# Prevalence of genetic polymorphisms of CYP2C9 and VKORC1 - implications for warfarin management and outcome in Croatian patients with acute stroke

Šupe, Svjetlana; Božina, Nada; Matijević, Vesna; Bazina, Antonela; Mišmaš, Antonija; Ljevak, Josip; Alvir, Domagoj; Habek, Mario; Poljaković, Zdravka

Source / Izvornik: Journal of the Neurological Sciences, 2014, 343, 30 - 35

Journal article, Accepted version Rad u časopisu, Završna verzija rukopisa prihvaćena za objavljivanje (postprint)

https://doi.org/10.1016/j.jns.2014.04.039

Permanent link / Trajna poveznica: https://urn.nsk.hr/um:nbn:hr:105:948851

Rights / Prava: In copyright/Zaštićeno autorskim pravom.

Download date / Datum preuzimanja: 2024-09-07



Repository / Repozitorij:

Dr Med - University of Zagreb School of Medicine Digital Repository







#### Središnja medicinska knjižnica

Šupe S., Božina N., Matijević V., Bazina A., Mišmaš A., Ljevak J., Alvir D., Habek M., Poljaković Z. (2014) *Prevalence of genetic polymorphisms of CYP2C9 and VKORC1 - implications for warfarin management and outcome in Croatian patients with acute stroke.* Journal of the Neurological Sciences, 343 (1-2). pp. 30-5. ISSN 0022-510X

http://www.elsevier.com/locate/issn/0022510X

http://www.sciencedirect.com/science/journal/0022510X

http://dx.doi.org/10.1016/j.jns.2014.04.039

http://medlib.mef.hr/2336

University of Zagreb Medical School Repository http://medlib.mef.hr/

### Prevalence of genetic polymorphisms of *CYP2C9* and *VKORC1* - implications for warfarin management and outcome in Croatian patients with acute stroke

Svjetlana Šupe<sup>1</sup>, Nada Božina<sup>2,3</sup>, Vesna Matijević<sup>1</sup>, Antonela Bazina<sup>1</sup>, Antonija Mišmaš<sup>1</sup>, Josip Ljevak<sup>1</sup>, Domagoj Alvir<sup>1</sup>, Mario Habek<sup>1,3</sup>, Zdravka Poljaković<sup>1,3</sup>

<sup>1</sup>Department of Neurology, Intensive Care Unit, University Hospital Center Zagreb, Zagreb, Croatia

<sup>2</sup> Clinical Institute of Laboratory Diagnosis, Zagreb University Hospital Center, Department of Pharmacology, Zagreb, Croatia

<sup>3</sup> University of Zagreb, School of Medicine

#### **Corresponding author:**

Svjetlana Šupe, MD, PhD Department of Neurology, Neurological Intensive Care Unit University Hospital Center Zagreb, Zagreb, Croatia Telephone: 3851 2388 341 E-mail address: ssupe2@hotmail.com

Word count: 3835 Tables 4 References 55 Abstract word count: 253

Acknowledgements: None Conflict of interest : The authors declared no conflict of interest

#### Abstract

**Background:** Data on the prevalence of *CYP2C9* and *VKORC1* genes and their influence on anticoagulant effect and warfarin dose in stroke patients are scarce. The aim of this study was to determine the occurrence and significance of these gene polymorphisms and to establish pharmacogenetic algorithm to estimate the dose of introduction. Also, the goal was to determine tailored safety and intensity of anticoagulation response depending on the allelic variants and their impact on the clinical outcome in acute stroke patients in Croatia.

**Methods**: A total of 106 consented acute stroke patients were tested for *CYP2C9*\*2,\*3 and *VKORC1* 1173C>T gene polymorphisms. We estimated the dose of introduction and monitored anticoagulant effect obtained by INR values, time to reach stable dose, stable maintenance dose, time spent within the therapeutic/supratherapeutic INR range, occurrence of dosage side effects and clinical outcome depending on genotypes.

**Results:** We found that 83% of stroke patients in our study were carriers of multiple allelic variants. The predicted initial dose correlated with the stable warfarin maintenance dose (p=0.0311) and we correctly estimated the dose for 81.5% of 61.3% of study patients who required higher/lower doses than average. Warfarin dosage complications were slightly more frequent among the carriers of *CYP2C9*\*2,\*3 compared to the carriers of *VKORC1* 1173 T alleles (68. 9% versus 62.5%), but their occurrence did not affect the final clinical outcome.

**Conclusion:** Our data indicated rapid and safe anticoagulation achieved by using pharmacogenetically-predicted warfarin dose in high-risk acute stroke patients without increasing the risk of warfarin dosage complications in elderly population.

Key words: stroke, warfarin, genetic polymorphism, CYP2C9, VKORC1

#### Introduction

Warfarin is the most widely prescribed anticoagulant owing to its effectiveness in primary and secondary prevention and treatment of thromboembolic events coupled with its low cost, in spite of the emergence of newer anticoagulants like dabigatran, rivaroxaban, apixaban (1,2). However, its use is associated with significant morbidity and mortality and makes it the second leading drug-related cause for emergency hospitalization. Most drug-related adverse events emerge during the early initiation of therapy which is a challenging and demanding process. Risks for hemorrhage or thromboembolism as the consequences of under or overanticoagulation range from 16% to 25% and these events are more frequent during the initiation period than later (3,4,5). These early problems depend on multiple environmental and genetic factors and are related to warfarin narrow therapeutic INR (International Normalized Ratio) range and at least 20-fold interindividual variability in warfarin sensitivity (6).

