition, TGs were reduced ( $-0.2 \pm 0.3$  mmol/l (18 mg/dl),  $p = 0.039$ ). Moreover, this nutraceutical compound has also shown potential in normalizing blood pressure [268].

**Safety:** No safety concerns. Very limited data; further studies are necessary.

### 6.9.3. Psyllium and plant sterols

**Efficacy:** A nutraceutical supplement with psyllium (7.68 g) and plant sterols (2.6 g) was administered to a small cohort of subjects (33 patients), with LDL-C levels between 2.58 (100 mg/dl) and 4.13 mmol/l (160 mg/dl), in a placebo-controlled clinical trial. Subjects were treated for 4 weeks with the nutraceutical combination vs. placebo, followed by a 3-week washout period, and finally received the alternative treatment (placebo/nutraceutical) for another 4 weeks. The improvement in lipid profile included a reduction of LDL-C from  $3.5 \pm 0.7$  mmol/l (135 mg/dl) to  $3.1 \pm 0.8$  mmol/l (120 mg/dl) after the intake of the nutraceutical product ( $p < 0.01$ ). Moreover, this combination led to a reduction of plasma apoB concentrations ( $p < 0.01$ ) [269].

**Safety:** No safety concerns. Very limited data; further studies are necessary.

### 6.9.4. Psyllium and n-3 polyunsaturated fatty acids

**Efficacy:** Plant sterols with omega-3 fatty acids have synergistic lipid-lowering effects, as demon-

strated in a 3-week randomized, placebo controlled clinical trial on 60 hyperlipidemic individuals. The participants were divided into 4 groups and received either Sunola oil or  $\omega$ -3 long chain PUFAs capsules (1.4 g/day) with or without phytosterols (2 g) or placebo. The combination of phytosterols and  $\omega$ -3 PUFAs reduced LDL-C by 12.5% ( $p = 0.002$ ) and TG by 25.9% ( $p = 0.005$ ); moreover, it increased HDL-C (8.6%,  $p = 0.04$ ) [270].

*Safety:* No safety concerns. Very limited data; further studies are necessary.

#### 6.9.5. Garlic and omega-3 polyunsaturated fatty acids

*Efficacy:* Garlic oil (500 mg) and fish oil (600 mg) were administered to a small sample of hypercholesterolemic subjects (16 patients) for 2 months and compared with a control group (16 patients). The results showed an improvement of lipid parameters: LDL-C -21%, TG -37%, VLDL -36.7%, TC/HDL-C ratio -23.4%, HDL-C +5.1% [271].

*Safety:* No safety concerns. Very limited data; further studies are necessary.

#### 6.9.6. $\gamma$ -oryzanol and $\omega$ -3 polyunsaturated fatty acids

*Efficacy:* The combination of  $\gamma$ -oryzanol (40 mg) with  $\omega$ -3 polyunsaturated fatty acids (1100 mg) was demonstrated to improve lipid profile (LDL-C  $-0.93 \pm 0.97$  mmol/l (36 mg/dl),  $p < 0.0001$ , TG  $-0.60 \pm 0.63$  mmol/l (23.2 mg/dl), all  $p < 0.001$ ), as well as inflammatory and oxidative status in dyslipidemic volunteers [272].

*Safety:* No safety concerns. Very limited data; further studies are necessary.

#### 6.9.7. Plant proteins and soluble fibers

*Efficacy:* Plant proteins (lupin protein or pea protein) associated with soluble fibers (oat fiber or apple pectin) demonstrated lipid-lowering activity in hypercholesterolemic patients in primary prevention of CVD: significant reductions of TC levels were observed in all groups (each one with 25 subjects), in particular lupin protein + cellulose ( $-0.3$  mmol/l (11.6 mg/dl), -4.2%), casein + apple pectin ( $-0.39$  mmol/l (15 mg/dl), -5.3%), pea protein + oat fiber ( $-0.35$  mmol/l (13.5 mg/dl), -4.7%) and pea protein + apple pectin ( $-0.43$  mmol/l (16.6 mg/dl), -6.4%) ( $p < 0.05$ ). Moreover, LDL-C was significantly reduced in pea protein + apple pectin combinations ( $-0.27$  mmol/l (10.4 mg/dl), 9.2%) ( $p < 0.004$  vs. control). The association of pea protein + oat fiber also showed 4% glucose reduction, 57% insulin reduction and 25% decrease of the HOMA index [273].

*Safety:* No safety concerns. Very limited data; further studies are necessary.

