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# Defining clinical subgroups and genotype–phenotype correlations in NBAS-associated disease across 110 patients

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**Purpose:** Pathogenic variants in neuroblastoma-amplified sequence (NBAS) cause an autosomal recessive disorder with a wide range of symptoms affecting liver, skeletal system, and brain, among others. There is a continuously growing number of patients but a lack of systematic and quantitative analysis.

**Methods:** Individuals with biallelic variants in NBAS were recruited within an international, multicenter study, including novel and previously published patients. Clinical variables were analyzed with log-linear models and visualized by mosaic plots; facial profiles were investigated via DeepGestalt. The structure of the NBAS protein was predicted using computational methods.

**Results:** One hundred ten individuals from 97 families with biallelic pathogenic NBAS variants were identified, including 26 novel patients with 19 previously unreported variants, giving a total number of 86 variants. Protein modeling redefined the  $\beta$ -propeller domain of NBAS. Based on the localization of missense variants and

in-frame deletions, three clinical subgroups arise that differ significantly regarding main clinical features and are directly related to the affected region of the NBAS protein:  $\beta$ -propeller (combined phenotype), Sec39 (infantile liver failure syndrome type 2/ILFS2), and C-terminal (short stature, optic atrophy, and Pelger–Huët anomaly/SOPH).

**Conclusion:** We define clinical subgroups of NBAS-associated disease that can guide patient management and point to domain-specific functions of NBAS.

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**Keywords:** NBAS; infantile liver failure syndrome type 2; SOPH syndrome; acute liver failure; RALF

## INTRODUCTION

In 2010, NBAS (neuroblastoma-amplified sequence, MIM 608025)–associated disease was described in Yakuts, an isolated population living in the far east of the Russian Federation, carrying a homozygous missense variant in NBAS (c.[5741G>A]; resulting in p.[Arg1914His]). Their clinical phenotype comprised short stature with facial dysmorphism, optic atrophy, and Pelger–Huët anomaly, leading to the coining of the term SOPH syndrome (MIM 614800).<sup>1</sup> In 2015, the discovery of biallelic NBAS variants in patients with recurrent acute liver failure (RALF) linked NBAS to hepatic

disease and revealed the occurrence of pathogenic NBAS variants in the Caucasian and US populations.<sup>2</sup> As extra-hepatic findings such as facial abnormalities or short stature were also present in some of these patients with a predominant hepatic phenotype, we hypothesized that NBAS variants may cause a disease spectrum between the phenotypic features of SOPH syndrome and isolated RALF (infantile liver failure syndrome type 2/ILFS2, MIM 616483).<sup>3,4</sup>

Within just the next three years, 44 patients from 35 families with NBAS-associated disease were published or reported at conferences.<sup>4–36</sup> The growing number of known

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affected individuals continuously expanded the phenotypic spectrum. The organ systems mainly involved were liver, skeletal systems including growth, the nervous system including the eye, the integument, the immune system, and the musculature. Additionally, facial dysmorphism was also prevalent. Given the fact that levels of the NBAS protein were reduced in patients' fibroblasts (refs. <sup>2,10</sup>, authors' unpublished data), NBAS-associated disease is often termed as NBAS deficiency.

The wide spectrum of clinical symptoms associated with NBAS variants points to crucial functions of the NBAS protein in biology. The protein functions as a component of an endoplasmic reticulum (ER) tethering complex involved in the retrograde Golgi-ER transport.<sup>2,3,37</sup> New data suggest an additional role of NBAS at the ER exit site for the formation of large transport vesicles for bulky cargo (e.g., collagen) in the secretory pathway.<sup>38</sup> Recently, variants in *RINT1*, which encodes for a direct interaction partner of NBAS in the same tethering complex, were shown to cause human disease with a striking clinical overlap to NBAS deficiency.<sup>39</sup> This strongly supports the hypothesis that dysfunction of the tethering complex is causative for at least a part of the phenotypic spectrum of NBAS-associated disease. Moreover, the discovery of cholestasis, acute liver failure, and neurodegeneration (CALFAN) syndrome due to variants in *SCYLL1*, another disorder of intracellular trafficking with clinical and functional overlap to NBAS, enforces the link between disturbed Golgi-ER transport and human disease.<sup>40</sup> Apart from its role in intracellular transport, NBAS was identified to be a mediator of nonsense-mediated messenger RNA (mRNA) decay (NMD), especially modulating genes associated with protein trafficking and ER-coupled protein modifications,<sup>41</sup> but the role of altered NMD in NBAS deficiency remains to be elucidated.

Exploring clinical disease patterns associated with NBAS variants and their correlation to genotypes provides great chances to improve our understanding of the function of NBAS. It is the nature of case reports and case series that there is a lack of systematic analysis and to date, there is no unified approach for the attribution of patients to the associated clinical syndromes or the nomenclature of these. In this study, we systematically and quantitatively analyze the genotype and clinical phenotype of all patients with pathogenic NBAS variants currently known to us, including all previously reported cases and 26 novel patients from 23 families with 19 novel variants.

## MATERIALS AND METHODS

### Study design, recruitment of patients, data acquisition, and definition of variables

Individuals were recruited within an international, multi-center study. Inclusion criteria were rare biallelic variants in NBAS (NM\_015909.3) classified as pathogenic or likely pathogenic according to the American College of Medical Genetics and Genomics (ACMG) guidelines for the interpretation of sequence variants and/or NBAS protein or RNA

analysis in fibroblasts.<sup>42</sup> Patients were excluded from the quantitative analysis of the clinical phenotype, if they fulfilled one of the following criteria: (1) patients aged less than 12 months at last assessment (to reduce the effect of low age on a possibly evolving phenotype), (2) presymptomatic diagnosis due to family screening, (3) presence of severe comorbidities unrelated to NBAS variants, and (4) lack of individualized clinical data.

Recruitment was via one of the following options: (1) individuals diagnosed via exome sequencing at the Institute of Human Genetics of the Technical University of Munich or another collaborative center, (2) individuals followed by one of the coauthors, or (3) previously published patients.