Common polymorphisms of CYP2C9 and VKORC1 genes have a strong influence on interindividual warfarin sensitivity, variability of anticoagulant effect and dose requirement, therefore accounting for more than one-third of variances associated with a stable therapeutic dose (7,8). An association between the CYP2C9 gene polymorphisms, time to reach first therapeutic and supratherapeutic INR values and increased risk of bleeding during the initiation phase of anticoagulant therapy has been well documented. Two alleles, CYP2C9 \*2(Arg144Cys) and CYP2C9\*3 (Ile359Leu) out of > 30 CYP2C9 discovered alleles are considered strong risk factors for over-anticoagulation (9). Studies of single nucleotide polymorphisms (SNPs) of VKORC1 -1636G>A and 1173C>T have confirmed the significant influence of VKORC1 gene polymorphisms on warfarin sensitivity and dosing (10-12). CYP2C9 and VKORC1 polymorphisms may explain up to 54 % of warfarin dose variabilities (13-15). Previous studies have been mainly focused on establishing genetic-based warfarin-

dosing algorithms, while only a few studies have investigated the effectiveness of their clinical application (16-18).

Patients with cardioembolic stroke and the stroke consequent to the dissection of extracranial or intracranial arteries or cerebral sinus thrombosis require urgent anticoagulant therapy initiation for secondary stroke prevention. These patients are at a 5-7% risk of embolic stroke recurrence within the first week. At the same time they have an increased risk of bleeding into the infarct zone due to the nature of the ischemic brain damage or to thrombolytic therapy side effects, with subsequent deterioration of neurological deficits (19-23). Therefore, those patients are also at increased risk of warfarin dosage side effects, notably of warfarin-induced brain hemorrhage and it is essential for them to have their initial doses selected on the basis of individual genotype to prevent the additional risk of dosage related complications. The goals of the current study were to determine the frequencies of SNPs in the CYP2C9 and VKORC1 genes in our group of acute stroke patients, to individualize therapy by using pharmacogenetic-based warfarin-dosing algorithm and to determine tailored security and intensity of anticoagulation response depending on the CYP2C9 and VKORC1 genotypes.

#### **Patients and methods**

#### Patients

In the time period between October 2010 till April 2011, 307 patients were hospitalized with acute ischemic stroke in the Stroke Unit, Department of Neurology, UHC Zagreb. After obtaining ethical permission, the study was conducted in 106 consented patients with acute stroke who had an indication for urgent anticoagulation. Among them, 36 patients were previously anticoagulated with warfarin. Inclusion and exclusion criteria are listed in Table 1.

#### Materials and methods

Upon admission, blood samples (10 ml) were taken for INR measurement and genotyping. Low molecular weight heparin (LMH) had been administered during first five to seven days since admission, before the initial dose of warfarin was introduced. The initial dose of warfarin was calculated by using non-profit website published algorithm *http://www.WarfarinDosing.org.*, which included some demographic data, indications for warfarin therapy, concomitant medications (amiodarone, carmabazepine and phenytoin were included) and *CYP2C9* \*2\*3 and *VKORC1* 1173C>T genotypes (16,23). During hospitalization, the following data were recorded for each patient: gender, age, weight, height, indications for warfarin therapy, several INR values depending on the day of therapy introduction, predicted dose, dose of introduction, stable maintenance dose, dosagedependent side effects (minor or major bleeding) and clinical outcome at the end of the study reported as mRS (modified Rankin Scale) (23, 24).

After the initial pharmacogenetically predicted warfarin dose had been assessed, we introduced the calculated "mini loading dose"(dose of introduction) of warfarin for first two days (Table 2) (25). Doses were subsequently adjusted depending on the measured INR values. The values of INR were recorded at admission, on the first day of warfarin therapy introduction, after 48 hours, 72 hours, on days 5, 7, 14 and on day 21 of warfarin therapy introduction when the study was terminated. Target INR value was >2, therapeutic range was 2-3 and supratherapeutic values were determined as INR >3.1. Stable maintenance dose was defined as the warfarin dose at which a patient was maintained within the therapeutic INR range and which did not change during three consecutive INR measurements for at least five days.

5

#### Side effects

Warfarin dosage side effects were defined as minor or major bleeding. Minor bleeding was defined as small subcutaneous hemorrhage, microhematuria or slight gingival or vaginal bleeding. Major hemorrhage was classified into two subgroups: a) bleedings that did not require interruption of therapy, such as mild hemorrhage into the infarct zone without worsening of neurological deficit, b) bleedings that required interruption of warfarin therapy, such as large intracerebral hematoma with deterioration of neurological deficit, extensive gastrointestinal or urogenital bleeding.

#### Endpoints

The primary endpoints to determine were the following:

- frequencies of CYP2C9 \*2,\*3 and VKORC1 C1173T alleles in acute stroke patients
- time to reach the first therapeutic INR

- time to reach stable maintenance dose, and stable maintenance dose according to genotype The secondary endpoints to determine were as follows:

- time and the percentage of time spent in therapeutic and supratherapeutic INR range
- incidence of warfarin dosage side effects according to genotype
- association of the severity of neurological deficit at discharge reported as mRS\_with age
- association of final clinical outcome with the occurrence of warfarin dosage complications.

#### Genotyping procedures

DNA was isolated from whole blood by salting out method. In this study, three SNPs were genotyped by TaqMan® Drug Metabolism Genotyping Assays: *CYP2C9*\*2 (rs1799853) with assay ID C\_25625805\_10, *CYP2C9*\*3 (rs1057910) with assay ID C\_27104892\_10 and

*VKORC1* 1173C>T (*rs* 9934438) with assay ID C\_30204875\_10. The genotyping was performed by the Applied Biosystems 7500 Real Time PCR System according to the manufacturer's instructions (Applied Biosystems, Foster City, CA, USA). All assays were run in 96 well plates under the same instrument conditions: 2 min at 50°C, 10 min at 95°C, following 50 cycles of 15 sec at 92°C with 90 sec at 60°C extension time. For each SNP, the 25 μl PCR reaction mixture per well consisted of 1.25 μl TaqMan® Drug Metabolism Genotyping Assay Mix (specific for each polymorphism), 12.5 μl TaqMan® Universal PCR Master Mix and 5 -20 ng of genomic DNA diluted in 11.25 μl dH<sub>2</sub>0 (26).

#### Statistical Analysis

Quantitative variables are presented as an arithmetic mean (AM) and standard deviation (SD) when distribution was normal, otherwise as confidence interval (95%CI), median or Interquartile range (IQR).