#### 6.9.8. Glucomannan and plant sterols

*Efficacy:* Glucomannan (10 g/day) and plant sterols (1.8 g/day) were tested in a randomized, crossover trial on 34 mild hypercholesterolemic subjects. The study consisted of four phases of 21 days, with each phase separated by a 28-day washout. An improvement of lipid parameters was assessed after the treatment (LDL-C  $2.9 \pm 0.2$  mmol/l (112 mg/dl) vs. control group  $3.6 \pm 0.2$  mmol/l (140 mg/dl),  $p < 0.05$ ). Plasma lathosterol concentrations, an index of cholesterol biosynthesis, were lowered after the combination treatment ( $p < 0.05$ ), as well [274].

*Safety:* No safety concerns. Very limited data; further studies are necessary.

#### 6.9.9. Pantethine, soybean plant sterols, green tea extract, delta-tocotrienol and phytolens

*Efficacy:* A clinical trial on 30 hyperlipidemic untreated patients showed a significant improvement in serum lipids using a nutraceutical product with a combination of pantethine (900 mg), soybean plant sterols (800 mg), green tea extract (600 mg containing 50% epigallocatechin gallate),  $\gamma/\delta$  tocotrienols from annatto seed (75 mg) and Phytolens from lentil husks (5 mg). After 2 months of treatment, there was a significant reduction of LDL-C by 14% ( $p < 0.003$ ), VLDL dropped by 20% ( $p < 0.01$ ) and sdLDL particles fell by 25% (type III and IV) [275].

*Safety:* No safety concerns. Very limited data; further studies are necessary.

### 7. Nutraceuticals combined with pharmacological therapy

Many available data, including the most recent studies with PCSK9 inhibitors, support the LDL-C concept that "lower is better" [276]. Even knowing that statins are the drugs of choice in patients with high LDL-C levels and moderate-to-high CV risk, the use of high-intensity statins increases the side effects and therefore reduces the therapy adherence and compliance [277, 278]. On the other side, even with the good statin therapy tolerability, the LDL-C targeted levels might not be achieved for 30–70% of patients (depending on the risk), even in the combination with ezetimibe for high and very high risk patients [279]. Many nutraceutical options are available either alone or in combination with statins to help to reach recommended goals in a safe and tolerable way for most patients [280]. Clinical trials have reported that many nutraceuticals have an additive effect to lipid-lowering drugs, allowing the statin doses to be reduced without diminishing the results in terms of TC and LDL-C reduction and significant-

ly limiting adverse effects [281]. However, most of the combinations presented below have been tested only in a single study, and the obtained results have not been confirmed yet, so no recommendations can be made.

### 7.1. Statins and polyunsaturated fatty acids

**Efficacy:** The effect of PUFAs in statin-treated subjects is simply additive in terms of dose-related TG reduction [282, 283]. Data from a long-term randomized clinical trial support the concept that combining statins with  $\omega$ -3 fatty acids seems to further decrease CHD risk by 19% in primary prevention [284] and CHD mortality by 17% in secondary prevention [285]. Given the availability of data on CVD event risk reduction the supplementation of PUFAs in statin-treated patients can be recommended, especially in hypertriglyceridemic and post-CHD patients.

**Safety:** No safety concerns; limited data – further studies are necessary.

### 7.2. Statins and soluble fibers

**Efficacy:** The lipid-lowering effects of psyllium (10 g/day) and lovastatin (20 mg/day), alone and in combination, were evaluated on 36 volunteers. After 4 weeks of treatment, the mean LDL-C and TG levels in the group receiving 20 mg of lovastatin plus 10 g of psyllium fell by 30.9% and 26.2% from baseline compared with 24.8% and 32.9% in the group receiving 20 mg of lovastatin and 3.6% and 10.9% in the group receiving 10 g of psyllium, respectively [286]. Another 12-week blinded placebo-controlled study tested the effects of 20 mg of simvastatin plus placebo, 10 mg of simvastatin plus placebo or 10 mg of simvastatin plus 15 g of psyllium daily, enrolling 68 patients. After 8 weeks, the mean lowering of LDL-C ( $-63$  mg/dl/ $-1.63$  mmol/l;  $p = 0.03$ ) in the group receiving 20 mg of simvastatin plus placebo was the same as that in the group receiving 10 mg of simvastatin plus psyllium and was greater than that obtained with 10 mg of simvastatin plus placebo (55 mg/dl/1.42 mmol/l;  $p = 0.03$ ). Similar results were reported for apoB and TC, while there were not observed significant changes of TG or HDL-C levels [287]. In a further randomized, parallel-design clinical trial, patients with primary hypercholesterolemia ( $n = 116$ ) were assigned to receive a daily dose of 25 g of fiber (corresponding to 6 g of soluble fibers) plus rosuvastatin 40 mg ( $n = 28$ ), rosuvastatin 40 mg alone ( $n = 30$ ), simvastatin 40 mg plus ezetimibe 10 mg plus 25 g of fiber ( $n = 28$ ), or simvastatin 40 mg plus ezetimibe 10 mg ( $n = 30$ ) alone during 12 weeks. These therapies led to similar reductions in LDL-C