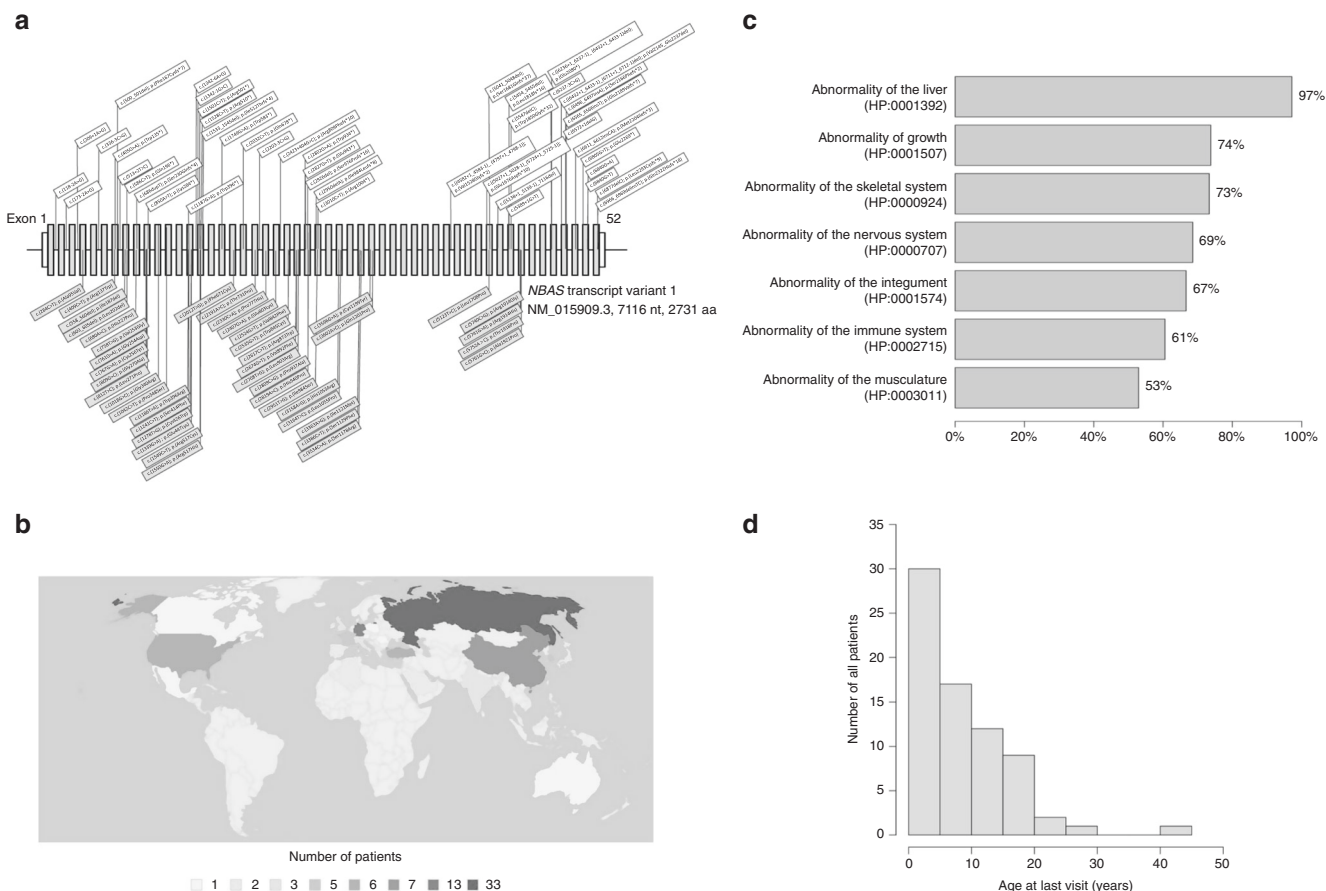
For options 1 and 2, clinical data were retrieved via case report forms and stored within a disease-specific database located at the Center for Child and Adolescent Medicine of the University Hospital Heidelberg. This included current follow-up visits of previously reported individuals.<sup>3,4</sup> If available and consented by the parents, portrait photos of the patients were collected. In case of previously published patients reported by other groups, data were obtained from these publications; in case of missing data for the quantitative analysis, authors were contacted and asked to share additional information (see Acknowledgements). Duplicate case reports were omitted. Missing data were not imputed.

To reach out to other centers with diagnosed but unpublished individuals with NBAS-associated disease, we posted a call to participate within this study on Metab-L, an electronic mailing list on inborn errors of metabolism, on 5 December 2018.<sup>43</sup>

For the identification of previously published patients, a comprehensive literature search was performed using PubMed and Google Scholar search engines and the 2015–2018 abstract books from genetic, metabolic, and endocrinological scientific societies (for details see Supplementary Information). For quantitative analysis of the clinical phenotype, the following variables were analyzed within this study: country of patient's origin, sex, age at last assessment, and whether the patient was dead or alive at that point as well as age and cause of death. Additionally, clinical features of the main organ systems involved were scrutinized (see legend of Fig. 1, nomenclature according to Human Phenotype Ontology [HPO] terminology).

For the evaluation regarding facial dysmorphism, available facial 2D profiles of patients were uploaded to the Face2Gene RESEARCH app (FDNA Inc., Boston, MA<sup>44</sup>) to generate composite photos allowing a score distribution comparing them with age and gender-matched controls.

All procedures followed were in accordance with the ethical standards of the responsible committee on human experimentation (institutional and national) and with the Helsinki Declaration of 1975, as revised in 2000. Informed consent to participate in the study was obtained from all patients or their parents in case of minor patients, except for cases where patient data were retrieved from publications. Additional informed consent was obtained from all patients for whom



**Fig. 1** Localization of *NBAS* variants and characterization of the study cohort: geographical origin, affected organ systems, and age distribution. **(a)** Top: nonsense and splice site variants as well as deletions of one or more exons. Bottom: missense variants and in-frame deletions. **(b)** Geographical origin. Due to the founder effect within the Yakut population, currently most patients originate from Russia. **(c)** Distribution of affected organ systems. The following clinical items were analyzed: acute liver failure, continuously elevated transaminases (abnormality of the liver); short stature (abnormality of growth); reduced bone mineral density, delayed closure of fontanelles, abnormalities of the vertebral column or cervical instability (abnormality of the skeletal system); motor delay, optic atrophy, intellectual disability (abnormality of the nervous system); cutis laxa (abnormality of the integument); decreased circulating IgG, reduced natural killer cell count, Pelger–Huët anomaly (abnormality of the immune system); muscular hypotonia, skeletal muscle atrophy (abnormality of the musculature). **(d)** Age distribution at last visit.

identifying information is included in this article. The study was approved by the ethical committee of the Technical University Munich and the University Hospital Heidelberg. The cut-off date for data analysis was 7 May 2019.