Qualitative variables are presented as frequency and proportion (such as frequency of alleles or group of alleles, time and percentage of time spent in therapeutic INR values). Student's t-test or Mann-Whitney U-test were used for comparison among the groups for quantitative variables (time required to achieve the target INR, time to achieve stable maintenance dose).  $\chi$ 2-test was used for comparison among the groups for qualitative variables. Analysis of variance (ANOVA) or Kruskal-Wallis test, depending on distribution, were used for comparing the groups for quantitative variables. The Spearman rank correlation was used to characterize associations among quantitative variables. Results were deemed statistically significant at the 5% level (p<0.05).

The analysis was carried out by using statistical software package STATISTICA ver. 6.0 (StatSoft Inc., Tulsa, OK, USA ).

#### Results

Demographic data and the prevalence of CYP2C9 and VKORC1 gene polymorphisms in stroke patients

The study included 106 Caucasian patients from Croatia, CEU (56.6% female and 43.4% male), with the mean age of 67.6 years (65.83-70.27, 95%CI). Out of them, 14.15% were younger than 49 years, while 54.76% were older than 71 years. The most common genotypes were *VKORC1* 1173CC (49.5%) and *CYP2C9* wt/wt (41.5%).Genotypes with reduced enzyme activity, *VKORC1* 1173TT and *CYP2C9* \*2,\*3 were present in 11.3% and 17.92% of patients respectively, as shown in Table 3.

Time to reach the first target INR value, proportion of time within the therapeutic INR range and the time spent within supratherapeutic INR values depending on genotype Target INR value was  $\geq 2$ , therapeutic INR range was 2-3 and supratherapeutic INR> 3.1. Patients achieved the first target INR  $\geq 2$  for an average of 4.16 days (4.12-4.66, 95%CI). Significant difference was observed by applying the method of linear interpolation between genotypes in the time needed to achieve the target INR (p = 0.0257), assuming that the wild type was the reference group (Table 3). Patients spent 16.09 days (15.69-16.48, 95% CI) within the therapeutic INR range or an average of 79.54% (74.75-78.51, 95%CI) of the time. The carriers of *VKORC1* 1173TT and at least one variant of *CYP2C9* allele (wt/\*2,wt/\*3,\*2/\*2,\*2/\*3,\*3/\*3) spent the longest proportion of time within the therapeutic INR range (84.19%). "Wild" homozygotes for both genes spent the shortest proportion of time (74.32%) within therapeutic INR range, but without statistically significant difference among genotype groups (p = 0.370). The carriers of *CYP2C9* (wt/\*2, wt /\*3,\*2/\*2) genotypes spent the longest time with supratherapeutic INR values (> 3.1), i. e. a period of 7.68 days and they mostly achieved supratherapeutic INR values.

### The average estimated dose, dose of introduction, stable maintenance dose and average time of achieving the stable maintenance dose depending on genotype

Average estimated dose (De) for all patients was 3.82 mg (3.63- 4.20, 95% CI) and the De differs significantly between genotypes (p=0.000). The calculated "mini loading dose" (dose of introduction, Di) of warfarin for each patient was introduced. The carriers of "wild" *CYP2C9 /VKORC1* genotypes required the highest Di of 9 mg (8.38-9.62, 95% CI), while the carriers of *VKORC1* 1173 TT and *CYP2C9* (wt/\*2,wt/\*3,\*2/\*2,\*2/\*3,\*3/\*3) defective alleles required only 4.26 mg (2.70-5.82, 95%CI). The average stable daily maintenance dose (Dm) was up to 3.51 mg (3.44- 4.08, 95% CI) and significantly correlated with the combination of *CYP2C9* and *VKORC1* genotypes (p=0.000), as shown in Table 3. The predicted initial dose correlated with the stable warfarin maintenance dose (Dm) (p=0.0311).

The average time of achieving the Dm amounted to 10.00 (9.96-10.69, 95% CI) days and the stable Dm was most rapidly achieved (in 7 days) by the carriers of homozygous defective alleles for both genes (*CYP2C9* \*2\*2 or \*2\*3/ *VKORC1* 1173TT). Since 61.3% (N=65) of 106 study patients required higher (N=15) or lower (N=50) doses of warfarin than usual (<3mg or >6 mg), we correctly estimated the dose for 81.5% of those 65 patients.

## *Warfarin dosage side effects and final neurological deficit (mRS) at the discharge depending on genotype*

Warfarin dosage complications were slightly more frequent among the carriers of *CYP2C9* \*2\*3 alleles (68.9%) when compared to the carriers of *VKORC1* 1173C>T alleles (62.5%). Severe dose dependent side effects were more common in the carriers of *VKORC1* 1173TT

genotype (62.5 %) and the carriers of two defective *CYP2C9* \*2 or \*3 alleles (37.5%), but without significant difference between genotypes (p=0.0698). Our data indicate no significant correlation between the occurrence of warfarin dosage side effects and the proportion of time spent within the therapeutic INR range (p = 0.5652), although the occurrence of major bleeding was higher in patients (9.59%) who spent more time outside the therapeutic INR range. The age of the patients did not affect the final neurological outcome expressed by mRS (p =0.1562), although all fatal outcomes were observed in patients older than 71 years (42%). The occurrence of side effects dependent on warfarin dosage did not have an impact on final clinical outcome (p=0.1198), as shown in Table 4. We also did not find association of final neurological outcome with the percentage of the time spent within the therapeutic INR range (p=0.5652) or with the time required to achieve a stable therapeutic dose.

#### Discussion

The introduction of warfarin into therapy is a challenging and tedious process that can lead to serious side effects like bleeding, especially among highly vulnerable patients, such as patients with acute ischemic stroke. In this study we analyzed the influence of *CYP2C9* and *VKORC1* 1173 C>T gene polymorphisms on the prediction of the initial dose of warfarin in Caucasian Croatian patients with acute stroke and their impact on the achievement of a fast and stable anticoagulant effect expressed by therapeutic INR range (2-3) and a stable therapeutic dose. Target INR values ( $\geq 2$ ) were achieved at an average time of 4.16 days. Allelic variants that have contributed to the earlier achievement of target INR values were homozygous "defective" variants of *VKORC1* and *CYP2C9* genes; patients with these variants have attained the target INR already at 1.35 days. The longest time for the anticoagulant effect and achievement of the target INR values was needed by the carriers of "wild" *VKORC1* and *CYP2C9* homozygous alleles (5.05 days).