and TC ( $p < 0.001$  vs. baseline), while no change was observed in HDL-C. Among patients treated with highly effective lipid-lowering therapy, the intake of 25 g of fibers added favorable effects: a reduction of phytosterolemia, improvement in blood glucose ( $p = 0.047$ ), weight loss ( $p = 0.04$ ) and reduced body mass index ( $p = 0.002$ ) [288]. Given the availability of data from different RCTs, the supplementation of fibers in statin-treated patients might be recommended especially in patients with stipsis or irritable bowel disease. It remains to be clarified however whether the supplemented fibers interfere with other drugs administered by patients at high CVD risk.

**Safety:** No safety concerns; limited data – further studies are necessary.

### 7.3. Ezetimibe, red yeast rice, policosanol and berberine

**Efficacy:** Ezetimibe and nutraceuticals have been both introduced, alone or in association, as alternative therapies for statin-intolerant patients and proved to be effective and well tolerated. A clinical trial evaluated the effects of a combination of nutraceuticals (red yeast rice extract 200 mg (equivalent to 3 mg monacolins), policosanol 10 mg, and berberine 500 mg) and ezetimibe on statin-intolerant dyslipidemic subjects with IHD treated with PCI. At 1-year follow-up, 58 (72.5%) patients of the combined therapy group ( $n = 86$ ) reached the therapeutic goal (LDL-C  $< 2.58$  mmol/l (100 mg/dl)). No patient experienced important undesirable effects [289]. Berberine (500 mg), red yeast rice (200 mg) and policosanol (10 mg) were also associated with ezetimibe (10 mg) in a study on 26 patients with primary hypercholesterolemia, with a history of statin intolerance or refusing statin treatment. After 3 months of treatment, it was observed that this treatment was as effective as statins in moderate doses (LDL-C  $-37\%$ , TG  $-23\%$ ) [290].

**Safety:** No safety concerns; limited data – further studies are necessary.

### 7.4. Ezetimibe or statins and berberine with silymarin

**Efficacy:** *Berberis aristata* (588 mg, containing berberine 500 mg) and *Silybum marianum* (105 mg) extracts, twice a day, were administered to 45 patients diagnosed with type 2 diabetes with hypercholesterolemia and statin intolerance. The intake of berberine/silymarin with statins led to an improvement of lipid parameters (LDL-C  $-15\%$  and  $-28\%$ , respectively after 6 and 12 months) and also when added to ezetimibe (LDL-C  $-20\%$  and  $-33\%$  respectively after 6 and 12 months). In the control group, in which only berberine/silymarin



was administered, there was also a reduction of LDL-C (LDL-C  $-17\%$  and  $-26\%$  respectively after 6 and 12 months). Glycemic control improved in all groups. All reported side effects lasted a few days and did not lead to interruption of treatment [291].

*Safety:* No safety concerns; limited data – further studies are necessary.

### 7.5. Statins/ezetimibe and plant sterols

*Efficacy:* A randomized clinical trial with parallel arms was designed to evaluate the lipid-lowering effects of the addition of plant sterols to lipid-lowering drugs. Eighty-six subjects were submitted to a 4-week run-in period with atorvastatin 10 mg (baseline), followed by another 4-week period in which subjects received atorvastatin 40 mg, ezetimibe 10 mg or a combination of both drugs (phase I); finally, capsules containing 2.0 g of plant sterols were added to previous assigned treatments for 4 weeks (phase II). Compared with baseline, atorvastatin 40 mg showed a reduction of LDL-C (22%,  $p < 0.05$ ), and increased  $\beta$ -sitosterol, campesterol/cholesterol, and  $\beta$ -sitosterol/cholesterol ratios (39%, 47%, and 32%, respectively,  $p < 0.05$ ); ezetimibe 10 mg reduced campesterol and campesterol/cholesterol ratio (67% and 70%, respectively,  $p < 0.05$ ), while the combined therapy decreased LDL-C (38%,  $p < 0.05$ ), campesterol,  $\beta$ -sitosterol, and campesterol/cholesterol ratio (54%, 40%, and 27%,  $p < 0.05$ ). This study demonstrated that the addition of plant sterols further reduced LDL-C by  $\sim 6.5\%$  in the atorvastatin therapy group and 5.0% and 4.0% in the combined therapy group ( $p < 0.05$  for all) [292].