#### Identification of *NBAS* variants and ex vivo studies in patient and control fibroblasts

*NBAS* variants were identified using exome sequencing; carrier status of parents was confirmed via trio-exome sequencing or Sanger sequencing (details available upon request). *NBAS* protein levels in patient fibroblasts were measured by western blotting as described previously.<sup>2,40</sup>

#### Tertiary structure predictions and sequence analysis

Prediction of the tertiary structure of the human *NBAS* protein (Uniprot ID: A2RRP1) was performed by the HHpred web server<sup>45</sup> using the PDB70 target database; all other parameters were set to default. We considered the hit that had

the best alignment with the query protein as the model. The IUPRED2A web server<sup>46</sup> was used to predict regions of disorder and MAFFT web server<sup>47</sup> was used for the alignment of protein sequences.

#### Statistics

Count data from frequency tables were analyzed with log-linear models in R software, a language for statistical analysis and graphics (<https://www.r-project.org>) and visualized by mosaic plots with Pearson residual-based shadings.<sup>48</sup> The world map was generated with the R package rworldmap.<sup>49</sup> The medians of two samples were compared with a Mann–Whitney *U* test. For statistical evaluation of facial abnormalities, the area under the curve (AUC) of the receiver operating characteristic (ROC) curve was measured and statistical significance using the *P* value with the two-sided population proportions test was computed to compare each group with the age-/gender-matched controls.

**Table 1** Genotype and clinical phenotype of novel patients

Patient ID	Subgroup	NBAS variants (NM_015909.3; NP_056993.2)		Region of NBAS affected by allele		Main clinical features			Other symptoms			
		Allele 1	Allele 2	Region of NBAS affected by allele 1	Region of NBAS affected by allele 2	Abnormality of the liver	Abnormality of growth (short stature)	Abnormality of the skeletal system	Abnormality of the nervous system	Abnormality of the immune system	Abnormality of the musculature (hypotonia or atrophy)	Other symptoms
NBAS 46	β-propeller (combined)	c.(284C>T); p.(Ala95Val)	c.(2802G>A); p.(Trp934*)	β-propeller	n.a.	ALF	Y	Cervical instability, reduced bone mineral density	Motor delay	Low IgG	Y	High pitched voice
NBAS 82		c.(812T>C); p.(Leu271Pro)	c.(812T>C); p.(Leu271Pro)	β-propeller	β-propeller	ALF	Y	N	Motor delay	Low IgG	Y	Protuberant abdomen, high pitched voice
NBAS 7		c.(1241C>T); p.(Ser141Phe)	c.(2950delA); p.(Ile984Leu18)	β-propeller	n.a.	ALF	Y	Reduced bone mineral density, delayed closure of fontanelle, pectus excavatum, long thin fingers, short metacarpals, clinodactyly, multiple Wormian bones, severe scoliosis with spinal cord compression in teens, pathologic fracture, joint laxity	Optic atrophy	Low IgG, PHA	Y	Insulin dependent diabetes mellitus (onset at 8 years), chronic lung disease with oxygen dependency and BIPAP respiratory support, high pitched voice
NBAS 8		c.(1241C>T); p.(Ser141Phe)	c.(I6236+1_6237-1)_[6432+1_6433-1]del; p.(Glu2080*)	β-propeller	n.a.	cELT	Y	Reduced bone mineral density, delayed closure of fontanelle, low arch and flatfoot, flat cheekbone, pectus excavatum, long digits	Optic atrophy, motor delay	PHA	Y	Relative macrocephaly, decreased serum IGF1 and IGFBP3, high pitched voice
NBAS 13		c.(1549C>T); p.(Arg517Cys)	c.(5041_5048del); p.(Ser1681Glnfs*37)	Between β-propeller and Sec39	n.a.	ALF	N	Reduced bone mineral density, pectus excavatum, long digits, scoliosis, pathologic fracture	N	Low IgG	Y	Cataract and dislocation of the lens at 1 year, recurrent malaise, nephrolithiasis at 8 years, high pitched voice
NBAS 12		c.(1550G>A); p.(Arg517His)	c.(6805G>T); p.(Glu2269*)	Between β-propeller and Sec39	n.a.	ALF	N	N	N	N	N	Deceased at 3 years
NBAS 64		c.(1550G>A); p.(Arg517His)	c.(6805G>T); p.(Glu2269*)	Between β-propeller and Sec39	n.a.	ALF	N	N	N	N	N	Preemptive family testing
NBAS 51	Sec39 (LFS2)	c.(2191A>C); p.(Thr731Pro)	c.(2191A>C); p.(Thr731Pro)	Sec39	Sec39	ALF	Y	N	N	N	N	Liver transplantation at 1 year, deceased at 15 years
NBAS 55		c.(2330C>A); p.(Pro777His)	c.(2330C>A); p.(Pro777His)	Sec39	Sec39	ALF	N	N	N	N	N	Elevated 3-methylglutaronic in urine, Vitamin D deficiency
NBAS 56		c.(2330C>A); p.(Pro777His)	c.(2330C>A); p.(Pro777His)	Sec39	Sec39	ALF	N	N	N	N	N	Vitamin D deficiency
NBAS 68		c.(2809C>G); p.(Pro937Ala)	c.(I5138+1_5139-1)_7116del; p(?)	Sec39	n.a.	ALF	Y	N	N	N	N	N
NBAS 54		c.(2819A>C); p.(His940Pro)	c.(2819A>C); p.(His940Pro)	Sec39	Sec39	ALF	N	N	N	Reduced NK cells	N	PHA
NBAS 9		c.(2951T>G); p.(Ile984Ser)	c.(2827G>T); p.(Glu943*)	Sec39	n.a.	ALF	Y	Brachycephaly, long digits, clinodactyly, delayed skeletal maturation	N	N	N	Precocious puberty, high pitched voice
NBAS 69		c.(3164T>C); p.(Leu1055Pro)	c.(I5027+1_5028-1)_[5724+1_5725-1]del;	Sec39	n.a.	ALF	N	N	N	Low IgG	N	N
NBAS 84		c.(3363A>G); p.(Ile1121Met)	p.(Glu1676Aspfs*10)	Sec39	n.a.	ELT	Y	N	N	Low IgA	Not reported	N
NBAS 58		c.(3386C>T); p.(Ser1129Phe)	c.(3386C>T); p.(Ser1129Phe)	Sec39	Sec39	ALF	N	N	N	N	N	Elevated 3-methylglutaronic in urine
NBAS 59		c.(3386C>T); p.(Ser1129Phe)	c.(3386C>T); p.(Ser1129Phe)	Sec39	Sec39	ALF	N	N	N	N	N	Elevated 3-methylglutaronic and 3-methylglutamic in urine