The shortest proportion of time spent within the therapeutic INR range with slower achievement of target INR was observed in the group of carriers of homozygous "wild" type alleles.

Explanation for this could be the observation that "wild" type *VKORC1* allele carriers are often also carriers of rare mutations and require significantly higher doses or can even develop resistance to warfarin (11). However, these mutations are not analyzed in routine clinical practice and we do not have these data. The longest proportion of time spent within the therapeutic INR range was observed for the group of patients carriers of defective alleles. Such patients require lower doses of warfarin and they are less likely carriers of *VKORC1* rare mutations, all of which could contribute to more accurate dosing (27,28).

Our data suggest the dominant effect of *CYP2C9* gene polymorphisms on early achievement of target INR and supratherapeutic INR> 3.1 values, which is consistent with some published data (12-15,29,30). However, opposite data were also obtained, pointing to the greater role of *VKORC* 11173TT in achieving the target INR, although *CYP2C9* \*2 and \*3 allele variants were responsible for the earlier achievement of supratherapeutic INR values (31,32). Estimated initial doses and stable maintenance doses did not differ among the group of genotypes, indicating that our pharmacogenetically based warfarin-dosing algorithm could effectively predict the actual warfarin maintenance dose, providing clinicians with a reliable tool for managing successful anticoagulation (33-35). Homozygous carriers of *VKORC1/CYP2C9* defective alleles required the lowest daily maintenance dose of 1 mg, while the highest dose of 6 mg per day was needed by homozygous "wild" type carriers. Relatively high proportion (61.3%) of our patients required doses that are higher or lower than the average, which is a significantly higher proportion compared to data from Anderson's study where 46% of patients required lower or higher doses than average (14). This difference could be explained by possible different frequencies of tested polymorphisms in general

population. Patients with embolic stroke, particularly cardioembolic stroke caused by atrial fibrillation as was the case in 77% of our respondents, have a 5-7% risk for embolic stroke recurrences within 7-12 days after the initial stroke, with immediate risk for intracerebral hemorrhage as the result of damage related to the nature of the disease (20,21). For this vulnerable group of patients (who are under- or over anticoagulated), it is crucial to achieve anticoagulant effect and stable therapeutic dose as soon as possible, while pharmacogenetic testing seems valuable and promising.

In this study, the average time of achieving stable maintenance dose was up to 10 days. An interesting finding is in one of our patients, the carrier of *VKORC1CT/ CYP2C9* wt/wt genotype, whose estimated initial dose was 4.5 mg according to pharmacogenetically based algorithm. He reached the target INR very slowly and only after 19 days achieved the stable therapeutic dose of 12 mg per day, which was more than twice the estimated value, although he did not receive any concomitant therapy. This might be explained in several ways: he is a very fast metabolizer for *CYP2C9* due to possibly different transcriptional regulation of *CYP2C9* (34); he is the carrier of rare *VKORC1* mutations predisposing resistance or has a molecular mechanism for warfarin resistance independent of the regulation of the *CYP2C9* and *VKORC1* genotypes (36,37).

We confirmed the relatively high prevalence of 83% of *VKORC1* and *CYP2C9* multiple allele carriers among our stroke patients with one (27.3%), two (46.2%) or more defective alleles that require significantly lower doses compared to the "wild" type carriers. This was also demonstrated by the research of Caldwell and Ruan in whose study 73.2 % of patients were carriers of one or more *CYP2C9* or *VKORC1* polymorphisms (38,39). Stroke patients who stand to benefit most from genotyping are precisely those who have the greatest number of deficient polymorphisms.

12

The presented data are consistent with previous studies that have pointed to the dominant impact of *VKORC1* gene polymorphisms on stable maintenance dose.

Studies of inter-ethnic differences in sensitivity to warfarin confirmed that the *VKORC1* polymorphism had significantly greater influence on the variability of dose in Asians and Caucasians than in Afro-Americans as the result of race-specific differences in the frequency of *VKORC1* polymorphisms (40-43). A studies conducted confirmed that this is due to differences in MAFs (minor allele frequency) across racial groups. As the MAF increases, the percentage of variation in dose explained by minor allele increases, with the highest variance explained at MAF of 60% to 70%. Minor allele frequencies for *VKORC1* -1639G>A in Asian, white, and black population are, 0.91, 0.39, and 0.11 respectively. The frequencies are calculated by using genotype information from subjects in International Warfarin Pharmacogenetics Consortium (44).

Although the variability in dose explained by *VKORC1* differed by racial groups at the population level, possession of the minor allele (-1639A or 1173T) at an individual level was associated with the similar decrease in warfarin dose requirement irrespective of race. *CYP2C9* allele frequencies also vary considerably among racial groups. Minor allele *CYP2C9\*2* frequencies in the white, Asian and black population are estimated to be 0.13, 0, and 0.03 respectively, and for *CYP2C9\*3* frequencies they are 0.07, 0.04 and 0.02, respectively, according to IWPC (International Warfarin Pharmacogenetics Consortium). Thus, aggregate minor allele frequencies for *CYP2C9* range from 4% in Asians and 5% in blacks to 20% in whites, and these differences may account for some of the population-level differences in the use of warfarin-dosing algorithms in racial groups. Smaller range of the *CYP2C9* allele frequencies across racial groups compared to *VKORC1* is the reason why relative contribution to racial differences in dosing is larger for *VKORC1* than for *CYP2C9*.

In Croatian population, the prevalence of variant *CYP2C9*\*2 and \*3 alleles is estimated to be 35% (Božina), which is similar to some other data published for Caucasians (41,43). Additional *CYP2C9* variant alleles with reduced activity (*CYP2C9*\*5,\*6,\*8, and \*11) contribute to dose variability among African Americans. Other genes that could predict warfarin dose, but with much lower impact, are *CYP4F2* (primary liver vitamin K1 oxidase that catalyzes the metabolism of vitamin K1) and *CALU* (coding for Calumenin, Ca2+- binding protein) in some but not all populations (44,45).