Eleven hypercholesterolemic coronary patients on a low-fat, low-cholesterol baseline diet added simvastatin (20 mg/day) for 3 months and then dietary plant stanol ester margarine (2.25 g of stanols/day) for 8 weeks; afterwards, cholestyramine 8 g/day was added for another 8 weeks. The results highlighted that simvastatin reduced LDL-C by 39% ( $p < 0.001$ ) and additional stanol ester margarine reduced it by a further 13% ( $p < 0.05$ ). The triple treatment led to 67% reduction from baseline ( $p < 0.001$ ), with all LDL-C values being  $< 2.6$  mmol/l, and increased HDL-C by 15% ( $p < 0.01$ ). It also increased the serum lathosterol/cholesterol ratio ( $p < 0.01$ ), thus showing upregulation of cholesterol synthesis, and increased the serum sitosterol ratio ( $p < 0.01$ ). These results support the use of stanol ester with only moderate doses of statin and resin to control LDL-C levels in hypercholesterolemic patients [293].

*Safety:* No safety concerns; limited data – further studies are necessary.

### 7.6. Statins and tocotrienols

*Efficacy:* A clinical trial with 28 hypercholesterolemic subjects was carried out to assess the efficacy of the tocotrienol-rich fraction of rice bran alone and in the combination with lovastatin. The combination of the tocotrienol-rich fraction (50 mg) and lovastatin (10 mg) plus the AHA step-1 diet was demonstrated to significantly reduce LDL-C by 25% ( $p < 0.001$ ), an additional 10% in comparison to statin therapy alone [294].

*Safety:* No safety concerns; limited data – further studies are necessary.

### 7.7. Statins and bergamot

*Efficacy:* The association of bergamot-derived polyphenolic fraction (1000 mg/daily for 30 days) with rosuvastatin (10 mg/day for 30 days) was evaluated in a study that enrolled 77 patients with mixed hyperlipidemia. It was observed that the combined therapy significantly reduced LDL-C ( $-53\%$ ) compared to rosuvastatin alone, an effect which was nearly the same as the one produced by 20 mg of rosuvastatin. In addition, TGs were reduced by 36% and HDL-C increased by 37%, an effect that was significantly higher when compared to the use of rosuvastatin alone. Moreover, a significant reduction of biomarkers used for detecting oxidative vascular damage was reported, suggesting antioxidant activity of bergamot in patients on statin therapy [120].

*Safety:* No safety concerns; limited data – further studies are necessary.

### 7.8. Statins and garlic

*Efficacy:* The therapeutic effect of the combination of black seed with garlic as a treatment for dyslipidemia was evaluated in a randomized, double-blind, placebo-controlled, two arms parallel study on 258 subjects who met the Adult Treatment Panel (ATP)-III criteria for drug treatment of hyperlipidemia and dietary intervention. Patients who followed 8-week treatment with simvastatin (10 mg) plus placebo obtained a significant reduction in non-HDL-C ( $-10.8\%$ ), TG ( $-6.9\%$ ), and LDL-C ( $-11.6\%$ ) ( $p \leq 0.01$ ), and an increase in HDL-C ( $+10.4\%$ ) ( $p = 0.02$ ). Patients who received simvastatin (10 mg), plus black seed (500 mg) and garlic (250 mg) for 8 weeks showed a greater improvement of lipid parameters, LDL-C ( $-29.4\%$ ), TG ( $-20.1\%$ ), non-HDL-C ( $-27.4\%$ ), and HDL-C ( $+21.4\%$ ) ( $p = 0.01$ ). The higher (2.1 to 2.9 times) results obtained with simvastatin plus black seed and garlic as compared to the other group demonstrated the synergetic effect of this association of a nutraceutical combination with pharmacological treatment [295].

*Safety:* No safety concerns; limited data – further studies are necessary.