**Table 1** continued

Patient ID	Subgroup	NBAS variants (NM_015909.3; NP_056993.2)		Main clinical features			Other symptoms						
		Allele 1	Allele 2	Region of NBAS affected by allele 1	Region of NBAS affected by allele 2	Abnormality of the liver	Abnormality of growth (short stature)	Abnormality of the skeletal system	Abnormality of the nervous system	Abnormality of the integument (cutis laxa)	Abnormality of the immune system	Abnormality of the musculature (hypotonia or atrophy)	Abnormality of the musculature (hypotonia or atrophy)
NBAS 60		c.(3534C>A); p.(Ser1178Arg)	c.(1342-6A>G); p.(?)	Sec39	n.a.	ALF	N	N	N	N	Low IgG, reduced NK cells	N	During crisis: seizures, cholecystitis, truncal exanthema, hypophosphatemia, anemia, lymphopenia, significant decrease of neutrophils Liver transplantation at 4 years
NBAS 53		c.(3602A>C); p.(Gln1201Pro)	c.(3602A>C); p.(Gln1201Pro)	Sec39	Sec39	ALF	N	N	N	N	N	N	
NBAS 50	C-terminal (SOPH)	c.(5741G>A); p.(Arg1914His)	c.(1528C>T); p.(Arg510*)	C-terminal	n.a.	cELT	Y	Delayed skeletal maturation, delayed closure of fontanelle, brachycephaly, clinodactyly	ID, motor delay	Y	Low IgG	Y	
NBAS 62		c.(5740C>G); p.(Arg1914Gly)	c.(6877delC); p.(Leu2293Cysfs*9)	C-terminal	n.a.	ALF	Y	Reduced bone mineral density, delayed closure of fontanelle, flat cheekbone, short digits, delayed skeletal maturation	Optic atrophy, motor delay	Y	Low IgG, PHA	Y	High pitched voice
NBAS 14		c.(5761G>C); p.(Ala1921Pro)	c.(686dupT); p.(Ser230Glnfs*4)	C-terminal	n.a.	cELT	N	N	N	N	Low IgG, PHA	N	
NBAS 11		c.(6840G>T); p.(?)	c.(6840G>T); p.(?)	C-terminal	C-terminal	ELT	Y	Syndactyly of second and third toe on both feet, flat cheekbone, delayed skeletal maturation	Optic atrophy, motor delay	N	Low IgG, PHA	N	Rotary nystagmus, high pitched voice
NBAS 57		c.(6966_6969delinsTC); p.(Gln2322Hisfs*18)	c.(5547delC); p.(Trp1850Glyfs*32)	C-terminal	n.a.	ELT	N	N	Optic atrophy, motor delay	N	Low IgG, reduced NK cells	Not reported	Premature birth, recurrent bacterial pneumonia (with persistent right middle lobe atelectasis)
NBAS 61		c.(767G>A); p.(Cys256Tyr)	c.(2012T>G); p.(Phe671Cys)	β-propeller	Between β-propeller and Sec39	ELT	Y	N	ID, motor delay	Not reported	N	N	Genetically diagnosed Williams-Beuren syndrome; Williams-Beuren syndrome like dysmorphism
NBAS 83		c.(2535G>T); p.(Trp845Cys)	c.(5761G>C); p.(Ala1921Pro)	Sec39	C-terminal	ALF	Y	Not reported	N	Not reported	Not reported	Not reported	

ALF acute liver failure, BiPAP bilevel positive airway pressure, cELT continuously elevated liver transaminases, ELT elevated liver transaminases, ID intellectual disability, N no, n.a. not applicable, PHA Pelger-Huët anomaly, Y yes.



## RESULTS

### Study population

The literature search identified 28 publications and 7 abstracts on individuals with biallelic pathogenic *NBAS* variants, published between February 2008 and April 2019. Thirty-three of the publications and abstracts were relevant and provided sufficient data for this study, reporting a total of 88 patients from 81 families. Twenty-three patients from 21 families within this cohort, harboring 19 novel variants, have not been reported in the literature before and were identified by one of the coauthors; additionally, three patients, so far only reported at conferences, were included in this study with more detailed information on the phenotype. These 26 patients from 23 families are termed “novel patients” (Table 1). For the quantitative analysis of the clinical phenotype, two individuals (previously unreported) were excluded because age at last assessment was before 12 months of age (NBAS 50, NBAS 64). Furthermore, one individual was excluded because he was identified in a family history-triggered investigation and presented no symptoms other than Pelger–Huët anomaly (NBAS 71).<sup>34</sup> A fourth (previously unreported) individual was excluded due to comorbidity unrelated to *NBAS* variants (NBAS 61, Williams–Beuren syndrome).

### Genetics

One hundred and ten individuals from 97 unrelated families with biallelic pathogenic *NBAS* variants were identified, with a total number of 86 different variants: 40 missense variants, 13 frameshift variants, 13 splice site variants, 12 nonsense variants, 5 exon deletions, 2 in-frame deletions, and 1 intronic variant (see Fig. 1a). There are 66 genotypes, 13 of them homozygous. The most frequent genotype (30 families) is homozygosity for the missense variant c.(5741G>A) leading to the amino acid substitution p.(Arg1914His), which however has only been reported in the Yakut population.<sup>1</sup> Apart from this genotype, no specific variant combination is present in more than two unrelated families, which indicates an extremely high genetic heterogeneity. Most patients are homozygous for a missense variant ( $n = 47$ ) or compound heterozygous for a missense in combination with a nonsense variant ( $n = 32$ ) (see Supplemental Table S1). For the novel variants, functional validation by western blotting was done when fibroblasts were available ( $n = 9$ , see Supplementary Table S2).