Most of the side effects related to warfarin therapy occur at the very beginning of the drug introduction, in our study mostly between 6-12 days. The incidence of warfarin dosage complications did not exceed the incidence stated results of published research where it ranged from 5-17%, or even up to 25% (46-48). We found no significant association of the development of warfarin dosage complications with the proportion of the time spent within the target therapeutic INR range, although the incidence of major bleeding occurred in those subjects who spent more time outside the therapeutic INR range (9.59% of respondents). We found that warfarin dosage complications were slightly more frequent in the carriers of defective CYP2C9 alleles compared to the carriers of defective VKORC1 alleles (68.9% versus 62.5%). Serious dosage-related complications were more frequent among the carriers of both CYP2C9 defective alleles that commonly contribute to over-anticoagulation. Similar observations of an increased risk of bleeding among the carriers of CYP2C9 \*2 and \*3 alleles have been reported in some previous studies, with almost 3-fold increase in risk in the carriers of \*3 alleles (10,49-50). Among patients with major hemorrhage, three had discrete hemorrhagic transition into the infarct zone confirmed by brain CT findings without any signs of clinical deterioration. We did not interrupt warfarin therapy in these patients, but adjusted the dose with careful monitoring of INR, clinical parameters and brain CT. We also continued warfarin therapy in four patients with the occurrence of microhematuria and in one with

vaginal bleeding, because we estimated that they had higher risk of serious thromboembolic events consequential to the interruption of therapy compared to the symptoms caused by the warfarin dosage side effects. In four patients, INR values were within therapeutic range, while three patients with defective *CYP2C9* alleles had INR>3.1. Three days after completing the follow-up study, one patient with *VKORC1* 1173TT and *CYP2C9* \*2/\*3 genotypes developed an extensive gastrointestinal bleeding despite the fact that INR values were within the therapeutic range. We applied 20 mg vitamin K followed by intravenous infusion of 690 ml of fresh frozen plasma without the need for blood transfusion and the patient has good clinical recovery.

Results of large studies confirmed that the incidence and prevalence of atrial fibrillation, which is the most common cause of cardioembolic stroke, increase with age (51). In light of these findings, clinical significance of our study lies in the fact that we pointed to a safe therapeutic intervention using pharmacogenetically-predicted warfarin dose, particularly in elderly acute stroke patients (54.76% were older than 71 years). We found no association of warfarin dosage complications with age or with the final clinical outcome reported as mRS, although all fatal outcomes were observed in patients aged over 71 years. We primarily related such outcomes to the severity of the initial brain damage caused by stroke (49,52-53).

#### Conclusions

Data from our study confirmed the relatively high prevalence of VKORC1 and CYP2C9 multiple allele carriers among Croatian patients with ischemic stroke (83%), which has a significant impact on required therapeutic dose. By using the pharmacogenetically-based warfarin-dosing algorithm that includes CYP2C9 and VKORC1 genotypes, we correctly estimated the required dose for 81.5% of 61.3 % of patients who needed higher or lower than average doses, which is crucial for rapid and safe anticoagulation. Bearing in mind that over

33% (in some studies even 42%, in Croatian population about 35%) of patients with acute stroke require immediate application of anticoagulant therapy, the clinical value of this study lies in the fact that we pointed to the safe therapeutic intervention by using pharmacogenetically-predicted warfarin dose in this high-risk group of patients without increasing the risk of warfarin dosage complications in elderly population (54,55).

#### **References:**

- Diener HC1, Connolly SJ, Ezekowitz MD, Wallentin L, Reilly PA, Yang S, Xavier D, Di Pasquale G, Yusuf S; RE-LY study group. Dabigatran compared with warfarin in patients with atrial fibrillation and previous transient ischaemic attack or stroke: a subgroup analysis of the RE-LY trial. Lancet Neurol 2010;9:1157-63.
- Baglin TP, Keeling DM, Watson HG. Guidelines on oral anticoagulation (warfarin): third edition – 2005 update. Br J Haematol 2006;132:277–285.
- Bejth RJ, Milligan PE, Gage BF. Risk factors for bleeding in patients taking coumarins. Curr Hematol Rep 2002;1:41-49.
- Fang MC, Go AS, Chang Y, Hylek EM, Henault LE, Jensvold NG, Singer DE.Death and disability from warfarin-associated intracranial hemorrhages. Am J Med 2007;120:700-705
- Penning-van Beest FJA, van Meegen E, Rosendaal FR. Characteristics of Anticaogulation Therapy and Comorbidity Related to Overanticoagulation. Thromb Haemost 2001;86:569-74
- Wittkowsky AK. Factors associated with INR elevation and bleeding complications during Warfarin therapy. Arch Intern Med 2005;165:703.
- Wadelius M, Pirmohamed M. Pharmacogenetics of warfarin: current status and future challenges. Pharmacogenomics J 2007;7:99-11.

- Ingelman-Sundberg M1, Sim SC, Gomez A, Rodriguez-Antona C. Influence of cytochrome P450 polymorphisms on drug therapies: pharmacogenetic, pharmacoepigenetic and clinical aspects. Pharmacol Ther 2007;116:496-526.
- Pirmohamed M, Park BK. Cytochrome P450 enzyme polymorphisms and adverse drug reactions. Toxicology 2003;192:23-32.
- 10. Sanderson S, Emery J, Higgins J. Cyp2C9 gene variants, drug dose, and bleeding risk in warfarin-treated patients: A HuGEnet systematic review and meta-analysis. Genet Med 2005;7:97-104
- Rost S, Fregin A, Ivaskevicius V, Conzelmann E, Hörtnagel K, Pelz HJ, Lappegard K, Seifried E, Scharrer I, Tuddenham EG, Müller CR, Strom TM, Oldenburg J. Mutations in VKORC1 cause warfarin and multiple coagulation factor deficiency type
   Nature 2004;427:537-541
- Rieder MJ, Reiner AP, Gage BF, Nickerson DA, Eby CS, McLeod HL, Blough DK, Thummel KE, Veenstra DL, Rettie AE. Effect of VKORC1 haplotypes on transcriptional regulation and warfarin dose. N Engl J Med 2005;352:2285-93.
- 13. Sconce EA, Khan TI, Wynne HA, Avery P, Monkhouse L, King BP, Wood P, Kesteven P, Daly AK, Kamali F. The impact of CYP2C9 and VKORC1 genetic polimorphism and patient characteristics upon warfarin dose requirements:proposal for a new dosing regimen. Blood 2005;106:2329-2333.
- 14. Anderson JL, Horne BD, Stevens SM, Grove AS, Barton S, Nicholas ZP, Kahn SF, May HT, Samuelson KM, Muhlestein JB, Carlquist JF; Couma-Gen Investigators. Randomized trial of genotype –guided versus standard warfarin dosing in patients initiating oral anticoagulation. Circulation 2007;116:2563-2570.