### 7.9. Statins and vitamin D

**Efficacy:** Low vitamin D status has been associated with hyperlipidemia. A double-blind, placebo-controlled trial was assessed on 56 hypercholesterolemic patients, who were randomly assigned to receive vitamin D 200 IU/day ( $n = 28$ ) or a placebo ( $n = 28$ ) as an add-on to statin for 6 months. At the end of the treatment, subjects who received vitamin D supplementation had an increased level of serum 25-hydroxyvitamin D concentrations compared with placebo ( $+16.3 \pm 11.4$  compared with  $+2.4 \pm 7.1$  ng/ml;  $p < 0.001$ ). Moreover, the differences in TC and TG levels between the statin + vitamin D group and statin + placebo group were significant:  $-0.57$  mmol/l (22 mg/dl) (95% CI:  $-0.83$ ;  $-0.32$ ,  $p < 0.001$ ) and  $-0.32$  mmol/l (28.3 mg/dl) (95% CI:  $-0.55$ ;  $-0.09$ ,  $p < 0.001$ ), respectively. Moreover, in patients with 25-hydroxyvitamin D level  $< 30$  ng/ml at baseline ( $n = 43$ ), serum TC and TG levels were further reduced by  $-0.74 \pm 0.31$  mmol/l (28 mg/dl) ( $p < 0.001$ ) and  $-0.42 \pm 0.22$  mmol/l (37 mg/dl) ( $p < 0.001$ ), respectively. Therefore, the intake of vitamin D together with statin can be a useful tool in the management of hypercholesterolemic subjects [296]. Taking into consideration that low serum vitamin D level seems to be associated with CVD risk and development of statin-related myopathy, the supplementation of vitamin D should always be considered in subjects with low vitamin D levels [297].

**Safety:** No safety concerns; limited data – further studies are necessary.

### 8. Conclusions

A large number of nutraceuticals have been tested in available trials, demonstrating their lipid-lowering effects. It is, however, important to once again emphasize that nutraceuticals cannot replace lipid-lowering therapy but might essentially help to optimize it (reducing CV residual risk). Taking into account the influence of some of the presented nutraceuticals on different lipid parameters, it seems that this therapy might be especially important to consider for patients with mixed dyslipidemia, especially atherogenic dyslipidemia in patients with diabetes and MetS, in patients with low-to-moderate hypercholesterolemia not on target, as well as in all patients with statin-associated side effects, who cannot be treated with statins/suitable doses of statins and are at higher risk of CV events [298].

However, the main concern is still which lipid-lowering effects of nutraceuticals are clinically relevant, which are maintained in the long term and which might also be associated with an improvement in CVD risk. Combinations of lipid-low-

ering nutraceuticals could improve their safety (reducing the dosages of the single components), but their efficacy has been rarely tested in more than one study/RCT, while some of the tested nutraceutical combinations contained underdosed components. On the other hand, both single components and some combinations (in particular red yeast rice, berberine, policosanol combination) have proved to maintain their efficacy in the long term (years), to have a positive impact on CVD risk factors other than LDL-C, and to improve some markers of vascular aging (endothelial function, pulse wave velocity). Finally, some nutraceuticals have been shown to significantly improve the efficacy of standard pharmacological treatments. In this context, an evidence-based approach to the use of lipid-lowering nutraceuticals could improve the quality of the treatment, including therapy adherence, and achievement of the LDL-C goal in clinical practice. However, it has to be clearly stressed that there are still no outcome studies proving that nutraceuticals can prevent CVD morbidity or mortality.

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**Maciej Banach:** speakers bureau: Abbott/Mylan, Abbott Vascular, Actavis, Akcea, Amgen, Bifarm, KRKA, MSD, Sanofi-Aventis and Valeant; consultant to Abbott Vascular, Akcea, Amgen, Daichii Sankyo, Esperion, Lilly, MSD, Resverlogix, Sanofi-Aventis; Grants from Sanofi and Valeant; **Arrigo F.G. Cicero:** consultant for the R&D of Meda SpA and received research grants from IBSA SpA; **Olivier Descamps:** speakers bureau: Amgen, MSD, Sanofi-Aventis; consultant to Amgen, AstraZeneca, MSD, Sanofi-Aventis and Phacobel; Grants from Sanofi, MSD and Amgen; **Niki Katsiki** has given talks, attended conferences and participated in trials sponsored by Amgen, Angelini, AstraZeneca, Boehringer Ingelheim, MSD, Novartis, Novo Nordisk, Sanofi and WinMedica; **Gustavs Latkovskis:** speakers bureau: Amgen, AstraZeneca, Bayer, Berlin-Chemie/Menarini, Boehringer Ingelheim, GlaxoSmithCline, Mylan, Novo Nordisk, Pfizer, Sanofi-Aventis, Servier, Siemens Laboratories; research grant on the topic of polyphenols and coenzyme Q10 from Pharma and Chemistry Competence Center of Latvia; **Dimitri P. Mikhailidis:** has given talks and attended conferences sponsored by MSD, AstraZeneca and Libytec; **Peter P. Toth:** speakers bureau: Amarin, Amgen, Kowa, Merck, Novartis, Regeneron, Sanofi-Aventis; consultant to Amgen, AstraZeneca, Kowa, Merck, and



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