### Phenotypic spectrum

Patients originate from all continents except South America (Fig. 1b). The main organ systems or processes involved were liver, growth, skeletal system, nervous system, integument, immune system, and musculature (Fig. 1c), but also facial dysmorphism (Fig. 2c) and abnormalities of the endocrine system (including diabetes mellitus type 1). For the analysis of age, survival, and follow-up time, the 33 individuals from Yakutia<sup>1</sup> were excluded due to a lack of individualized data. In the remaining patients, median age at last assessment was 7.0 years (total range: 1.0–42 years; 639 cumulative patient years) (Fig. 1d). Sixty-three patients were alive at the time of this

report while 10 individuals had died—of whom 9 passed away due to acute liver failure (ALF) (median age at death: 2.3 years, range: 1.5–3.9 years) and one during liver transplant procedure at the age of 15 years (NBAS 51). Six patients underwent liver transplantation between the age of 1 and 6 years (median 2.8 years; age at first transplantation procedure in case of several transplantations). Follow-up time after transplantation is 0–14 years (cumulative time 44 years); none of these patients presented with a liver crisis after transplantation. For detailed information on individual patients see Supplementary Table S1.

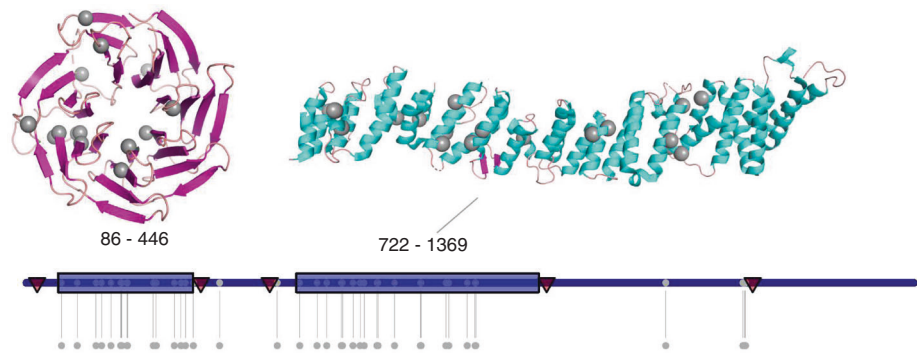
Within the group of the 26 novel patients (Table 1) and as observed during follow-up of previously reported patients, new phenotypic aspects include inverted nipples at birth, lipodystrophy, joint laxity, dislocation of the lens, premature loss of milk teeth (connective tissue), relatively small foramen magnum, spinal cord compression with lower limb weakness (skeletal system), chronic lung disease with oxygen dependency and bilevel positive airway pressure (BiPAP) respiratory support, recurrent bacterial pneumonia (respiratory system) and elevated 3-methylglutaconic acid in urine during liver crises with normalization in the interval between crises ( $n = 2$ , metabolism).

### Genotype–phenotype correlation

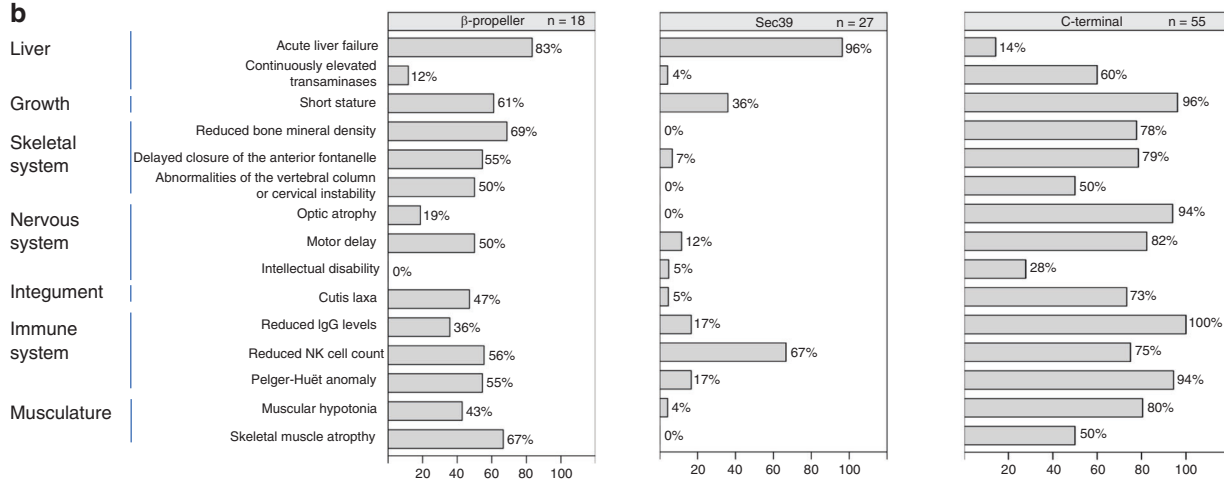
Given the heterogeneity of the individual patients' phenotypes and the wide range of symptoms associated with biallelic pathogenic *NBAS* variants, we aimed to explore clinical subgroups. It has already been reported that patients with missense variants in the region coding for the Sec39 domain of *NBAS* have a predominant liver phenotype with RALF.<sup>3,35</sup> Indeed, this holds true for patients compound heterozygous for a missense variant in the region coding for the Sec39 domain plus a loss-of-function variant, independent from its localization. Interestingly, there are no individuals with biallelic nonsense variants, except one with a late frameshift variant c.(6966\_6969delinsTC) in the last exon in combination with the variant c.(5547delC) (NBAS 57). In this case, immunoblotting revealed a reduced protein concentration of *NBAS* with a protein band showing nearly full-length size, whereas there is no second band of smaller size. This argues for a truncated protein as a result to the c.(6966\_6969delinsTC), p.(Gln2322Hisfs\*18), whereas the transcript of c.(5547delC) likely undergoes NMD. In further immunoblots of patient cells harboring nonsense variants, no smaller band corresponding to the expression of a truncated protein has been observed ( $n = 12$  [refs. <sup>3,10</sup>] and authors' unpublished data). These observations indicate that transcripts with nonsense variants undergo NMD independently from the location of the nonsense variant. Hence, we hypothesize, in line with the concept of edgetic perturbations of protein functions,<sup>50</sup> that the localization of the missense variants or in-frame deletions determines the phenotype of the respective patient.