- 15. Zhu Y, Shennan M, Reynolds KK, Johnson NA, Herrnberger MR, Valdes R Jr, Linder MW. Estimation of warfarin maintance dose based on VKORC1(-1639G>A) and CYP2C9 genotypes. Clin Chem 2007;53:1199-1205.
- 16. Gage BF, Eby C, Johnson JA, Deych E, Rieder MJ, Ridker PM, Milligan PE, Grice G, Lenzini P, Rettie AE, Aquilante CL, Grosso L, Marsh S, Langaee T, Farnett LE, Voora D, Veenstra DL, Glynn RJ, Barrett A, McLeod HL. Use of pharmacogenetic and clinical factors to predict the therapeutic dose of warfarin.Clin Pharmacol Ther 2008;84:326-31.
- The International Warfarin Pharmacogenetics Consortium. Estimation of the warfarin dose with clinical and pharmacogenetic data. N Engl J Med 2009;360:753-64.
- 18. Kaur A, Khan F, Agrawal SS, Kapoor A, Agarwal SK, Phadke SR. Cytochrome P450 (CYP2C9\*2,\*3) & vitamin-K epoxide reductase complex (VKORC1 -1639G<A) gene polymorphisms & their effect on acenocoumarol dose in patients with mechanical heart valve replacement. Indian J Med Res 2013;137:203-9.
- 19. Lovett JK, Coull AJ, Rothwell PM. Early risk of recurrence by subtype of ischemic stroke in population-based incidence studies. Neurology 2004;62:569-573.
- 20. Ay H, Gungor L, Arsava EM, Rosand J, Vangel M, Benner T, Schwamm LH, Furie KL, Koroshetz WJ, Sorensen AG. A score to predict early risk of recurrence after ischemic stroke. Neurology 2010;74:128–135.
- 21. Furie KL, Kasner SE, Adams RJ, Albers GW, Bush RL, Fagan SC, Halperin JL, Johnston SC, Katzan I, Kernan WN, Mitchell PH, Ovbiagele B, Palesch YY, Sacco RL, Schwamm LH, Wassertheil-Smoller S, Turan TN, Wentworth D; American Heart Association Stroke Council, Council on Cardiovascular Nursing, Council on Clinical Cardiology, and Interdisciplinary Council on Quality of Care and Outcomes Research. Guidelines for the prevention of stroke in patients with stroke or transient ischemic

attack: a guideline for healthcare professionals from the american heart association/american stroke association. Stroke 2011;42:227-76.

- 22. Matijević V, Alvir D, Malojčić B, Unušić L, Supe S, Boban M, Bujan-Kovač A,
  Habek M, Poljaković Z. Systemic thrombolysis with recombinant tissue plasminogen activator in acute ischemic stroke: first Croatian experiences. Neurol Sci 2010;31:693-7.
- 23. Habek M, Supe S, Poljaković Z, Gelpi E, Alesch F, Ozretić D, Brinar VV. Subacute brainstem angioencephalopathy: favorable outcome with anticoagulation therapy. J Neurol Sci 2008;275:167-9.
- 24. Bonita R, Beaglehole R. Recovery of motor function after stroke. Stroke 1988;19:1497-1500.
- 25. FDA Approves Updated Warfarin (Coumadin) Prescribing Information: New Genetic Information May Help Providers Improve Initial Dosing Estimates of the Anticoagulant for Individual Patients, Available at http://www.fda.gov/NewsEvents/Newsroom/PressAnnouncement/2007/ucm108967.ht m Accessed: July 14, 2009
- 26. National Academy of Clinical Biochemistry (NACB). Draft Guidelines and Recommendations for Laboratory Analysis and Application of Pharmacogenetics to Clinical Practice. 3rd Draft, Dec 2007 Version. Accessed June 1, 2010. Available at URL address:

http://www.aacc.org/members/nacb/LMPG/OnlineGuide/DraftGuidelines/Pharmacoge netics

27. Li C, Schwarz UI, Ritchie MD, Roden DM, Stein CM, Kurnik D. Relative contribution of CYP2C9 and VKORC1 genotypes and early INR response to the

prediction of warfarin therapy sensitivity during intiation of therapy. Blood 2009;113:3925-30.

- 28. Takeuchi F, McGinnis R, Bourgeois S, Barnes C, Eriksson N, Soranzo N, Whittaker P, Ranganath V, Kumanduri V, McLaren W, Holm L, Lindh J, Rane A, Wadelius M, Deloukas P. A genome-wide association study confirms VKORC1, CYP2C9 and CYP4F2 as principal genetic determinants of warfarin dose. PLoS Genet 2009;5(3):e1000433.
- 29. Lindh JD, Holm L, Andersson ML, Rane A. Influence of CYP2C9 genotype on warfarin dose requirements--a systematic review and meta-analysis. Eur J Clin Pharmacol 2009;65:365-75.
- 30. Tanaka KD, Kawai YK, Ikenaka Y, Harunari T, Tanikawa T, Fujita S, Ishizuka M. A novel mutation in VKORC1 and its effect on enzymatic activity in Japanese warfarinresistant rats. J Vet Med Sci 2013:75:135-9.
- 31. D'Andrea G, D'Ambrosio RL, Di Perna P, Chetta M, Santacroce R, Brancaccio V, Grandone E, Margaglione M. A polymorphism in the VKORC1 Gene associated with an interindividual variability in the dose-anticoagulant effect of warfarin. Blood 2005;105:645-649.
- Higashi MK, Veenstra DL, Kondo LM. Association between CYP2C9 genetic variants and anticoagulation-related outcomes during warfarin therapy. JAMA 2002;287:1690-1698.
- 33. Schwarz UI, Ritchie MD, Bradford Y, Li C, Dudek SM, Frye-Anderson A, Kim RB, Roden DM, Stein CM. Genetic Determinants of Response to Warfarin during Initial Anticoagulation. N Engl J Med 2008;358:999-1008.