To test this hypothesis, we first grouped missense variants and in-frame deletions according to their localization,

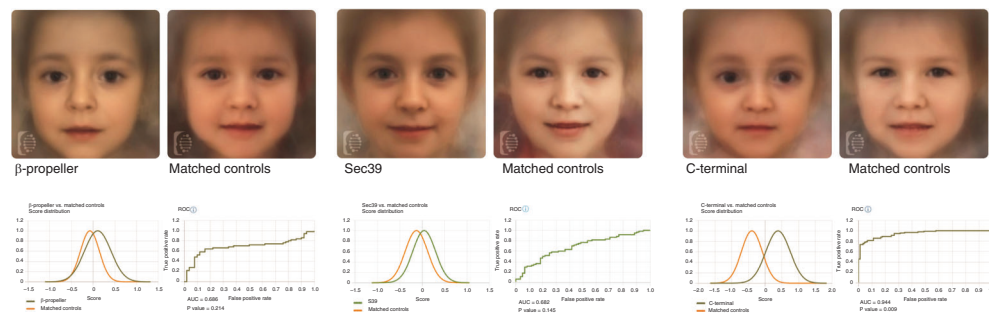
**a**



**b**



**c**



**Fig. 2 From protein to disease: tertiary structure of NBAS, localization of missense variants and in-frame deletions, disorder prediction, and phenotypic patterns of the three domain-related subgroups.** (a) Tertiary structure modeling and disorder predictions of NBAS. Structural predictions were determined using the HHpred suite. The region 86–446 was modeled from the A chain of COMPASS histone methyltransferase in yeast (PDB: 6CHG\_A; probability: 98.5; *p* value: 9.8e-12), and the region 722–1369 was modeled from the D chain of Dsl1 transport complex in yeast (PDB: 3K8P\_D; probability: 100; *p* value: 6.9e-66). Red inverted triangles indicate predicted regions with high disorder scores (1–41, 459–475, 644–657, 1382–1398, 1933–1947). The disorder score for the entire protein was determined using the IUPRED server. Missense variants and in-frame deletions are indicated as gray dots on the gene as well as gray Calpha spheres in the two modeled domains as best as possible. (b) Phenotypic patterns of the three subgroups β-propeller, Sec39 and C-terminal. (c) Facial phenotypes (composite faces). All patients tend to present thicker eyebrows and broader columella, but only patients attributed to the C-terminal group significantly differ from their controls, presenting triangular face, wide palpebral fissures, deep set eyes and thin upper lip vermillion, thick eyebrows, short philtrum, and small mouth. AUC area under the curve, ROC receiver operating characteristic.

whereas the latter is defined as the deletion of one amino acid. To provide insights into the structural location of each variant, we predicted structures using HHpred,<sup>45</sup> which identified a single significant hit for the region 722–1369 and several significant hits in the region 86–446. The remaining part of the protein displayed no significant hits. Secondary structure<sup>45,51</sup> and disorder<sup>52</sup> predictions suggest several discrete regions in human NBAS (Uniprot ID:

A2RRP1): a partly disordered, partly helical N-terminus (residues 1–85), a region consisting of mostly β-strands in WD40 repeats (86–446), with the remainder of the protein being predominantly helical (447–2371). Prediction of tertiary structure using HHpred web server<sup>45</sup> defined an N-terminal β-propeller region roughly from residues 86 to 446, and an α-solenoid (TPR repeat) regions at residues 722–1369. IUPred2 suggests short regions of disorder that partly



delineate these structures (Fig. 2a). Our defined  $\beta$ -propeller region is considerably (approximately 80 amino acids) longer than defined previously,<sup>2</sup> where definition was based on a weak match to a domain from a quinoprotein amine dehydrogenase (InterPro: IPR011044). Our match is highly significant and agrees well with the predictions of  $\beta$ -strands, which are largely absent in the other parts of the protein. We found only one significant  $\alpha$ -solenoid structure match, which was to Sec39 from yeast,<sup>53</sup> which is the only (to date) structure of a Sec39 domain containing protein. The alignment covers the entirety of this structure, but only a small fraction of the NBAS C-terminus. This fact, plus the tendency of long-predicted helices, argues that likely we are not detecting long segments of helical repeat structures, since they are highly variable in sequence.

Interestingly, most missense variants and in-frame deletions cluster in the coding regions of the  $\beta$ -propeller domain, the Sec39 domain, and the C-terminal part of NBAS as well as in proximity to the regions of disorder identified by IUPred2 (Figs. 1a, 2a). Furthermore, we found these mutated residues more evolutionarily conserved than other residues (MAFFT<sup>47</sup>, Mann-Whitney *U* test;  $p < 0.05$ ). Loss-of-function (nonsense or splice site) variants are found more evenly distributed. Seven patients are biallelic for a nonsense plus a splice site variant or two splice site variants. For six of these seven patients (NBAS 6, 11, 47, 48, 79, 80) with four different splice site variants (c.[6237-3C>G]; c.[6432+1\_6433-1]\_[6711+1\_6712-1]del; c.[6840G>A]; c.[6840G>T]), immunoblotting and/or complementary DNA (cDNA) analysis revealed that mutant RNA escapes NMD leading to the expression of a shorter protein (refs. <sup>3,24,35</sup> and authors' data, available upon request). For the seventh patient (NBAS 49), no fibroblasts were available.<sup>26</sup> Accordingly, these variants were considered distinct to loss-of-function variants and—together with all missense variants and in-frame deletions—called determining variants in the following. Based on the localization of the determining variants, patients were attributed to three groups:  $\beta$ -propeller, Sec39, and C-terminal. Strikingly, these three groups have different clinical patterns; whereas determining variants in the coding region for the Sec39 domain are mainly associated with ALF, determining variants in the coding region for the C-terminus show a predominant multisystemic phenotype. Patients with determining variants in the region coding for the  $\beta$ -propeller domain, however, present with a combined severe phenotype including both ALF and multisystemic features (Fig. 2b).

Four patients are compound heterozygous for missense variants in regions coding for different domains and can therefore not be clearly attributed to one of the three groups based on their genotype. Their clinical presentation includes features of the respective subgroups, underlining a close relationship between variant localization and clinical phenotype (see Supplementary Table S1). The six patients with confirmed aberrant protein or transcript due to a splice site variant were attributed according to the localization of these splice site variants, whereas patient NBAS 49 was not attributed to a group.

To test whether differences in phenotypes between the three groups are statistically significant, log-linear models were calculated. While there was no difference of prevalence of NK cell deficiency and intellectual disability, all other features tested distribute unequally (Fig. 3 and Supplementary Fig. 1). Short stature was present in all three groups, but height standard deviation score (SDS) differs significantly between Sec39 and C-terminal (Wilcoxon rank sum test  $p = 0.00034$ , Fig. 3).

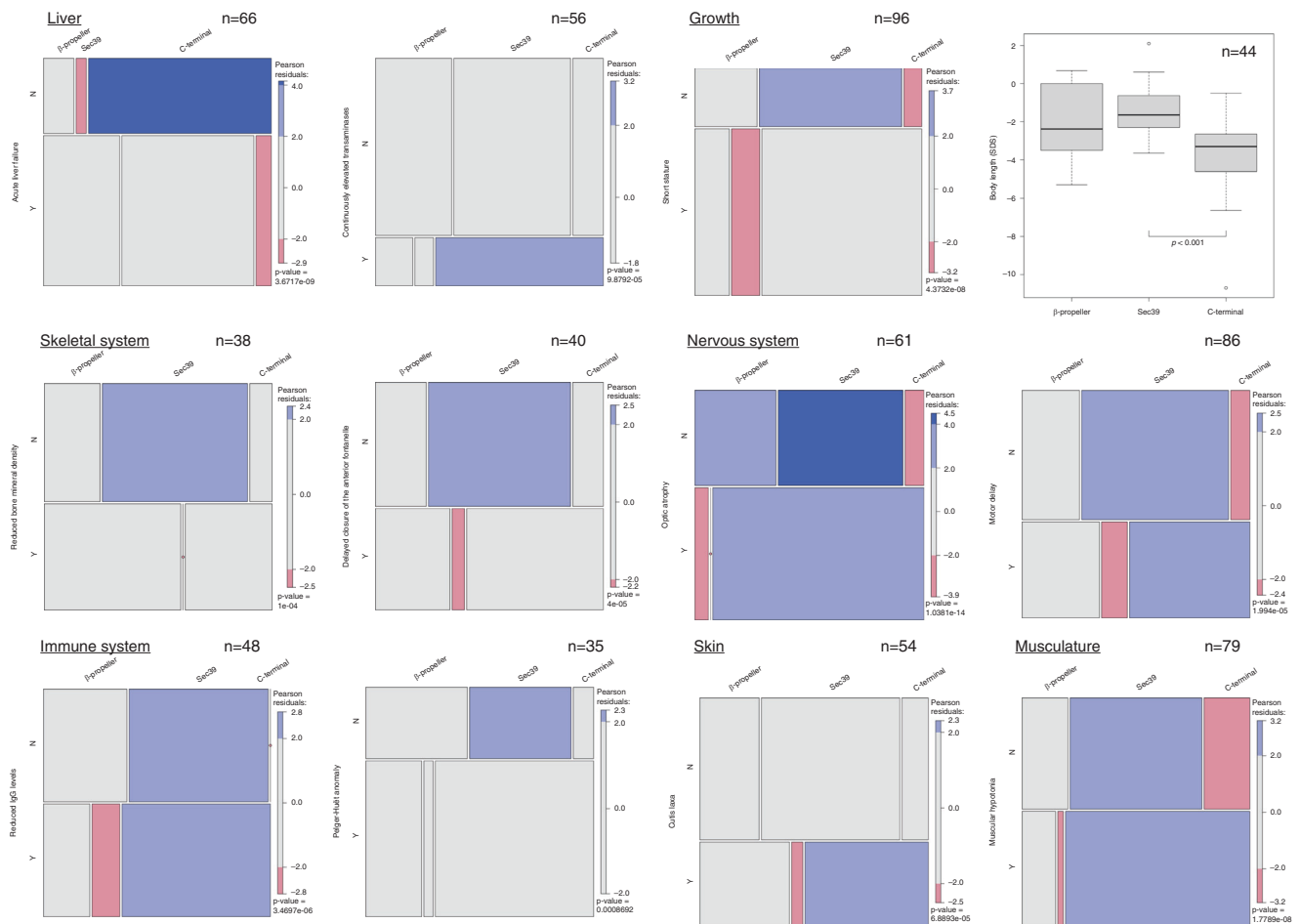
For the analysis of facial abnormalities, photographs from 39 patients were available (refs. <sup>1,3,4,6,8,10,11,14,17,24,35,54,55</sup> and authors' patients) and assigned to one of the three groups ( $\beta$ -propeller  $n = 10$ , Sec39  $n = 11$ , C-terminal  $n = 18$ ). There is a significant difference in the facial presentation of the C-terminal group compared with the matched control cohort ( $p = 0.009$ ), while the other two groups ( $\beta$ -propeller and Sec39) did not differ significantly from their controls (Fig. 2c).

## DISCUSSION

We present an international, multicenter cross-sectional study on the clinical phenotypes of individuals with NBAS-associated disease in a cohort of 110 patients from 97 families with a total of 639 patient years. This report adds data of 26 novel patients to the literature, including 19 previously unreported variants, creating a total number of 86 known pathogenic variants. Genotype-phenotype associations are explored based on variant localizations including models of the tertiary structure of NBAS.