- 34. Caraco Y, Blotnick S, Muszkat M. CYP2C9 genotype-guided warfarin prescribing enhances the efficacy and safety of anticoagulation: a prospective randomized controlled study. Clin Pharmacol Ther 2008:460-70.
- 35. Lenzini P, Grice G, Milligan P, Gatchel S, Deych E, Eby C, Burnett R, Clohisy J, Barrack R, Gage B. Optimal initial dose adjustment of warfarin in orthopedic patients. Ann Pharmacother 2007;41:1798-804.
- 36. Mwinyi J, Nekvindová J, Cavaco I, Hofmann Y, Pedersen RS, Landman E, Mkrtchian S, Ingelman-Sundberg M. New insights into the regulation of CYP2C9 gene expression: the role of the transcription factor GATA-4. Metab Dispos 2010;38:415-21.
- 37. Nishimura F, Tokuda M, Sasaki D, Mori S, Tsuruda K, Hasegawa H, Yanagihara K, Kamihira S. An instructive case suggesting warfarin resistance which is independent on the regulation of the CYP2C9 and VKORC1 genotype. Rinsho Byori 2011;59:1087-90.
- 38. Caldwell MD, Berg RL, Zhang KQ, Glurich I, Schmelzer JR, Yale SH, Vidaillet HJ, Burmester JK. Evaluation of genetic factors for warfarin dose prediction. Clin Med Res 2007;5:8-16.
- 39. Ruaño, Thompson PD, Villagra D, Bower B, Kocherla M, Yazdanpanah G, Seip RL, Windemuth A, White CM, Duconge J, Holford TR, Wu AHB. High carrier prevalence of combinatorial CYP2C9 i VKORC1 genotypes affecting warfarin dosing. Personal Med 2008;5:225-232.
- 40. Kimmel SE, Christie J, Kealey C, Chen Z, Price M, Thorn CF, Brensinger CM, Newcomb CW, Whitehead AS. Apolipoprotein E genotype and warfarin dosing among Caucasians and African Americans. Pharmacogenom J 2008;8:53–60.

- 41. Božina N, Granić P, Lalić Z, Tramišak I, Lovrić M, Stavljenić-Rukavina A. Genetic polymorphisms of cytochromes P450: CYP2C9, CYP2C19, and CYP2D6 in Croatian population. Croat Med J 2003;44:425-8.
- 42. Limdi NA, Wadelius M, Cavallari L, Eriksson N, Crawford DC, Lee MT, Chen CH, Motsinger-Reif A, Sagreiya H, Liu N, Wu AH, Gage BF, Jorgensen A, Pirmohamed M, Shin JG, Suarez-Kurtz G, Kimmel SE, Johnson JA, Klein TE, Wagner MJ. International Warfarin Pharmacogenetics Consortium. Warfarin pharmacogenetics: a single VKORC1 polymorphism is predictive of dose across 3 racial groups. Blood 2010;115:3827-34.
- 43. Schelleman H, Chen J, Chen Z, Christie J, Newcomb CW, Brensinger CM, Price M, Whitehead AS, Kealey C, Thorn CF, Samaha FF, Kimmel SE. Dosing algorithms to predict warfarin maintenance dose in Caucasians and African Americans. Clin Pharmacol Ther 2008;84:332-9.
- 44. Johnson JA, Gong L, Whirl-Carrillo M, Gage BF, Scott SA, Stein CM, Anderson JL, Kimmel SE, Lee MT, Pirmohamed M, Wadelius M, Klein TE, Altman RB; Clinical Pharmacogenetics Implementation Consortium. Clinical Pharmacogenetics Implementation Consortium Guidelines for CYP2C9 and VKORC1 genotypes and warfarin dosing. Clin Pharmacol Ther 2011;90:625-9.
- 45. Lee CR, Goldstein JA, Pieper JA. Cytochrome P450 2C9 polymorphisms: a comprehensive review of the in-vitro and human data. Pharmacogenetics 2002;12:251-63.
- 46. Wysowski DK, Nourjah P, Schwartz L. Bleeding comlications with warfariin use:a prevalent adverse effect in regulatory action, Arch Intern Med 2007;167:1414-19.
- Hirschl MM, Wollmann C. A 2-year survey of treatment of acute atrial fibrillation in an ED. Am J Emerg Med 2011;29:534-40.

- 48. Gurwitz D, Lunshof JE. Ancestry in translational genomic medicine: handle with care. Genome Med 2009;1:24.
- 49. Levine MN, Raskob G, Landefeld S, Kearon C. Hemorrhagic complications of anticoagulant treatment. Chest 2001;119:108-121.
- 50. McClain MR, Palomaki GE, Piper M, Haddow JE. A rapid-ACCE review of CYP2C9 and VKORC1 alleles testing to inform warfarin dosing in adults at elevated risk for thrombotic events to avoid serious bleeding. Genet Med 2008;10:89-98.
- 51. Heeringa J, Van der Kuip D.AM, Hofman A. Prevalence, incidence and lifetime risk of atrial fibrillation: the Rotterdam study. Eur Heart J 2006;27:949-53.
- Ioannidis JPA. Personalized Genetic Prediction: Too Limited, Too Expensive, or Too Soon? Ann Intern Med 2009;150:139-141.
- 53. Parka SM, Leeb JK, Chunc SI, Leeb HI, Kwona SU, Kanga DW, Kim JS. VKORC1 and CYP2C9 Genotype Variations in Relation to Warfarin Dosing in Korean Stroke Patients. J Stroke 2013;15:115-121.
- 54. Croatian National Institute of Public Health. Croatian health-statistic for the 2008th year. Zagreb, 2008
- 55. Leyden JM, Kleinig TJ, Newbury J, et al: Adelaide stroke incidence study: declining stroke rates but many preventable cardioembolic strokes. Stroke 2013;44:1226-31.