NBAS-associated disease is related to a wide spectrum of clinical signs and symptoms mainly affecting liver, growth, integument, immune system, skeletal system, nervous system, and musculature. In line with the concept of edgetic perturbation,<sup>50</sup> our study demonstrates that phenotypes can be grouped into three clinical subgroups based on the localization of missense variants or in-frame deletions to one of the two regions coding for the known domains or the C-terminal part of NBAS. These three groups differ significantly. Considering the currently used terms for clinical syndromes associated with pathogenic NBAS variants, ILFS2 (MIM 616483) resembles patients with variants affecting Sec39, whereas SOPH syndrome (MIM 614800) resembles patients with variants affecting the C-terminal part of the gene. Interestingly, patients with variants affecting the  $\beta$ -propeller domain present a combined phenotype that may be considered as an overlap of ILFS2 and SOPH syndrome, including clinical features of both groups.

These findings have a direct impact on patient management, e.g., regular screening for reduced IgG levels or optic atrophy for patients with variants affecting the  $\beta$ -propeller domain or the C-terminus and high awareness for the risk of fever-related, life-threatening ALF in patients with variants affecting the  $\beta$ -propeller or the Sec39 domain. The latter is of special importance, as early and aggressive antipyretic treatment together with glucose and lipid infusion during ALF has been reported to reduce severity of ALF in NBAS deficiency.<sup>3,14</sup>



**Fig. 3 Subgroups differ significantly regarding key clinical features.** Clinical features of all affected organ systems differ significantly between the three subgroups  $\beta$ -propeller, Sec39, and C-terminal. Gray mosaics indicate Pearson residuals between 1.96 and  $-1.96$  (equal distribution), blue indicates more observed cases than expected, and red indicates fewer observed cases than expected from marginal frequencies (see Pearson scale). Age distribution within the three groups:  $\beta$ -propeller (median: 8.5 years, standard deviation: 6.16), Sec39 (median: 8.0 years, standard deviation: 9.09), and C-terminal (median 6.0 years, standard deviation: 5.69). SDS, standard deviation score.

A recent study investigated the facial characteristics of patients with NBAS-associated disease using DeepGestalt,<sup>35</sup> confirming the previously reported characteristics of hypotelorism, thin lips, pointed chin, and progeroid appearance.<sup>3</sup> However, facial phenotypes had not been related to the remaining symptoms or genotypes of the patients. We demonstrate that the facial phenotype depends on the genotype (Fig. 2c).

Given the rapidly increasing patient numbers since its first report, NBAS-associated disease is likely still an underdiagnosed condition. As there are no biomarkers to date, diagnosis relies on genetic testing, mainly exome sequencing. The definition of clinical subgroups with distinct phenotypic patterns will help to identify clinical candidates, facilitates phenotype-based variant filters in the analysis of exome data, and will help to establish earlier diagnosis in patients with NBAS-associated disease.

Our study is limited by the fact that the majority of study individuals are still in infancy or early childhood. Aspects of an evolving clinical phenotype might thus be underrepresented

suggesting additional follow-up investigations are needed, including the reevaluation of the described clinical patterns within the growing patient numbers. In addition, our data also suggest that studies not only on natural history but also pathomechanism in NBAS deficiency should differentiate between the different clinical subtypes of the disease, based on the genotype. Apart from patient management, our findings are of importance for the understanding of the protein function, suggesting domain-specific roles. Overall, NBAS resembles several proteins in the nuclear pore, clathrin-coated vesicles, and intraflagellar transport, many of which also contain an N-terminal  $\beta$ -propeller (WD repeat) region with a flexible, long C-terminal region consisting of  $\alpha$ -solenoid (TPR) repeats.<sup>56</sup> Generally, these proteins serve as scaffolds to interact with multiple protein partners. Though we could not identify any sequence-similar templates to model an interaction,<sup>57</sup> it is very likely that both the propeller and solenoid regions help binding to one or more of the NBAS interaction partners.  $\beta$ -propellers have a preferred binding site at the top of the barrel,<sup>58</sup> though as scaffolds they can, in principle, bind at alternative sites.

The apparent accumulation of variants on one face suggests that they could be affecting the same (albeit unknown) set of interaction partners binding to this side of the propeller.

Genotype–phenotype associations argue for a role of the Sec39 domain for liver function, thereby associating liver dysfunction with altered intracellular transport, as Sec39 is required for tethering at the ER in the syntaxin18 complex. This is underlined by the fact that deficient RINT1, a direct interaction partner of NBAS within this complex, also leads to RALF. ER stress and altered autophagy may be involved in the pathomechanism,<sup>2,39</sup> but mechanisms of fever-dependent, syntaxin18–related RALF are still poorly understood.

The high prevalence of various multisystemic symptoms associated with variants affecting the C-terminal part of NBAS point to important functions of this part of the protein, which so far has no defined domains and for which no reliable 3D modeling is currently possible. It is an interesting observation that the C-terminal missense variants cluster in close proximity to the variant prevalent in the Yakut population c.(5741G>A) and in close relation to a highly disordered region (IUPred2 score), which might suggest a short peptide binding region (or linear motif).<sup>59</sup>

The combined severe phenotype caused by variants in the coding region of the  $\beta$ -propeller domain, a domain that is per se difficult to fold,<sup>60</sup> might reflect disturbed functions of both the Sec39 and C-terminal parts due to an altered  $\beta$ -propeller. This might be due to hampered protein interaction with other partners or misfolding of the whole protein. The latter could be of interest for a therapeutic approach to promote folding by specific chaperones in the future. However, further studies are needed to improve our knowledge about the tertiary structure of NBAS, domain-specific protein functions, and potential sites of interaction.

This is the first work systematically and quantitatively analyzing patients with NBAS-associated disease, based on the largest cohort studied so far, including more than 100 patients and adding 26 novel patients to the literature. Based on the localization of missense variants or in-frame deletions, most affected individuals can be grouped into three subgroups. We suggest to name the distinct clinical subgroups ILFS2 (Sec39), SOPH (C-terminal), and ILFS2-SOPH ( $\beta$ -propeller). Our study facilitates patient management, which should be guided by the specific phenotypic patterns. Ultimately, improved knowledge of domain-specific functions will boost our understanding of both biology and human disease related to variants in NBAS.

## SUPPLEMENTARY INFORMATION

The online version of this article (<https://doi.org/10.1038/s41436-019-0698-4>) contains supplementary material, which is available to authorized users.

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## DISCLOSURE

The authors declare no conflicts of interest.

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