#### Tables

**Table 1.** Inclusion and exclusion criteria for the patients with ischemic stroke that required

 anticoagulant therapy

Inclusion criteria	Exclusion criteria
1. Previously taking warfarin due to:	1. Bleeding detected by brain CT
• atrial fibrillation, mechanical heart valves, deep vein	scan except in patients with cerebral venous sinus thrombosis
thrombosis or pulmonary	2. Malignancy
embolism	3. Pregnancy
2. Newly detected atrial fibrillation confirmed by HOLTER ECG	4. Hepatic and renal insufficiency
3. Acute dissection of extracranial or intracranial arteries	
4. Foramen ovale apertum (FOA) with septal aneurysm	
5. Cerebral venous sinus thrombosis	

**Table 2.** Demographic data, average doses of warfarin (estimated, introduction and maintenance doses), anticoagulant effect of warfarin (expressed by the time to reach target INR values, time and proportion of time spent within the therapeutic/supratherapeutic INR range) and time to achieve a stable daily maintenance dose.

Patients	tients N -/+ 95% CI		Median	
Age	106	65.1-70.3	72.0	
Weight	106	73.1-77.2	75.0	
De	106	3.6-4.2	3.8	
Di	106	5.8-6.6	6.0	
Dm	106	3.4-4.0	3.5	
T-Dm	106	9.9-10.7	10.0	
Tm	106	15.7-16.8	16.7	
То	106	0.07-0.7	0.0	
Т %	106	74.7-79.5	78.5	
T tg	106	4.1-4.6	4.2	

N (number of patients); Age (year, median), Weight (Kg, median), 95% CI (+/-95% confidence interval); De (estimated dose, mg); Di (dose of introduction, mg); Dm (stable daily maintenance dose, mg); T-Dm (time to achieve a stable daily maintenance dose, day); Tm (time spent within the therapeutic INR range, day); T% (proportion of time spent within the therapeutic INR range); To (time spent within the supratherapeutic INR>3.1, day); Ttg (time to reach target INR values, day)

genotype	N (%)	T(days)	T 95%CI.	Dm (mg)	Dm 95%CI
	106(100%)	4.39	4.12-4.66	3.76	3.4-4.08
1.CC,wt/wt	18(17%)	5.05	4.47-5.63	5.7	5.1-6.2
2.CC,wt/*2	14(13.2%)	3.88	3.28-4.49	4.1	3.4-4.8
3.CC,wt/*3	6(5.6%)	4.55	3.59-5.51	3.8	2.5-5.2
4.CC,*2/*2	9(8.5%)	4.62	3.56-5.68	3.7	2.7-4.7
5.CC,*2/*3	5(4.7%)	3.58	2.38-4.78	2.4	1.7-3.0
6.CT,wt/wt	19(17.9%)	4.93	4.13-5.73	4.9	3.6-5.3
7.CT,wt/*2	8(7.6%)	4.47	3.69-5.25	2.9	2.3-3.7
8.CT,wt/*3	13(12.3%)	4.47	3.29-5.65	2.7	2.1-3.2
10.CT,*2/*3	2(1.9%)	2.45	0.91-3.99	1.3	-1.9-4.4
11.TT,wt/wt	7(6.6%)	3.89	3.53-4.25	2.3	1.5-2.9
12.TT,wt/*2	1(0.9%)	3.84		2.5	
13.TTwt/*3	1(0.9%)	2.18		2.0	
14.TT,*2/*3	2(1.9%)	3.210	2.83-3.45	1.5	1.5-1.5
15.TT,*3/*3	1(0.9%)	1.350		1.0	

**Table 3.** Frequencies of the carriers of *CYP2C9*\*2,\*3 and *VKORC1* 1173C>T gene polymorphisms in the group of acute stroke patients, time do achieve target INR $\geq$ 2 and the average stable daily maintenance dose depending on the genotype

N (number and percentage of the carriers); 95% CI (+/-95% confidence interval) ; T - Average time to achieve target INR  $\geq$  2 depending on the *CYP2C9* and *VKORC1* genotype (day); Dm - Average stable daily maintenance dose (mg), according to the *CYP2C9* and *VKORC1* genotype **Table 4.** Frequencies of the patients according to the severity of neurological deficit at the discharge expressed by mRS, depending on warfarin dosage side effects  $(\chi 2 = 17.87, df = 12, p = 0.1198)$ 

mRS	N(%)Without side effect	N(%)Side effect- 1	N(%)Side effect- 2	Row - Totals
0	13	1	1	15
%	14,44%	14,29%	11,11%	
Row %	86,67%	6,67%	6,67%	
1	23	1	1	25
%	25,56%	14,29%	11,11%	
Row %	92,00%	4,00%	4,00%	
2	17	1	2	20
%	18,89%	14,29%	22,22%	
Row %	85,00%	5,00%	10,00%	
3	23	1	0	24
%	25,56%	14,29%	0,00%	
Row %	95,83%	4,17%	0,00%	
4	9	2	2	13
%	10,00%	28,57%	22,22%	
Row %	69,23%	15,38%	15,38%	
5	3	1	1	5
%	3,33%	14,29%	11,11%	
Row %	60,00%	20,00%	20,00%	
6	2	0	2	4
%	2,22%	0,00%	22,22%	
Row %	50,00%	0,00%	50,00%	

Total N	90	7	9	106

N (number and frequencies of patients); mRS(modified Rankin Scale); Side effect 1 (minor bleeding); Side effect 2 (major bleeding)